

# Twenty Questions and Answers About the Ozone Layer: 2010 Update

Scientific Assessment of Ozone Depletion: 2010

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*This document answers some  
of the most commonly asked questions  
about the ozone layer.*

World Meteorological Organization  
United Nations Environment Programme  
National Oceanic and Atmospheric Administration  
National Aeronautics and Space Administration  
European Commission

# Twenty Questions and Answers About the Ozone Layer: 2010 Update

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## INTRODUCTION

Ozone is present only in small amounts in Earth's atmosphere. Nevertheless, it is vital to human well-being and ecosystem health.

Most ozone resides in the upper part of the atmosphere. This region, called the stratosphere, is more than 10 kilometers (6 miles) above Earth's surface. There, about 90% of atmospheric ozone is contained in the "ozone layer," which shields us from harmful ultraviolet radiation from the Sun.

It was discovered in the mid-1970s that some human-produced chemicals could lead to depletion of the ozone layer. The resulting increase in ultraviolet radiation at Earth's surface would likely increase the incidences of skin cancer and eye cataracts, and also adversely affect plants, crops, and ocean plankton.

Following the discovery of this environmental issue, researchers sought a better understanding of this threat to the ozone layer. Monitoring stations showed that the abundances of the ozone-depleting substances (ODSs) were steadily increasing in the atmosphere. These trends were linked to growing production and use of chemicals like chlorofluorocarbons (CFCs) for refrigeration and air conditioning, foam blowing, and industrial cleaning. Measurements in the laboratory and in the atmosphere characterized the chemical reactions that were involved in ozone destruction. Computer models of the atmosphere employing this information were used to predict how much ozone depletion was occurring and how much more might occur in the future.

Observations of the ozone layer showed that depletion was indeed occurring. The most severe and most surprising ozone loss was discovered to be recurring in springtime over Antarctica. The loss in this region is commonly called the "ozone hole" because the ozone depletion is so large and localized. A thinning of the ozone layer also has been observed over other regions of the globe, such as the Arctic and northern and southern midlatitudes.

The work of many scientists throughout the world has provided a basis for building a broad and solid scientific understanding of the ozone depletion process. With this understanding, we know that ozone depletion is indeed occurring and why. Most important, we know that if the most potent ODSs were to continue to be emitted and increase in the atmosphere, the result would be more depletion of the ozone layer.

In response to the prospect of increasing ozone depletion,

the governments of the world crafted the 1987 United Nations Montreal Protocol as an international means to address this global issue. As a result of the broad compliance with the Protocol and its Amendments and Adjustments and, of great significance, industry's development of "ozone-friendly" substitutes for the now-controlled chemicals, the total global accumulation of ODSs has slowed and begun to decrease. In response, global ozone depletion is no longer increasing. Now, with continued compliance, we expect substantial recovery of the ozone layer by the late 21<sup>st</sup> century. The day the Montreal Protocol was agreed upon, 16 September, is now celebrated as the International Day for the Preservation of the Ozone Layer.

This is a story of notable achievements: discovery, understanding, decisions, actions, and verification. It is a story written by many: scientists, technologists, economists, legal experts, and policymakers, in which continuous dialogue has been a key ingredient. A timeline of milestones associated with stratospheric ozone depletion is illustrated in Figure Q0-1. The milestones relate to stratospheric ozone science, international scientific assessments, and the Montreal Protocol.

To help maintain a broad understanding of the relationship between ozone depletion, ODSs, and the Montreal Protocol, this component of the *Scientific Assessment of Ozone Depletion: 2010* presents 20 questions and answers about the often-complex science of ozone depletion. Most questions and answers are updates of those presented in previous Ozone Assessments, while others have been added or expanded to address newly emerging issues. The questions address the nature of atmospheric ozone, the chemicals that cause ozone depletion, how global and polar ozone depletion occur, the success of the Montreal Protocol, and what could lie ahead for the ozone layer. Computer models project that the influence on global ozone of greenhouse gases and changes in climate will grow significantly in the coming decades and exceed the importance of ODSs in most atmospheric regions by the end of this century. Ozone and climate are indirectly linked because both ODSs and their substitutes contribute to climate change. A brief answer to each question is first given in italics; an expanded answer then follows. The answers are based on the information presented in the 2010 and earlier Assessment reports as well as other international scientific assessments. These reports and the answers provided here were prepared and reviewed by a large international group of scientists<sup>1</sup>.

## Q1

## What is ozone and where is it in the atmosphere?

*Ozone is a gas that is naturally present in our atmosphere. Each ozone molecule contains three atoms of oxygen and is denoted chemically as O<sub>3</sub>. Ozone is found primarily in two regions of the atmosphere. About 10% of atmospheric ozone is in the troposphere, the region closest to Earth (from the surface to about 10–16 kilometers (6–10 miles)). The remaining ozone (about 90%) resides in the stratosphere between the top of the troposphere and about 50 kilometers (31 miles) altitude. The large amount of ozone in the stratosphere is often referred to as the “ozone layer.”*

Ozone is a gas that is naturally present in our atmosphere. Ozone has the chemical formula O<sub>3</sub> because an ozone molecule contains three oxygen atoms (see Figure Q1-1). Ozone was discovered in laboratory experiments in the mid-1800s. Ozone’s presence in the atmosphere was later discovered using chemical and optical measurement methods. The word ozone is derived from the Greek word *ὄζειν* (*ozein*), meaning “to smell.” Ozone has a pungent odor that allows it to be detected even at very low amounts. Ozone reacts rapidly with many chemical compounds and is explosive in concentrated amounts. Electrical discharges are generally used to produce ozone for industrial processes such as air and water purification and bleaching of textiles and food products.

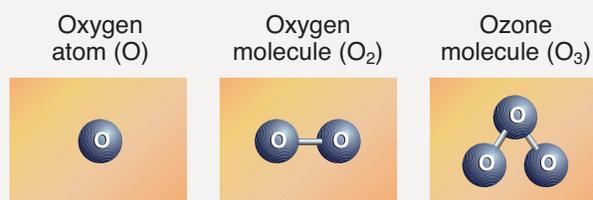
**Ozone location.** Most ozone (about 90%) is found in the stratosphere, which begins about 10–16 kilometers (6–10 miles) above Earth’s surface and extends up to about 50 kilometers (31 miles) altitude. The stratospheric region with the highest ozone concentration is commonly known as the “ozone layer” (see Figure Q1-2). The ozone layer extends over the entire globe with some variation in altitude and thickness. The remaining ozone, about 10%, is found in the troposphere, which is the lowest region of the atmosphere, between Earth’s surface and the stratosphere.

**Ozone abundance.** Ozone molecules have a low relative abundance in the atmosphere. In the stratosphere near the peak concentration of the ozone layer, there are typically a few thousand ozone molecules for every *billion* air molecules (1 billion = 1,000 million). Most air molecules are either oxygen (O<sub>2</sub>) or nitrogen (N<sub>2</sub>) molecules. In the troposphere near

