



## 2 SAR imaging and hydrodynamic analysis of ocean bottom 3 topographic waves

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6 [1] The satellite synthetic aperture radar (SAR) images display wave-like patterns of the  
7 ocean bottom topographic features at the south outlet of Taiwan Strait (TS). Field  
8 measurements indicate that the most TS water body is vertically stratified. However, SAR  
9 imaging models available were developed for homogeneous waters. Hence explaining  
10 SAR imaging mechanisms of bottom features in a stratified ocean is beyond the scope of  
11 those models. In order to explore these mechanisms and to determine the quantitative  
12 relations between the SAR imagery and the bottom features, a two-dimensional,  
13 three-layer ocean model with sinusoidal bottom topographic features is developed.  
14 Analytical solutions and inferences of the momentum equations of the ocean model lead to  
15 the following conditions. (1) In the lower layer, the topography-induced waves  
16 (topographic waves hereafter) exist in the form of stationary waves, which satisfy a lower  
17 boundary resonance condition  $\sigma = kC_0$ , here  $\sigma$  is an angular frequency of the stationary  
18 waves,  $k$  is a wavenumber of bottom topographic corrugation, and  $C_0$  is a background  
19 current speed. (2) As internal waves, the topographic waves may propagate vertically to  
20 the upper layer with an unchanged wavenumber  $k$ , if a frequency relation  $N_3 < \sigma < N_2$  is  
21 satisfied, here  $N_2$  and  $N_3$  are the Brunt-Wäisälä frequencies of middle layer and upper  
22 layer, respectively. (3) The topographic waves are extremely amplified if an upper layer  
23 resonance condition is satisfied. The SAR image of topographic waves is derived on  
24 the basis of current-modulated small wave spectra. The results indicate that the  
25 topographic waves on SAR images have the same wavelength of bottom topographic  
26 corrugation, and the imagery brightness peaks are either inphase or antiphase with  
27 respect to the topographic corrugation, depending on a sign of a coupling factor. These  
28 theoretical predictions are verified by field observations. The results of this study provide  
29 a physical basis for quantitative interpretation of SAR images of bottom topographic  
30 waves in the stratified ocean.

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32 topographic waves, *J. Geophys. Res.*, *111*, XXXXXX, doi:10.1029/2006JC003586.

### 34 1. Introduction

35 [2] Seawater is a high conductivity dielectric medium.  
36 Based on the principles of electromagnetic wave propaga-  
37 tion, microwave pulses, that radar uses to detect the targets  
38 on the earth surface, are capable of penetrating only into a  
39 thin layer of seawater with a maximum depth on the order  
40 of centimeters. The radar is thus unable to detect the ocean  
41 bottom topographic features and underwater objects  
42 directly. But, previous investigators have shown since the  
43 launch of SEASAT satellite in 1978, that the ocean bottom

topographic features, such as tidal flats, submarine sand 44  
waves, banks, and shoals, still show up on the SAR images 45  
[Fu and Holt, 1982; Lodge, 1983; Alpers and Hennings, 46  
1984; Shuchman et al., 1985; Apel, 1987; Hsu et al., 1997; 47  
Alpers et al., 2004]. 48