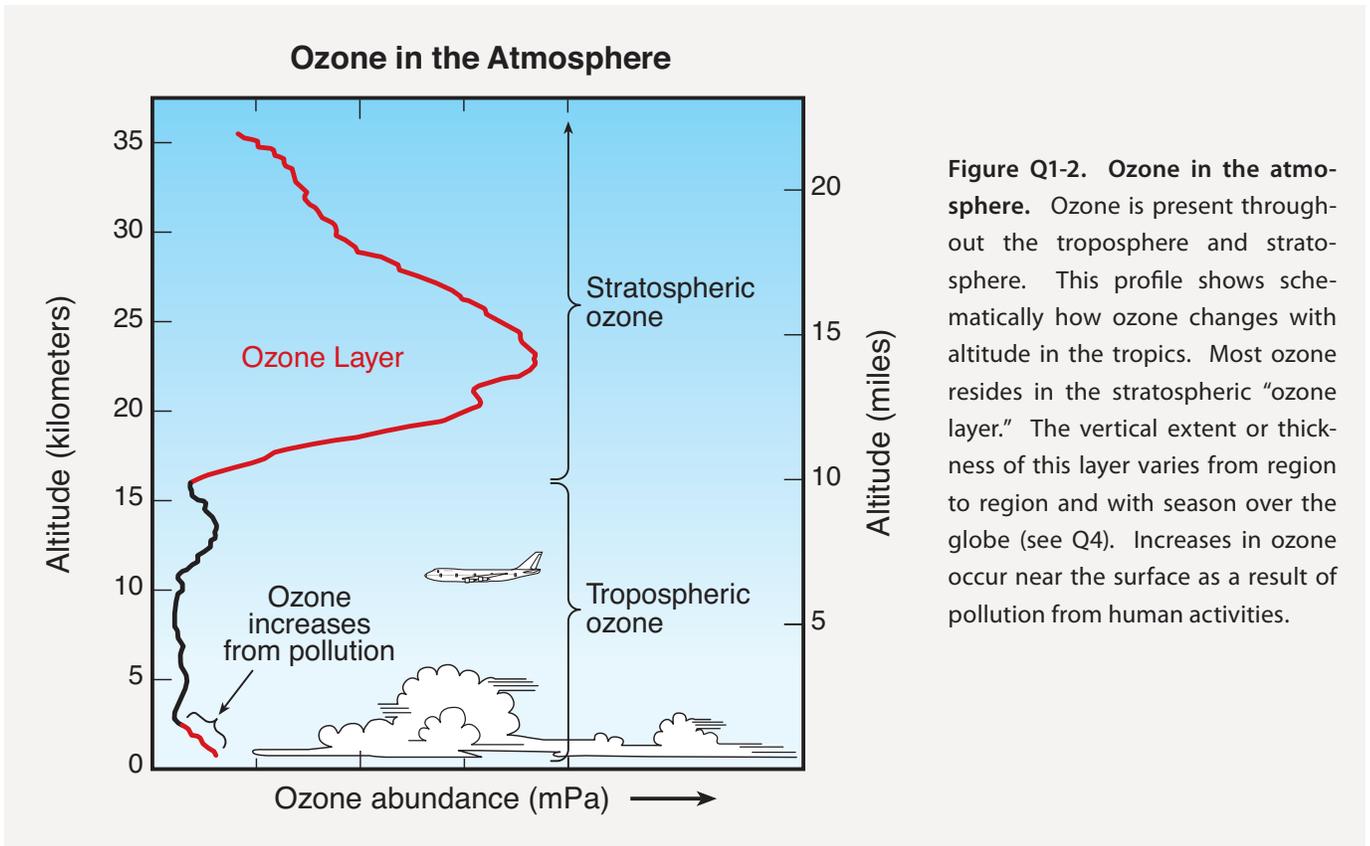
Earth’s surface, ozone is even less abundant, with a typical range of 20 to 100 ozone molecules for each billion air molecules. The highest surface values result when ozone is formed in air polluted by human activities.

As an illustration of the low relative abundance of ozone in our atmosphere, one can imagine bringing all the ozone molecules in the troposphere and stratosphere down to Earth’s surface and uniformly distributing these molecules into a layer of gas extending over the globe. The resulting layer of pure ozone would have an average thickness of about three millimeters (about one-tenth inch) (see Q4). Nonetheless, this extremely small fraction of the atmosphere plays a vital role in protecting life on Earth (see Q3).

## Ozone and Oxygen



**Figure Q1-1. Ozone and oxygen.** A molecule of ozone (O<sub>3</sub>) contains three oxygen (O) atoms bound together. Oxygen molecules (O<sub>2</sub>), which constitute 21% of the gases in Earth’s atmosphere, contain two oxygen atoms bound together.



**Figure Q1-2. Ozone in the atmosphere.** Ozone is present throughout the troposphere and stratosphere. This profile shows schematically how ozone changes with altitude in the tropics. Most ozone resides in the stratospheric "ozone layer." The vertical extent or thickness of this layer varies from region to region and with season over the globe (see Q4). Increases in ozone occur near the surface as a result of pollution from human activities.

# Q2

## How is ozone formed in the atmosphere?

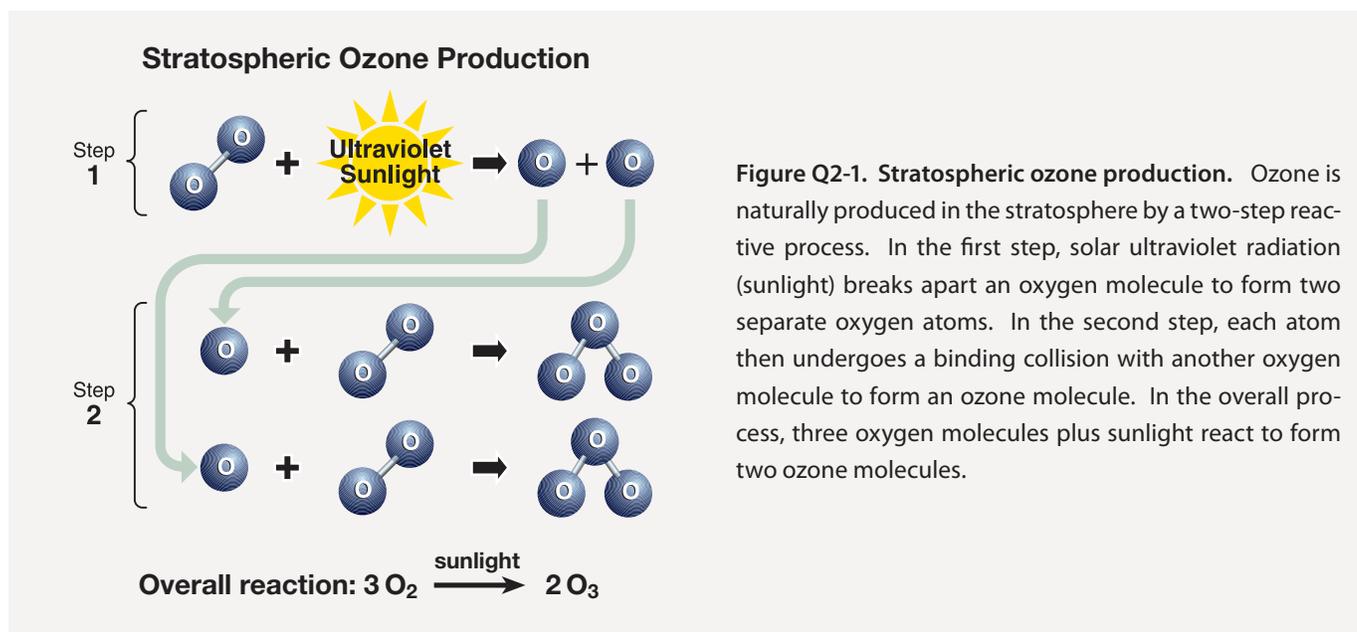
Ozone is formed throughout the atmosphere in multistep chemical processes that require sunlight. In the stratosphere, the process begins with an oxygen molecule ( $O_2$ ) being broken apart by ultraviolet radiation from the Sun. In the lower atmosphere (troposphere), ozone is formed by a different set of chemical reactions that involve naturally occurring gases and those from pollution sources.

**Stratospheric ozone.** Stratospheric ozone is formed naturally by chemical reactions involving solar ultraviolet radiation (sunlight) and oxygen molecules, which make up 21% of the atmosphere. In the first step, solar ultraviolet radiation breaks apart one oxygen molecule ( $O_2$ ) to produce two oxygen atoms (2 O) (see Figure Q2-1). In the second step, each of these highly reactive atoms combines with an oxygen molecule to produce an ozone molecule ( $O_3$ ). These reactions occur continually whenever solar ultraviolet radiation is present in the stratosphere. As a result, the largest ozone production occurs in the tropical stratosphere.

The production of stratospheric ozone is balanced by its destruction in chemical reactions. Ozone reacts continually with sunlight and a wide variety of natural and human-produced chemicals in the stratosphere. In each reaction, an ozone molecule is lost and other chemical compounds are produced. Important reactive gases that destroy ozone are hydrogen and nitrogen oxides and those containing chlorine and bromine (see Q8).

Some stratospheric ozone is regularly transported down into the troposphere and can occasionally influence ozone amounts at Earth's surface, particularly in remote, unpolluted regions of the globe.

**Tropospheric ozone.** Near Earth's surface, ozone is produced by chemical reactions involving naturally occurring gases and gases from pollution sources. Ozone production reactions primarily involve hydrocarbon and nitrogen oxide gases, as well as ozone itself, and all require sunlight for completion. Fossil fuel combustion is a primary source of pollutant gases that lead to tropospheric ozone production. The production of ozone near the surface does not significantly contribute to the abundance of stratospheric ozone. The amount of surface ozone is too small in comparison and the transport of surface air to the stratosphere is not effective enough. As in the stratosphere, ozone in the troposphere is destroyed by naturally occurring chemical reactions and by reactions involving human-produced chemicals. Tropospheric ozone can also be destroyed when ozone reacts with a



**Figure Q2-1. Stratospheric ozone production.** Ozone is naturally produced in the stratosphere by a two-step reactive process. In the first step, solar ultraviolet radiation (sunlight) breaks apart an oxygen molecule to form two separate oxygen atoms. In the second step, each atom then undergoes a binding collision with another oxygen molecule to form an ozone molecule. In the overall process, three oxygen molecules plus sunlight react to form two ozone molecules.

variety of surfaces, such as those of soils and plants.

**Balance of chemical processes.** Ozone abundances in the stratosphere and troposphere are determined by the *balance* between chemical processes that produce and destroy ozone. The balance is determined by the amounts of reactive gases and how the rate or effectiveness of the various reactions varies with sunlight intensity, location in the atmosphere, temperature, and other factors. As atmospheric conditions change to favor ozone-producing reactions in a certain loca-

tion, ozone abundances increase. Similarly, if conditions change to favor other reactions that destroy ozone, abundances decrease. The balance of production and loss reactions combined with atmospheric air motions determines the global distribution of ozone on timescales of days to many months. Global ozone has decreased during the past several decades because the amounts of reactive gases containing chlorine and bromine have increased in the stratosphere (see Q13) due to human activities.

# Q3

## Why do we care about atmospheric ozone?

*Ozone in the stratosphere absorbs a large part of the Sun's biologically harmful ultraviolet radiation. Stratospheric ozone is considered "good" ozone because of this beneficial role. In contrast, ozone formed at Earth's surface in excess of natural amounts is considered "bad" ozone because it is harmful to humans, plants, and animals. Natural ozone near the surface and in the lower atmosphere plays an important beneficial role in chemically removing pollutants from the atmosphere.*

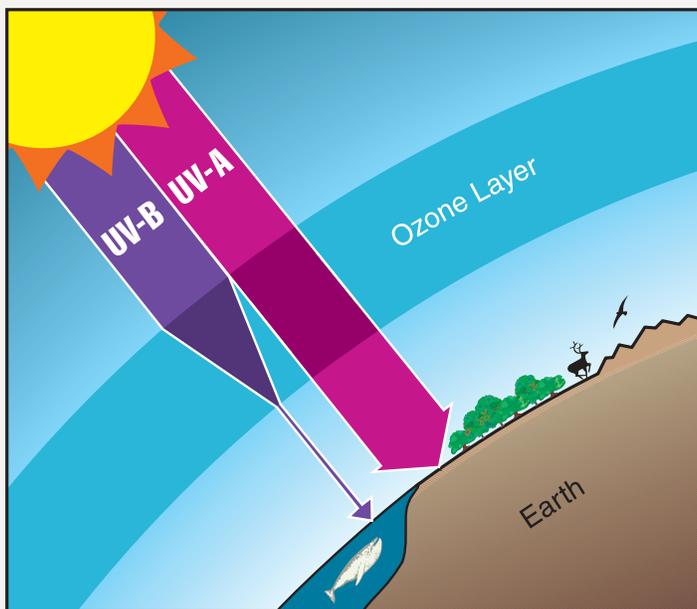
**Good ozone.** Stratospheric ozone is considered good for humans and other life forms because it absorbs ultraviolet-B (UV-B) radiation from the Sun (see Figure Q3-1). If not absorbed, UV-B radiation would reach Earth's surface in amounts that are harmful to a variety of life forms. In humans, increased exposure to UV-B radiation increases the risks of skin cancer, cataracts, and a suppressed immune system. UV-B radiation exposure before adulthood and cumulative exposure are both important health risk factors. Excessive UV-B exposure also can damage terrestrial plant life, single-cell organisms, and aquatic ecosystems. Other UV radiation, UV-A, which is not absorbed significantly by ozone, causes premature aging of the skin.

**Protecting good ozone.** In the mid-1970s, it was discovered that gases containing chlorine and bromine atoms

released by human activities could cause stratospheric ozone depletion (see Q6). These gases, referred to as halogen source gases, and as ozone-depleting substances (ODSs), chemically release their chlorine and bromine atoms after they reach the stratosphere. Ozone depletion increases surface UV-B radiation above naturally occurring amounts. International efforts have been successful in protecting the ozone layer through controls on ODS production and consumption (see Q15 and Q16).

**Bad ozone.** Ozone near Earth's surface in excess of natural amounts is considered bad ozone. It is formed by reactions involving human-made pollutant gases. Increasing surface ozone above natural levels is harmful to humans, plants, and other living systems because ozone reacts strongly to destroy or alter many biological molecules. High ozone exposure reduces crop yields and forest growth. In humans, expo-

**UV Protection by the Ozone Layer**



**Figure Q3-1. UV protection by the ozone layer.** The ozone layer resides in the stratosphere and surrounds the entire Earth. UV-B radiation (280- to 315-nanometer (nm) wavelength) from the Sun is strongly absorbed in this layer. As a result, the amount of UV-B reaching Earth's surface is greatly reduced. UV-A (315- to 400-nm wavelength), visible light, and other solar radiation are not strongly absorbed by the ozone layer. Human exposure to UV-B radiation increases the risks of skin cancer, cataracts, and a suppressed immune system. UV-B radiation exposure can also damage terrestrial plant life, single-cell organisms, and aquatic ecosystems.

sure to high levels of ozone can reduce lung capacity; cause chest pains, throat irritation, and coughing; and worsen pre-existing health conditions related to the heart and lungs. In addition, increases in tropospheric ozone lead to a warming of Earth's surface because ozone is a greenhouse gas (see Q18). The negative effects of excess tropospheric ozone contrast sharply with the protection from harmful UV-B radiation afforded by an abundance of stratospheric ozone.

**Reducing bad ozone.** Limiting the emission of certain common pollutants reduces the production of excess ozone in the air surrounding humans, plants, and animals. Natural emissions from the biosphere, mainly from trees, also participate in reactions that produce ozone. Major sources of pollutants include large cities where fossil fuel consumption and industrial activities are greatest. Many programs around the globe have already been successful in reducing or limiting the

emission of pollutants that cause production of excess ozone near Earth's surface.

**Natural ozone.** In the absence of human activities, ozone would still be present near Earth's surface and throughout the troposphere and stratosphere because ozone is a natural component of the clean atmosphere. Ozone plays important roles in the atmosphere beyond absorbing UV radiation. For example, ozone initiates the chemical removal of many pollutants, such as carbon monoxide (CO) and nitrogen oxides (NO<sub>x</sub>), as well as some greenhouse gases, such as methane (CH<sub>4</sub>). In addition, the absorption of UV-B radiation by ozone is a natural source of heat in the stratosphere, causing temperatures to increase with altitude. Stratospheric temperatures affect the balance of ozone production and destruction processes (see Q2) and air motions that redistribute ozone throughout the stratosphere.

# Q10

## Why has an “ozone hole” appeared over Antarctica when ozone-depleting substances are present throughout the stratosphere?

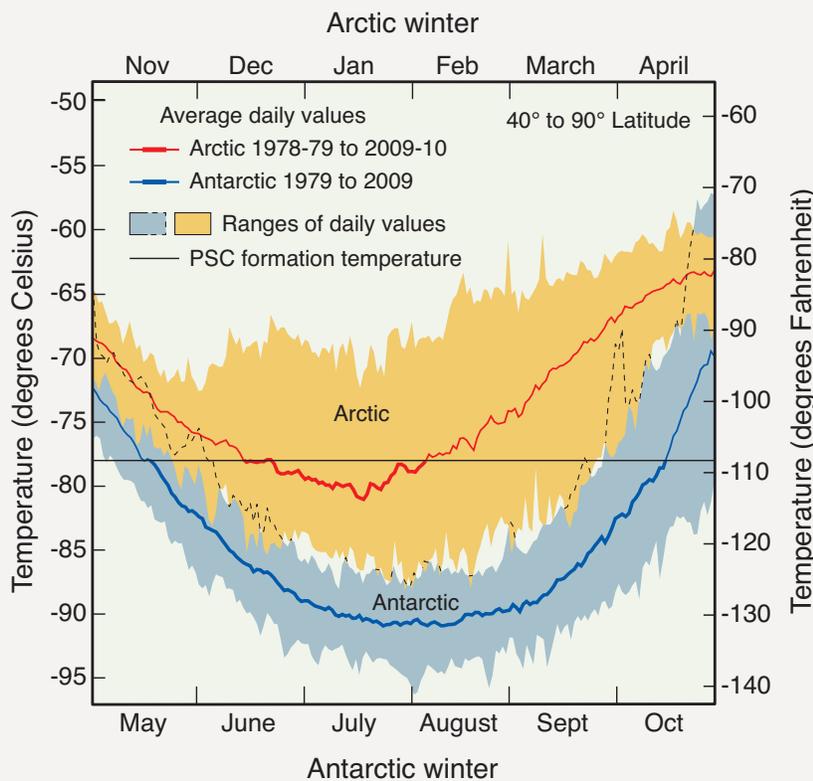
Ozone-depleting substances are present throughout the stratospheric ozone layer because they are transported great distances by atmospheric air motions. The severe depletion of the Antarctic ozone layer known as the “ozone hole” occurs because of the special atmospheric and chemical conditions that exist there and nowhere else on the globe. The very low winter temperatures in the Antarctic stratosphere cause polar stratospheric clouds (PSCs) to form. Special reactions that occur on PSCs, combined with the relative isolation of polar stratospheric air, allow chlorine and bromine reactions to produce the ozone hole in Antarctic springtime.