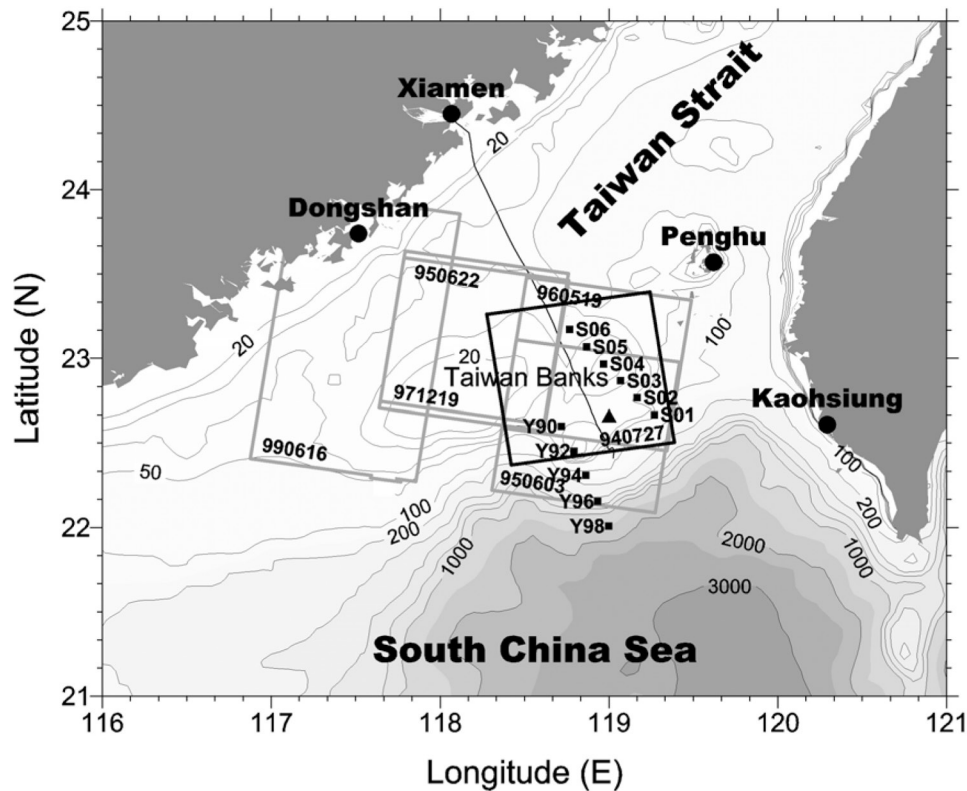
[3] Owing to high spatial resolution, SAR images are 49  
able to reveal many details of ocean bottom topographic 50  
features, which cannot be found in existing navigation 51  
charts. Thus previous investigators suggested using SAR 52  
images for ocean bottom topographic mapping [Hsu et al., 53  
1997; Vogelzang, 1997; Alpers et al., 2004]. However, this 54  
resource cannot practically be used for operational or 55  
engineering mapping, unless dynamic links between ocean 56  
bottom topographic features and SAR imagery features, 57  
including imaging mechanisms and quantitative relation- 58  
ships between the two, are clarified, and accurate inverse 59  
methods are established. Hence previous investigators have 60  
dedicated continuous efforts to answer this ‘what-is-what’ 61  
question. Alpers and Hennings [1984] suggested a radar 62  
imaging mechanism of underwater bottom topography, 63

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**Figure 1.** A map of study area with isobaths in meters. Boxes with codes show the ground coverage of SAR images. Triangle in Box 940727 is the location where 3-day anchored tidal measurements were taken. The thin line extending from Xiamen all the way to Box 950603 presents the ship track for high resolution bathymetric measurements using an shipboard ADCP. CTD stations of two transects for cruises of August–September 1994 are also marked.

64 which is a model relating the topography with surface  
 65 waves via tidal current modulation. Later, their model was  
 66 further developed to include the effects of advection  
 67 [Vogelzang, 1989; Hennings, 1990] and the effects of the  
 68 sand wave profiles [van der Kooij et al., 1995]. Vogelzang  
 69 [1997] used the continuity equations to describe modulation  
 70 of sand waves to the tidal current. Shuchman et al. [1985]  
 71 developed a hydrodynamic and electromagnetic numerical  
 72 model to investigate SAR imaging conditions of ocean  
 73 bottom topography.

74 [4] Most ocean radar imaging models available to date  
 75 focus on the modulation of variable current to the surface  
 76 waves. While the underlying ocean is treated as homoge-  
 77 neous, namely the ocean is unstratified and with no-shear of  
 78 velocity. In the most cases, however, the real ocean can be  
 79 vertically stratified even in shallow water. Donato et al.  
 80 [1997] carried out intensive air-borne radar imaging flights  
 81 over ocean bottom sand waves on the continental shelf east  
 82 of Cape Hatteras, where the water is only 37 m deep, but  
 83 strongly stratified. Comparing the radar imagery with con-  
 84 current accurate observations of bathymetry, they found that  
 85 the major features of the sand waves on the radar images,  
 86 such as the maximum signal intensity and the peak value  
 87 locations, disagree with theoretical predictions derived from  
 88 the homogeneous models. Thus they suggested that any  
 89 radar imaging theory must account for both influences of  
 90 stratification and topography. As one can see later, that in  
 91 our case, the ocean bottom topographic features located at