The severe depletion of stratospheric ozone in late winter and early spring in the Antarctic is known as the “ozone hole” (see Q11). The ozone hole first appeared over Antarctica because atmospheric and chemical conditions unique to this region increase the effectiveness of ozone destruction by reactive halogen gases (see Q8). In addition to an abundance

of these reactive gases, the formation of the Antarctic ozone hole requires temperatures low enough to form polar stratospheric clouds (PSCs), isolation from air in other stratospheric regions, and sunlight.

**Distributing halogen gases.** Halogen source gases emitted at Earth’s surface are present in comparable abundances

### Minimum Air Temperatures in the Polar Stratosphere



**Figure Q10-1. Arctic and Antarctic temperatures.** Air temperatures in both polar regions reach minimum values in the lower stratosphere in the winter season. Average daily minimum values over Antarctica are as low as  $-90^{\circ}\text{C}$  in July and August in a typical year. Over the Arctic, average minimum values are near  $-80^{\circ}\text{C}$  in late December and January. Polar stratospheric clouds (PSCs) are formed in the polar ozone layer when winter minimum temperatures fall below the formation temperature of about  $-78^{\circ}\text{C}$ . This occurs on average for 1 to 2 months over the Arctic and 5 to 6 months over Antarctica (see heavy red and blue lines). Reactions on liquid and solid PSC particles cause the highly reactive chlorine gas  $\text{ClO}$  to be formed, which catalytically destroys ozone (see Q9). The range of winter minimum temperatures found in the Arctic is much greater than in the Antarctic. In some years, PSC formation temperatures

are not reached in the Arctic, and significant ozone depletion does not occur. In contrast, PSC formation temperatures are always present for many months somewhere in the Antarctic, and severe ozone depletion now occurs in each winter season (see Q11). (Note that the dashed black lines denote the upper limits of the Antarctic temperature range where they overlap with the Arctic temperature range.)

throughout the stratosphere in both hemispheres even though most of the emissions occur in the Northern Hemisphere. The abundances are comparable because most source gases have no significant natural removal processes in the lower atmosphere and because winds and convection redistribute and mix air efficiently throughout the troposphere on the time-scale of weeks to months. Halogen gases (in the form of source gases and some reactive products) enter the stratosphere primarily from the tropical upper troposphere. Stratospheric air motions then transport these gases upward and toward the pole in both hemispheres.

**Low polar temperatures.** The severe ozone destruction represented by the ozone hole requires that low temperatures be present over a range of stratospheric altitudes, over large geographical regions, and for extended time periods. Low temperatures are important because they allow liquid and solid PSCs to form. Reactions on the surfaces of these PSCs initiate a remarkable increase in the most reactive chlorine gas, chlorine monoxide (ClO) (see below and Q8). Stratospheric temperatures are lowest in both polar regions in winter. In the Antarctic winter, minimum daily temperatures are generally much lower and less variable than in the Arctic winter (see Figure Q10-1). Antarctic temperatures also remain below the PSC formation temperature for much longer periods during winter. These and other meteorological differences occur because of the unequal distribution among land, ocean, and mountains between the hemispheres at middle and high latitudes. The winter temperatures are low enough for PSCs to form somewhere in the Antarctic for nearly the entire winter (about 5 months) and in the Arctic for only limited periods (10–60 days) in most winters.

**Isolated conditions.** Stratospheric air in the polar regions is relatively isolated from other stratospheric regions for long periods in the winter months. The isolation comes about because of strong winds that encircle the poles, forming a polar vortex, which prevents substantial motion of air into or out of the polar stratosphere. This circulation strengthens in winter as stratospheric temperatures decrease, with the result that the isolation of air in the vortex is much more effective in the Antarctic than the Arctic. Once chemical changes occur in polar regions from reactions on PSCs, the isolation preserves those changes for many weeks to months.

**Polar stratospheric clouds (PSCs).** Reactions on the surfaces of liquid and solid PSCs can substantially increase the relative abundances of the most reactive chlorine gases. These reactions convert the reservoir forms of reactive chlorine gases, chlorine nitrate (ClONO<sub>2</sub>) and hydrogen chloride

(HCl), to the most reactive form, ClO (see Figure Q8-1). ClO increases from a small fraction of available reactive chlorine to comprise nearly all chlorine that is available. With increased ClO, additional catalytic cycles involving ClO and BrO become active in the chemical destruction of ozone whenever sunlight is available (see Q9).

Different types of liquid and solid PSC particles form when stratospheric temperatures fall below about  $-78^{\circ}\text{C}$  ( $-108^{\circ}\text{F}$ ) in polar regions (see Figure Q10-1). As a result, PSCs are often found over large areas of the winter polar regions and over significant altitude ranges. With a temperature threshold of

### Arctic Polar Stratospheric Clouds (PSCs)



**Figure Q10-2. Polar stratospheric clouds.** This photograph of an Arctic polar stratospheric cloud (PSC) was taken from the ground at Kiruna, Sweden ( $67^{\circ}\text{N}$ ), on 27 January 2000. PSCs form in the ozone layer during winters in the Arctic and Antarctic stratospheres wherever low temperatures occur (see Figure Q10-1). The particles grow from the condensation of water and nitric acid ( $\text{HNO}_3$ ). The clouds often can be seen with the human eye when the Sun is near the horizon. Reactions on PSCs cause the highly reactive chlorine gas ClO to be formed, which is very effective in the chemical destruction of ozone (see Q9).

$-78^{\circ}\text{C}$ , PSCs exist in larger regions and for longer time periods in the Antarctic than the Arctic. The most common type of PSC forms from nitric acid ( $\text{HNO}_3$ ) and water condensing on pre-existing liquid sulfuric acid-containing particles. Some of these particles freeze to form reactive solid particles. At even lower temperatures ( $-85^{\circ}\text{C}$  or  $-121^{\circ}\text{F}$ ), water condenses to form ice particles. PSC particles grow large enough and are numerous enough that cloud-like features can be observed from the ground under certain conditions, particularly when the Sun is near the horizon (see Figure Q10-2). PSCs are often found near mountain ranges in polar regions because the motion of air over the mountains can cause local cooling of stratospheric air, which increases condensation of water and  $\text{HNO}_3$ .

When average temperatures begin increasing by late winter, PSCs form less frequently and their surface conversion reactions produce less ClO. Without continued ClO production, ClO amounts decrease and other chemical reactions re-form the reactive reservoirs,  $\text{ClONO}_2$  and HCl. When PSC temperatures no longer occur, on average, either by late January to early February in the Arctic or by mid-October in the Antarctic, the most intense period of ozone depletion ends.

**Nitric acid and water removal.** Once formed, PSC particles fall to lower altitudes because of gravity. The largest particles can descend several kilometers or more in the stratosphere during the low-temperature winter/spring period that lasts several months in Antarctica. Because PSCs often contain a significant fraction of available  $\text{HNO}_3$ , their descent removes  $\text{HNO}_3$  from regions of the ozone layer. This process is called *denitrification* of the stratosphere. With less  $\text{HNO}_3$ , the highly reactive chlorine gas ClO remains chemically active for a longer period, thereby increasing chemical ozone destruction. Significant denitrification occurs each winter in

the Antarctic and in some, but not all, Arctic winters, because PSC formation temperatures must be sustained over an extensive altitude region and time period to effect denitrification (see Figure Q10-1).

Ice particles form when temperatures are a few degrees lower than PSC formation temperatures. If ice temperatures persist for weeks to months over extensive altitude regions, ice particles will also fall several kilometers due to gravity. As a result, a significant fraction of water vapor can also be removed from regions of the ozone layer. This process is called *dehydration* of the stratosphere. With the low temperatures required to form ice, dehydration is common in the Antarctic and rare in the Arctic winters. The removal of water vapor does not directly affect the catalytic reactions that destroy ozone. Dehydration indirectly affects ozone destruction by suppressing PSC formation, which reduces ClO production in PSC reactions.

**Discovering the role of PSCs.** Ground-based observations of PSCs, and knowledge of their formation processes, were available many years before the role of PSCs in polar ozone destruction was recognized. The geographical and altitude extent of PSCs in both polar regions was not known fully until PSCs were observed by a satellite instrument in the late 1970s. The role of PSC particles in converting reactive chlorine gases to ClO was not understood until after the discovery of the Antarctic ozone hole in 1985. Our understanding of the chemical role of PSC particles developed from laboratory studies of their surface reactivity, computer modeling studies of polar stratospheric chemistry, and measurements that directly sampled particles and reactive chlorine gases, such as ClO, in the polar stratosphere.

### The Discovery of the Antarctic Ozone Hole

The first decreases in Antarctic total ozone were observed in the early 1980s over research stations located on the Antarctic continent. The measurements were made with ground-based Dobson spectrophotometers (see box in Q5). The observations showed unusually low total ozone during the late winter/early spring months of September, October, and November. Total ozone was lower in these months compared with previous observations made as early as 1957. The early published reports came from the Japan Meteorological Agency and the British Antarctic Survey. The results became widely known to the world after three scientists from the British Antarctic Survey published their observations in the scientific journal *Nature* in 1985 and speculated that CFCs were the cause. Soon after, satellite measurements confirmed the spring ozone depletion and further showed that in each late winter/early spring season starting in the early 1980s, the depletion extended over a large region centered near the South Pole. The term “ozone hole” came about as a description of the very low total ozone values that encircled the Antarctic continent in satellite images for many weeks (see Q11). Currently, the formation and severity of the Antarctic ozone hole are documented each year by a combination of satellite, ground-based, and balloon observations of ozone.

**Very early Antarctic ozone measurements.** The first total ozone measurements made in Antarctica with Dobson spectrophotometers occurred in the 1950s following extensive measurements in the Northern Hemisphere and Arctic region. Total ozone values found in spring were around 300 DU, surprisingly lower than in the Arctic spring, because the assumption then was that the two polar regions would have similar values. We now know that these Antarctic values were not anomalous; indeed they are similar to those observed there in the 1970s before the ozone hole appeared (see Figure Q11-3). We also now know that Antarctic total ozone values, in comparison with Arctic values, are systematically lower in the early spring because the polar vortex is much stronger and, therefore, much more effective in reducing the transport of ozone-rich air from midlatitudes to the pole (compare Figures Q11-3 and Q12-2).

In 1958, measurements of total ozone were made at the Dumont d’Urville station (66.7°S, 140°E) in Antarctica using a photographic plate method to analyze solar ultraviolet radiation after it passed through the ozone layer. The reported measurements were anomalously low, reaching 110–120 DU in September and October. These values are similar to minimum ozone hole values now routinely observed over Antarctica in the same months (see Figure Q11-2). Some have speculated that these limited observations provide evidence that an ozone hole existed before ODS emissions were large enough to cause the depletion. However, analyses of the more extensive Dobson spectrophotometer measurements made at several other Antarctic locations in 1958 did not confirm the low total ozone values. These measurements indicate that the photographic plate determinations were not a reliable source of total ozone values at the Dumont d’Urville station in 1958.

## Q15

## Are there controls on the production of ozone-depleting substances?

*Yes, the production and consumption of ozone-depleting substances are controlled under a 1987 international agreement known as the “Montreal Protocol on Substances that Deplete the Ozone Layer” and by its subsequent Amendments and Adjustments. The Protocol, now ratified by all 196 United Nations members, establishes legally binding controls on national production and consumption of ozone-depleting substances (ODSs). Production and consumption of all principal ODSs by developed and developing nations will be almost completely phased out before the middle of the 21<sup>st</sup> century.*

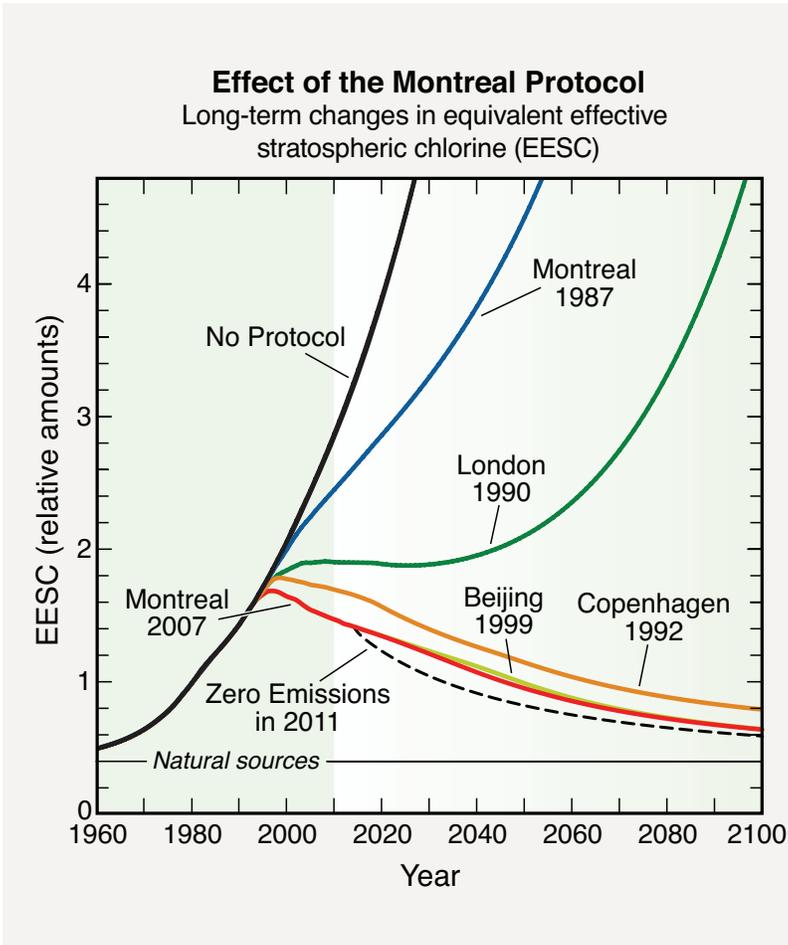
**Montreal Protocol.** In 1985, a treaty called the *Vienna Convention for the Protection of the Ozone Layer* was signed by 20 nations in Vienna. The signing nations agreed to take appropriate measures to protect the ozone layer from human activities. The Vienna Convention was a framework agreement that supported research, exchange of information, and future protocols. In response to growing concern, the *Montreal Protocol on Substances that Deplete the Ozone Layer* was signed in 1987 and, following sufficient country ratification, entered into force in 1989. The Protocol has been successful in establishing legally binding controls for developed and developing nations on the production and consumption of halogen source gases known to cause ozone depletion. Halogen source gases containing chlorine and bromine controlled under the Montreal Protocol are referred to as ozone-depleting substances (ODSs). National consumption of an ODS is defined as the amount that production and imports of the substance exceed its export to other nations. The Protocol provisions are structured for developed countries to act first and for developing countries to follow with some financial assistance. In 2010, the Montreal Protocol became the first international treaty to achieve universal ratification by all 196 United Nations members.

**Amendments and Adjustments.** As the scientific basis of ozone depletion became more certain after 1987 and substitutes and alternatives became available to replace ODSs, the Montreal Protocol was strengthened with Amendments and Adjustments. Each Amendment is named after the city in which the meeting of the Parties to the Montreal Protocol took place and by the year of the meeting. The timeline in Figure Q0-1 shows some of the major decisions that have been adopted in the last two decades. These decisions have put additional ODSs under control, accelerated existing control measures, and prescribed phase-out dates for the production and consumption of certain gases. The initial Protocol called

for a 50% reduction in chlorofluorocarbon (CFC) production and a freeze on halon production. The 1990 London Amendment called for a phase-out of the production and consumption of the most damaging ODSs in developed nations by 2000 and in developing nations by 2010. The 1992 Copenhagen Amendment accelerated the phase-out date to 1996 in developed nations. Further controls on ODSs were agreed upon in later meetings in Vienna (1995), Montreal (1997, 2007), and Beijing (1999).

**Influence of the Montreal Protocol.** Montreal Protocol controls are based on several factors that are considered separately for each ODS. The factors include (1) the effectiveness in depleting ozone in comparison with other substances (see Ozone Depletion Potential (ODP) in Q18), (2) the availability of suitable substitutes for domestic and industrial use, and (3) the potential impact of controls on developing nations. The influence of Montreal Protocol provisions on stratospheric ODS abundances can be demonstrated with long-term changes in *equivalent effective stratospheric chlorine* (EESC). Calculations of EESC combine the amounts of chlorine and bromine present in surface air to form a measure of the potential for ozone destruction in a particular stratospheric region on an annual basis (see definition in Q16). The long-term changes in EESC at midlatitudes are shown in Figure Q15-1 for several cases:

► **No Protocol.** Without the Montreal Protocol the production, use, and emissions of CFCs and other ozone-depleting substances is expected to have increased after 1987 with an annual growth rate of about 3% (business-as-usual scenario). As a result, EESC is projected to have increased nearly 10-fold by the mid-2050s compared with the 1980 value. Computer models of the atmosphere indicate that such high EESC values would have at least doubled global total ozone depletion between 1990 and 2010 and increased it far beyond that by midcentury. As a result, harm-



**Figure Q15-1. Effect of the Montreal Protocol.**

The objective of the Montreal Protocol is the protection of the ozone layer through control of the global production and consumption of ODSs. Projections of the future abundances of ODSs expressed as equivalent effective stratospheric chlorine (EESC) values (see Q16) are shown separately for the midlatitude stratosphere for (1) no Protocol provisions, (2) the provisions of the original 1987 Montreal Protocol and some of its subsequent Amendments and Adjustments, and (3) zero emissions of ODSs starting in 2011. The city names and years indicate where and when changes to the original 1987 Protocol provisions were agreed upon (see Figure Q0-1). EESC is a relative measure of the potential for stratospheric ozone depletion that combines the contributions of chlorine and bromine from ODS surface observations (see Q16). Without the Protocol, EESC values are projected to have increased significantly in the 21<sup>st</sup> century. Only with the Copenhagen (1992) and subsequent Amendments and Adjustments did projected EESC values show a long-term decrease.

ful UV-B radiation would have increased substantially at Earth's surface, causing a global rise in skin cancer and cataract cases (see Q17).