underwater 100 m depth at the south Taiwan Strait still  
 show up on the SAR images. For such a water area, it is  
 unreasonable to treat the water as homogeneous vertically.  
 In fact, CTD (conductivity-temperature-depth profiler)  
 measurements shown in Figures 2a–2d clearly demonstrate  
 that the vertical stratification becomes an unavoidable  
 hydrodynamic feature at most stations. Therefore a hydro-  
 dynamic model needs to be developed. The solutions of the  
 new model must be able to account for the interaction  
 between different layers and the bottom topography, as well  
 as the modulation of the sea surface waves to the radar  
 pulses.

[5] This study aims to establish a SAR imaging hydro-  
 dynamic model, which includes both influences from the  
 stratification and topography. The analytical solutions will  
 be sought, and verified using observational data. The model  
 and solutions will then be used to interpret satellite SAR  
 images of bottom topographic waves. Similar methodology  
 has been used to study coastal lee wave [Zheng et al., 1998,  
 2004], ocean internal wave [Zheng et al., 2001], and estuary  
 jet [Zheng et al., 2004].

[6] This paper is organized as follows. Next section gives  
 a description of the study area and its hydrodynamic  
 conditions including circulation, tides, depth, hydrography,  
 winds, and high-resolution shipboard ADCP (Acoustic  
 Doppler Current Profiler) water depth profile. Section 3  
 describes satellite SAR images used for this study with  
 preliminary interpretation and statistical analysis. Section 4

t1.1 **Table 1.** CTD Stations Within the Study Area

t1.2	Station	Location	Depth, m	Casting Time, UTC
t1.3	C044S01	19°16.05'N 22°40.00'E	81	1159 Sep 3, 1994
t1.4	C044S02	19°10.03'N 22°46.03'E	63	1057 Sep 3, 1994
t1.5	C044S03	19°04.11'N 22°52.02'E	37	1003 Sep 3, 1994
t1.6	C044S04	18°58.09'N 22°58.04'E	25	0906 Sep 3, 1994
t1.7	C044S05	18°52.01'N 23°04.09'E	26	0801 Sep 3, 1994
t1.8	C044S06	18°45.98'N 23°10.13'E	31	0651 Sep 3, 1994
t1.9	Y90	22°36.0'N 118°43.0'E	56	0905 Sep 7, 1994
t1.10	Y92	22°27.2'N 118°47.6'E	92	1023 Sep 7, 1994
t1.11	Y94	22°18.6'N 118°51.7'E	140	1135 Sep 7, 1994
t1.12	Y96	22°09.3'N 118°56.0'E	450	1250 Sep 7, 1994
t1.13	Y98	22°00.5'N 118°59.8'E	1709	1420 Sep 7, 1994

120 develops a 2-D, three-layer ocean model and gives details  
 121 for analytical solutions. In section 5, the solutions and  
 122 inferences are verified with field observations. Section 6  
 123 gives conclusions.

## 124 2. Study Area and Hydrodynamic Conditions

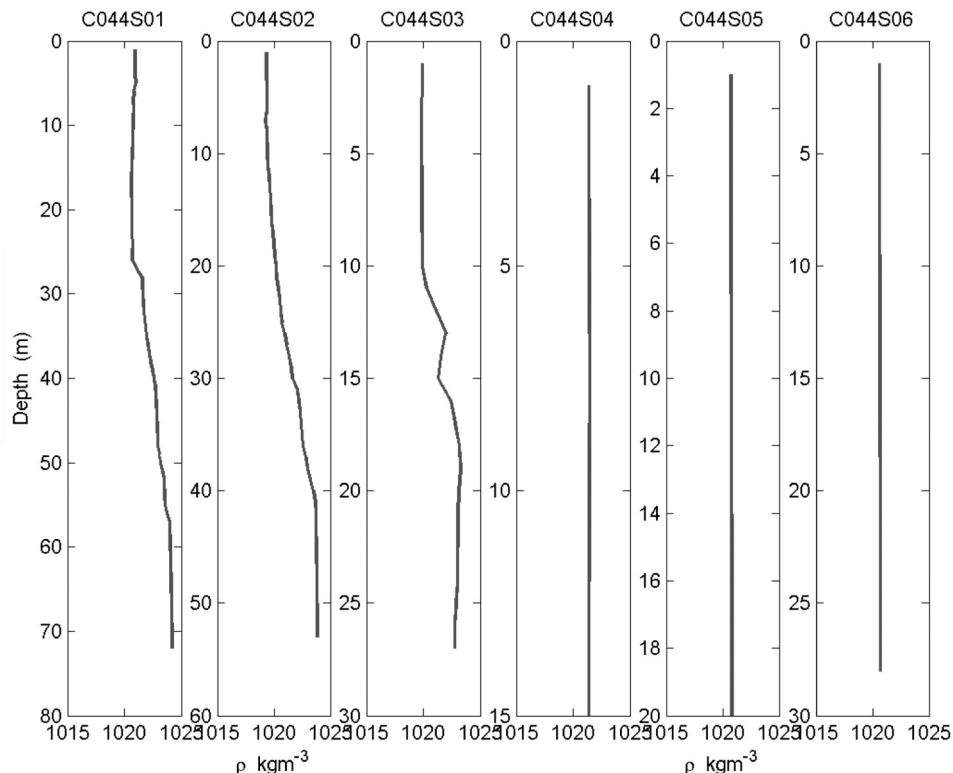
### 125 2.1. Taiwan Banks

126 [7] Our study area is located at the southern outlet of the  
 127 Taiwan Strait covering an area from 22° to 24°N latitude,  
 128 and from 117° to 120°E longitude, i.e., the Taiwan Banks  
 129 and its adjacent region as shown in Figure 1. About 80% of  
 130 the total area is shallower than 100 m, the rest 20% on the  
 131 southeast corner is a portion of the deep South China Sea  
 132 basin. Near the continental shelf frontal edge, depths  
 133 descend sharply from 100 m to 2000 m within a distance  
 134 less than 50 km.

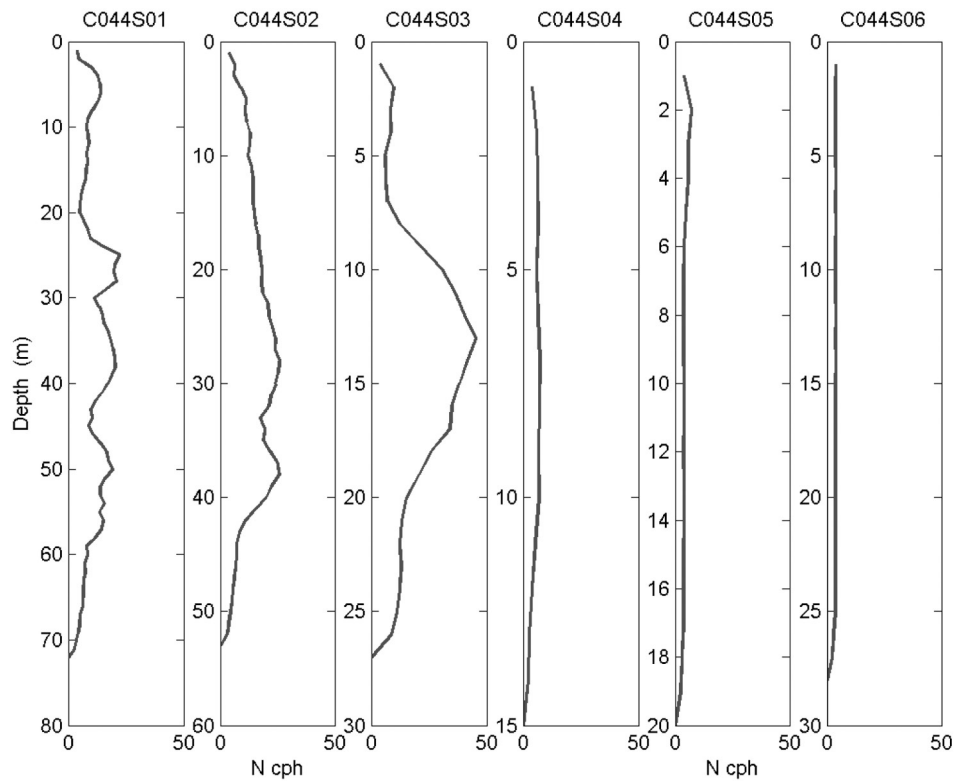
[8] The Taiwan Banks, located from 22.5° to 23.5°N 135  
 latitude and from 117.5° to 119.3°E longitudes, is a shoal 136  
 lying across the southern outlet of TS like a threshold. It is 137  
 separated from the coasts of mainland China on the western 138  
 side, and from Taiwan Island by the Penghu Channel on the 139  
 eastern side. The total area is about 8000 km<sup>2</sup>. The average 140  
 water depth is 30 m. The submarine morphology of Taiwan 141  
 Banks is featured by two-scale subsystems. The large scale 142  
 subsystem is composed by tidal sand ridges with relative 143  
 heights of 10 to 20 m extending as long as tens kilometers 144  
 roughly in a north-south orientation. The small scale sub- 145  
 system is composed by a vast distribution of hundreds of 146  
 sand waves. The orientation of sand waves is nearly 147  
 perpendicular to tidal sand ridges. Field measurements 148  
 reveal that the heights of sand waves reach 3 to 15 m, 149  
 and the widths 350 to 500 m. The sand waves are distrib- 150  
 uted in groups. In a group, the sand waves run parallel to 151  
 each other with separations much smaller than their widths 152  
 [Cai *et al.*, 1992]. Using LANDSAT-5 TM (Thematic 153  
 Mapper) images, Li *et al.* [2001, 2003] estimated that the 154  
 sand waves roughly take a west-east orientation with 155  
 lengths from 0.5 to 5 km, and the wavelengths range from 156  
 0.35 to 1.5 km. One can see later, these sand waves show up 157  
 clearly on the SAR images. 158