- ▶ **Montreal Protocol provisions.** International compliance with only the 1987 provisions of the Montreal Protocol and the later 1990 London Amendment would have substantially slowed the projected growth of EESC. Not until the 1992 Copenhagen Amendments and Adjustments did the Protocol projections show a *decrease* in future EESC values. The provisions became more stringent with the Amendments and Adjustments adopted in Beijing in 1999 and Montreal in 1997 and 2007. Now, with full compliance to the Protocol, most ODSs will be almost completely phased out, with some exemptions for critical uses (see Q16). Global EESC is slowly decaying from its peak value in the late 1990s and is expected to reach 1980 values in the mid-21<sup>st</sup> century. The success of the Montreal Protocol to date is demonstrated by the decline in ODP-weighted *emissions* of ODSs shown in Figure Q0-1. Total emissions peaked in 1988 at values about 10-fold higher than natural

emissions. Between 1988 and 2010, ODS emissions from human activities have decreased by over 80%.

- ▶ **Zero emissions.** EESC values in the coming decades will be influenced by (1) the slow natural removal of ODSs still present in the atmosphere, (2) emissions from continued production and use of ODSs, and (3) emissions from currently existing *banks* containing a variety of ODSs. ODS banks are associated with applications that involve long-term containment of halogen gases. Examples are CFCs in refrigeration equipment and insulating foams, and halons in fire-fighting equipment. New emissions are projected based on continued production and consumption of ODSs, particularly in developing nations, under existing Protocol provisions.

The zero-emissions case demonstrates the EESC values that would occur if it were possible to set all ODS emissions to zero beginning in 2011. This would eliminate the contributions from new production and bank emissions. Significant differences from the Montreal 2007 projections are evident in the first decades following 2011 because the

phase-out of all ODS production under the Protocol is not yet complete and bank emissions are substantial. Zero emissions would bring forward the return of EESC to 1980 levels by about 13 years.

**HCFC substitute gases.** The Montreal Protocol provides for the use of hydrochlorofluorocarbons (HCFCs) as transitional, short-term substitute compounds for ODSs with higher ODPs, such as CFC-12. HCFCs are used for refrigeration, in making insulating foams, and as solvents, all of which were primary uses of CFCs. HCFCs are generally more reactive in the troposphere than other ODSs because they contain hydrogen (H) in addition to chlorine, fluorine, and carbon. HCFCs are 88 to 98% *less effective* than CFC-12 in depleting stratospheric ozone because their chemical removal occurs primarily in the troposphere (see ODPs in Table Q7-1). This removal protects stratospheric ozone from most of the halogen content of HCFC emissions. In contrast, CFCs and many other ODSs release all of their halogen content in the stratosphere because they are chemically inert in the troposphere (see Q6).

Under the provisions of the Montreal Protocol, developed and developing countries may continue to use HCFCs as ODS substitutes in the coming decades before they are ultimately phased out. In the most recent Adjustment to the Protocol (Montreal 2007), the phase-out of HCFCs for all Parties was accelerated so that it will be complete in 2030, a decade earlier than in previous provisions. In adopting this decision, the

Parties reduced the contribution of HCFC emissions to both long-term ozone depletion and future climate forcing (see Q18 and Q19).

**HFC substitute gases.** Hydrofluorocarbons (HFCs) are used as long-term substitute compounds for CFCs, HCFCs, and other ODSs. HFCs contain hydrogen, fluorine, and carbon. HFCs do not contribute to ozone depletion because they contain no chlorine, bromine, or iodine. As a consequence, HFCs are not ODSs and are not subject to the provisions of the Montreal Protocol. HFCs and all ODSs are radiatively active gases that contribute to human-induced climate change based on their accumulation in the atmosphere (see Q18). HFCs are included in the *basket of gases* being controlled by the Kyoto Protocol of the United Nations Framework Convention on Climate Change (UNFCCC). The Kyoto Protocol is an international treaty designed to protect climate by controlling *emissions* of HFCs, carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), perfluorocarbons (PFCs), and sulfur hexafluoride (SF<sub>6</sub>). HFC emissions are expected to grow substantially in coming decades as the demand for their use as substitute gases and in new applications increases in developed and developing countries. The climate change contribution from future HFC emissions will be minimized if HFCs with very low Global Warming Potentials (GWPs) (less than 100) are chosen to meet the growing demand (see Q18).

# Q18

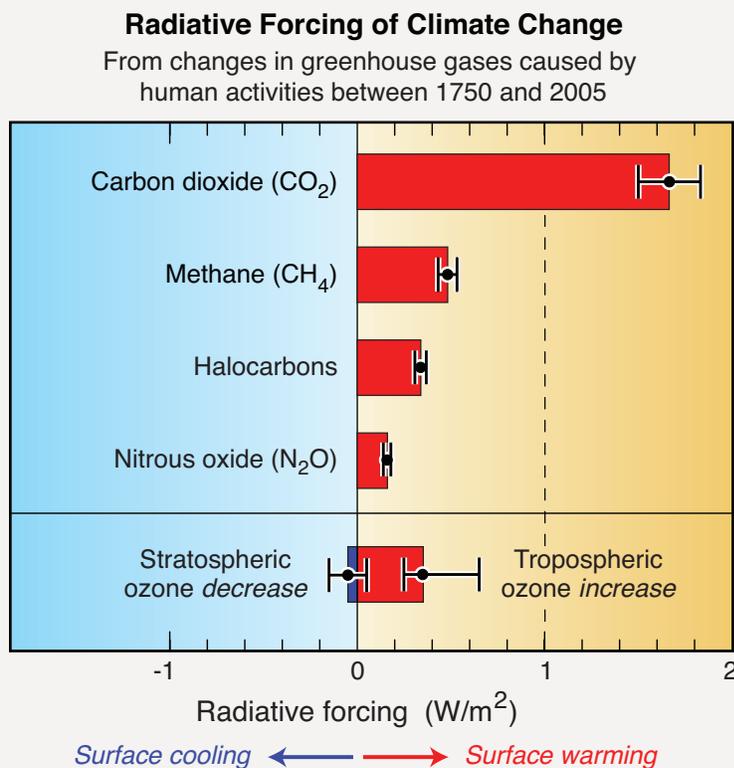
## Is depletion of the ozone layer the principal cause of climate change?

No, ozone depletion itself is not the principal cause of climate change. Changes in ozone and climate are directly linked because ozone absorbs solar radiation and is also a greenhouse gas. Stratospheric ozone depletion and increases in global tropospheric ozone that have occurred in recent decades have opposing contributions to climate change. The ozone-depletion contribution, while leading to surface cooling, is small compared with the contribution from all other greenhouse gas increases, which leads to surface warming. The total forcing from these other greenhouse gases is the principal cause of observed and projected climate change. Ozone depletion and climate change are indirectly linked because both ozone-depleting substances and their substitutes are greenhouse gases.

While stratospheric ozone depletion is not the principal cause of climate change, aspects of ozone depletion and climate change are closely linked. Both processes involve gases released to the atmosphere by human activities. The links are best understood by examining the contribution to climate change of the gases involved: ozone; ozone-depleting substances (ODSs) (or halogen source gases) and their substitutes; and other leading greenhouse gases.

### Greenhouse gases and the radiative forcing of climate.

The warming of the Earth by the Sun is enhanced by the presence of natural *greenhouse gases*, of which water vapor is an important example. Without this natural greenhouse effect, the Earth's surface would be much colder. Human activities since the preindustrial era have led to long-term increases in the atmospheric abundances of a number of long-lived and short-lived greenhouse gases. This group includes stratospheric



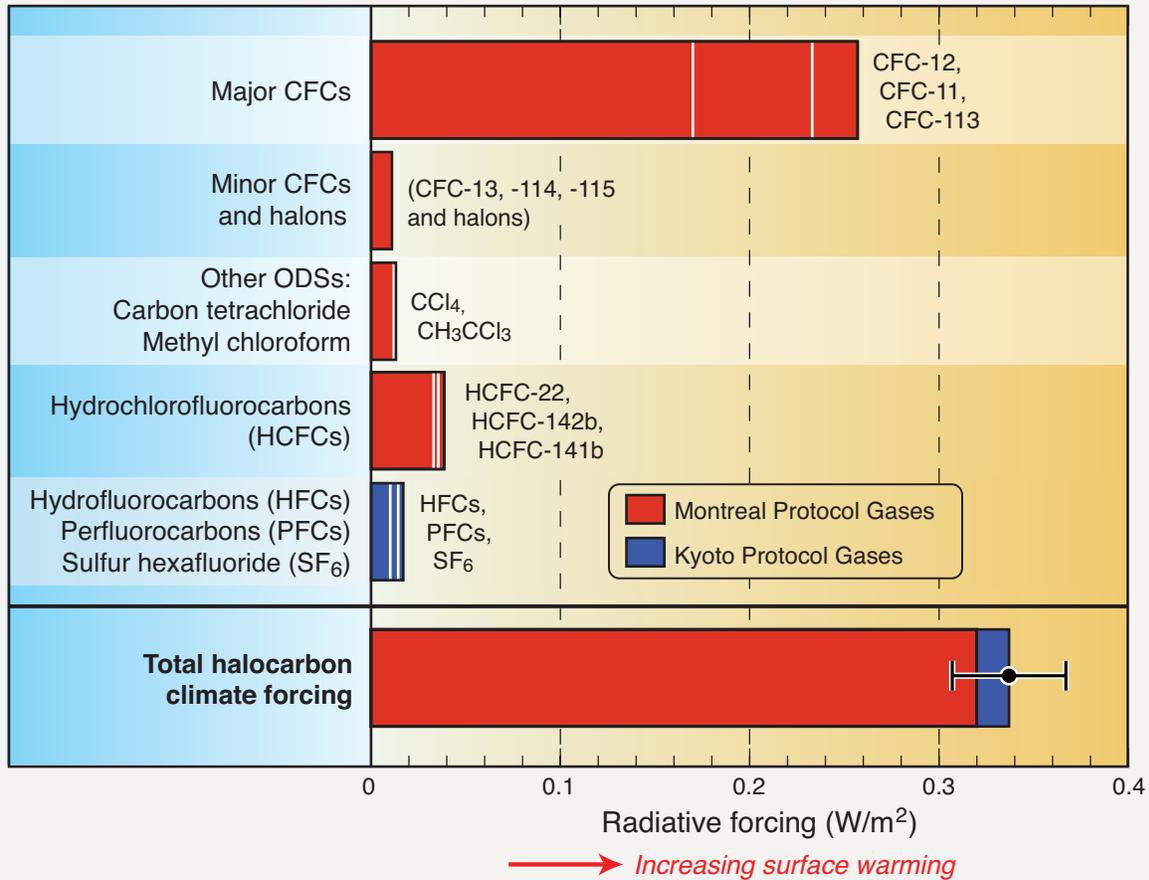
**Figure Q18-1. Radiative forcing of greenhouse gases.**

Human activities since the start of the Industrial Era (around 1750) have caused increases in the abundances of several short-lived and long-lived gases, known as greenhouse gases, that all contribute to the radiative forcing of climate, also known as climate forcing. Radiative forcing is expressed in units of *watts per square meter (W/m<sup>2</sup>)*. As shown in the figure, the largest forcings are those of carbon dioxide (CO<sub>2</sub>), followed by methane (CH<sub>4</sub>), tropospheric ozone, halocarbon gases, and nitrous oxide (N<sub>2</sub>O). The black whiskers on each bar show uncertainties in the values. Tropospheric ozone increases result from the emission of pollutant gases and create a positive ozone forcing. Positive forcings lead to a warming of Earth's surface. In contrast, stratospheric ozone depletion represents a small negative forcing, which leads to cooling of Earth's surface. Halocarbons include all ODSs, their substitutes, and a few other gases

(see Figure Q18-2). In the coming decades, ODS abundances and stratospheric ozone depletion are expected to be reduced, along with their associated radiative forcings.

### Radiative Forcing of Climate by Halocarbons in 2005

From increases in all controlled gases containing chlorine, bromine, and fluorine from human activities between 1750 and 2005



**Figure Q18-2. Halocarbons and radiative forcing of climate change.** Halocarbon gases in the atmosphere represent an important contribution to the radiative forcing of climate between 2005 and the preindustrial era (see Figure Q18-1). Halocarbons are all gases containing chlorine, bromine, or fluorine atoms that are now controlled as ozone-depleting substances (ODSs) by the Montreal Protocol or as climate change gases by the Kyoto Protocol (see color shading). Shown in the figure are the separate contributions of each gas or group of gases, as estimated using atmospheric abundance histories and Global Warming Potentials (GWPs) (see Figure Q18-3). The gases listed in the right hand labels begin with the largest contribution in each group, except for CFC-13, CFC-114, CFC-115, and halons, which are shown as one total value. The individual forcing terms add together to form the bottom bar representing the total halocarbon forcing. The forcings of CFC-11 and CFC-12, the largest halocarbon contributions, are already decreasing and will continue to decrease as CFCs are gradually removed from the atmosphere (see Figure Q16-1). In contrast, the contributions of the intermediate-term ODS substitute gases, HCFCs, are projected to grow for another two decades before decreasing. The future contributions of the long-term ODS substitute gases, HFCs, are also expected to increase. In this case, the total contribution will depend strongly on which HFCs are used because the GWPs of individual HFCs vary widely (see Figure Q18-3).

and tropospheric ozone, halocarbons, carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), and nitrous oxide (N<sub>2</sub>O). ODSs and their substitutes make up a large fraction of the halocarbons in today's atmosphere. The natural abundances of these gases in Earth's atmosphere change the balance between incoming solar radiation and outgoing infrared radiation, warming the atmosphere and surface. Increases in the abundance of these gases from human activities cause more outgoing radiation to be absorbed, which further warms the atmosphere and surface. This change in Earth's radiative balance caused by human activities is called a *radiative forcing of climate* or, more simply, a *climate forcing*. The magnitude of this *energy imbalance* is usually evaluated at the top of the troposphere (tropopause) and is expressed using units of *watts per square meter* (W/m<sup>2</sup>). The potential for climate change increases as this radiative forcing increases.

A summary of radiative forcings in 2005 resulting from the increases in the principal long-lived and short-lived greenhouse gases during the Industrial Era is shown in Figure Q18-1. All forcings shown relate to human activities. Positive forcings generally lead to *warming* and negative forcings lead to *cooling* of Earth's surface. Climate forcings also lead to other changes, such as in precipitation patterns and extreme weather events. International climate assessments conclude that much of the observed surface warming and changes in other climate parameters over the last decades is due to increases in the abundance of carbon dioxide and other greenhouse gases caused by human activities.

**Stratospheric and tropospheric ozone.** Stratospheric and tropospheric ozone both absorb infrared radiation emitted by Earth's surface, trapping heat in the atmosphere. Stratospheric ozone also significantly absorbs solar radiation. As a result, increases or decreases in stratospheric or tropospheric ozone induce a climate forcing and, therefore, represent direct links between ozone and climate. In recent decades, global stratospheric ozone has *decreased* due to rising reactive chlorine and bromine amounts in the atmosphere, while global tropospheric ozone in the Industrial Era has *increased* due to pollution from human activities (see Q3). Stratospheric ozone depletion has caused a small *negative* radiative forcing since preindustrial times, while increases in tropospheric ozone have caused a *positive* radiative forcing (see Figure Q18-1). Summing the positive forcing due to tropospheric ozone increases with the smaller negative forcing from stratospheric ozone depletion yields a net positive radiative forcing. The large uncertainty in tropospheric ozone forcing reflects the difficulty in quantifying tropospheric ozone trends and in

modeling the complex production and loss processes that control its abundance. The negative radiative forcing from stratospheric ozone depletion will diminish in the coming decades as ODSs are gradually removed from the atmosphere.

Stratospheric ozone depletion cannot be a principal cause of present-day global climate change for two reasons: first, the climate forcing from ozone depletion is negative, which leads to surface cooling. Second, the total forcing from other long-lived and short-lived gases in Figure Q18-1 is positive and far larger. The total forcing from these other gases is the principal cause of observed and projected climate change.

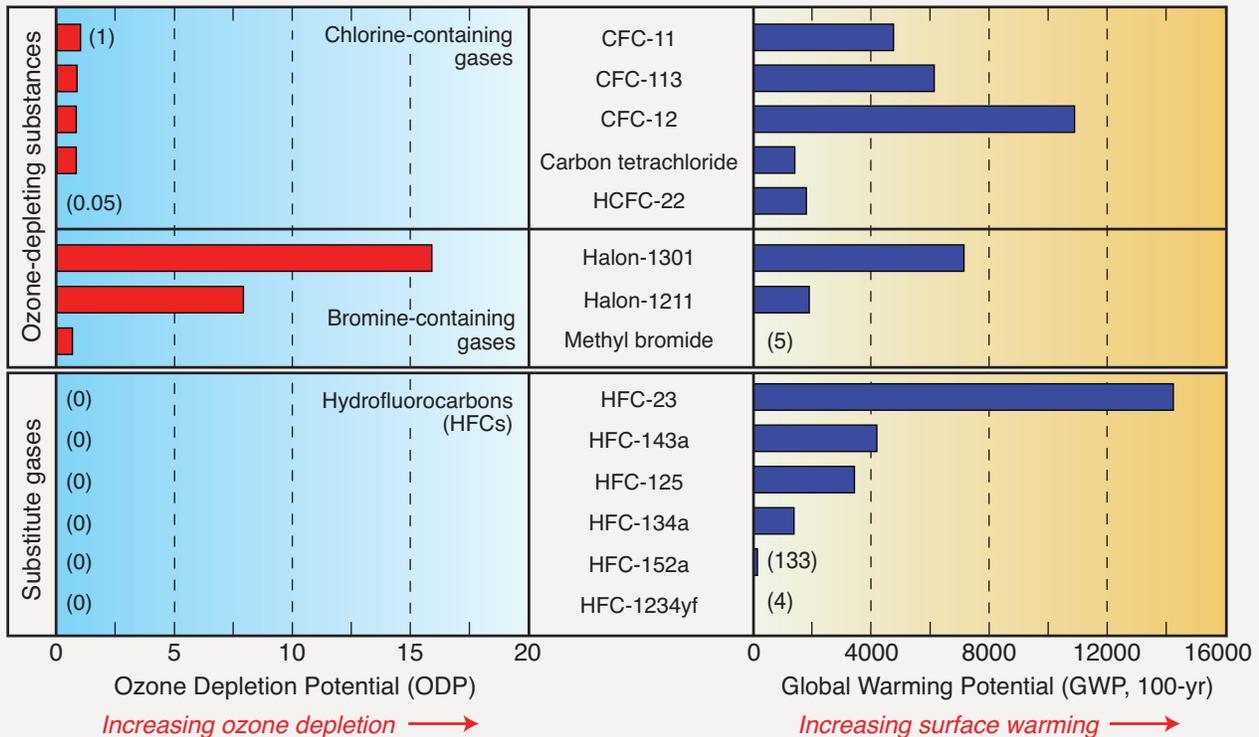
**Carbon dioxide, methane, and nitrous oxide.** The accumulation of carbon dioxide during the Industrial Era represents the largest climate forcing related to human activities. Carbon dioxide concentrations continue to increase in the atmosphere primarily as the result of burning fossil fuels (coal, oil, and natural gas) for energy and transportation, as well as from cement manufacturing. The atmospheric abundance of carbon dioxide in 2005 was about 36% above what it was 260 years ago in preindustrial times. Carbon dioxide is considered a *long-lived* gas, since a significant fraction remains in the atmosphere 100–1000 years after emission.

Methane is a *short-lived* climate gas (atmospheric lifetime of about 10 years) that has both human and natural sources. Human sources include livestock, rice agriculture, and landfills. Natural sources include wetlands, oceans, and forests.

Nitrous oxide is a *long-lived* climate gas (atmospheric lifetime of about 110 years) that also has both human and natural sources. The largest human source is agricultural activities, especially related to fertilization. Microbial processes in soils that are part of natural biogeochemical cycles represent the largest natural source. In the stratosphere, nitrous oxide is the principal source of reactive nitrogen species, which participate in ozone destruction cycles (see Q2 and Q7).

**Halocarbons.** Halocarbons in the atmosphere contribute to both ozone depletion and climate change. As used here, halocarbons represent those gases containing chlorine, bromine, or fluorine atoms that are now controlled substances under the Montreal Protocol or the Kyoto Protocol. ODSs are the halocarbons controlled under the Montreal Protocol. HFC substitute gases, perfluorocarbons (PFCs), and sulfur hexafluoride (SF<sub>6</sub>) are controlled under the Kyoto Protocol. In 2005, the halocarbon contribution to climate forcing was 0.34 W/m<sup>2</sup>, which is the third or fourth largest following carbon dioxide and methane (see Figure Q18-1). The contributions of individual halocarbon gases are highlighted in Figure Q18-2. Within the halocarbons, CFCs contribute the largest percentage (80%) to the 2005 climate

### Evaluation of Selected Ozone-Depleting Substances and Substitute Gases Relative importance of equal mass emissions for ozone depletion and climate change



**Figure Q18-3. ODPs and GWPs.** ODSs and their substitutes can be compared via their Ozone Depletion Potentials (ODPs) and Global Warming Potentials (GWPs) (see Table Q7-1). Larger ODPs or GWPs indicate greater potential for ozone depletion or climate warming, respectively, when a gas is emitted to the atmosphere. The ODP and GWP values are derived assuming an equal mass of each gas is emitted. The GWPs shown here are evaluated for a 100-year time interval after emission. The ODP of CFC-11 and the GWP of CO<sub>2</sub> are assigned reference values of 1.0. The CFCs, halons, and HCFCs are ozone-depleting substances (see Q7) while HFCs, used as ODS substitutes, do not destroy ozone (ODPs equal 0). The ODPs of the halons far exceed those of the CFCs. All ODSs and their substitutes shown here have a non-zero GWP, with values spanning the wide range of 4 to 14,000.

forcing. HCFCs, the intermediate-term ODS substitutes, make the next largest contribution (12%). The atmospheric abundance of HFCs, the longer-term ODS substitutes, contributes only 3% to the 2005 halocarbon climate forcing.

The large contribution of the CFCs is expected to gradually decrease following the projected decline in their atmospheric abundance (see Figure Q16-1). Based on their long lifetimes, CFCs will still make a significant contribution, and most likely the largest ODS contribution, to halocarbon climate forcing at the end of the 21<sup>st</sup> century. Halocarbons controlled under the Kyoto Protocol (HFCs, PFCs, and SF<sub>6</sub>) represent about 5% of halocarbon climate forcing in 2005. With the projected growth of HFC production and consumption in

developing nations, this percentage contribution is expected to increase substantially in the coming decades.

**Ozone Depletion Potentials and Global Warming Potentials.** An important way of comparing the influence of individual halocarbons on ozone depletion and climate change is to use Ozone Depletion Potentials (ODPs) and Global Warming Potentials (GWPs). The ODP and GWP of a gas quantify its effectiveness in causing ozone depletion and climate forcing, respectively (see Table Q7-1). The principal halocarbon gases are contrasted with each other in Figure Q18-3. The ODP of CFC-11 and the GWP of carbon dioxide are assigned reference values of 1. The CFCs and carbon tetrachloride all have ODPs near 1, indicating comparable effectiveness in causing

ozone depletion. The principal halons have ODPs greater than 7, making them the most effective ozone-depleting substances. HFCs have ODPs of zero since they cause no ozone depletion (see Q7).

All halocarbons have non-zero GWPs and, therefore, contribute to climate forcing. The GWP does not correspond strongly with the ODP of a gas because these quantities depend on different chemical and physical properties. For example, while HFC-134a does not destroy ozone (ODP equal 0), each gram emitted is 1,370 times more effective than a gram of carbon dioxide in causing climate forcing. The future selection of specific HFCs as ODS substitutes or for use in new global applications will have important consequences for climate forcing. When these HFCs are eventually released to the atmosphere, the contribution to climate forcing will depend on their GWPs, which could vary over a wide range (4 to 14,000).

Montreal Protocol regulations have led to reductions in CFC emissions and increases in HCFC emissions (see Q16). As a result of these actions, the total radiative forcing from ODSs is slowly decreasing (see Q19). Overall halocarbon radiative forcing, however, is slowly increasing because of growing contributions from HFCs, PFCs, and SF<sub>6</sub>. It is important to note that, despite having a GWP that is small in

comparison to many other halocarbons and other greenhouse gases, carbon dioxide is the most important greenhouse gas related to human activities because its emissions are large and its atmospheric abundance is far greater than the abundances of other emitted gases.

**Impact of climate change on ozone.** Certain changes in Earth's climate could affect the future of the ozone layer. Stratospheric ozone is influenced by changes in temperatures and winds in the stratosphere. For example, lower temperatures and stronger polar winds could both increase the extent and severity of winter polar ozone depletion. While the Earth's surface is expected to continue warming in response to the net positive radiative forcing from greenhouse gas increases, the stratosphere is expected to continue cooling. A cooler stratosphere would extend the time period over which polar stratospheric clouds (PSCs) are present in winter and early spring and, as a result, might increase polar ozone depletion. In the upper stratosphere at altitudes above PSC formation regions, a cooler stratosphere is expected to increase ozone amounts because lower temperatures decrease the effectiveness of ozone loss reactions. Furthermore, climate change may alter the strength of the stratospheric circulation and with it the distribution of ozone in the stratosphere (see Q20).