### 159 2.2. Circulation Systems

[9] Circulation around Taiwan Banks consists of two 160  
 major subsystems: the South China Sea Warm Current 161  
 subsystem, which flows northeastward along the shelf break 162  
 south of Taiwan Banks [Guan and Fang, 2006; Li *et al.*, 163  
 2000] and enters the eastern strait through the Penhu 164



**Figure 2a.** Vertical profiles of density derived from the CTD data measured by R/V *Ocean Researcher* 3 on September 3, 1994.



**Figure 2b.** The corresponding vertical distributions of the Brunt-Wäisälä frequencies of profiles shown in Figure 2a.

165 Channel flowing northward year-round [Chuang, 1986];  
 166 and a seasonal varying coastal current subsystem on the  
 167 west strait modulated by the annual cycle of monsoon wind  
 168 forcing, which drives a narrow southward jet (so-called  
 169 Zhejiang-Fujian Coastal Current) along the coasts in winter  
 170 [Zheng and Klemas, 1982] and coastal upwelling with weak  
 171 horizontal currents and strong upwelling fronts in the  
 172 channel west to Taiwan Banks [Li and Li, 1989; Li et al.,  
 173 2000]. In any case, these circulation systems have little influ-  
 174 ence on the study area, which is located at the center of the strait.

### 175 2.3. Tides

176 [10] Unlike the circulation systems, the tidal current plays  
 177 a key role in the hydrodynamics of the study area. Tides in  
 178 the TS are dominated by semidiurnal M2 tide with the  
 179 amplitude as high as 2 m in the middle section. As the  
 180 Pacific M2 tide propagating into the shallow East China  
 181 Sea, its amplitude is amplified. A part of this amplified tidal  
 182 wave propagates southward along the China coast and  
 183 enters the TS with further amplification. The southward  
 184 propagating wave and its reflection by the abruptly  
 185 deepened topography south of the TS lead to the coexis-  
 186 tence of a progressive wave in the west and a partial  
 187 standing wave in the east portion of the strait. The southern  
 188 branch of the Pacific M2 tide from the Luzon Strait also  
 189 enters the TS. In comparison to the M2 tide from the north,  
 190 however, its amplitude is relatively small [Yin, 1984].

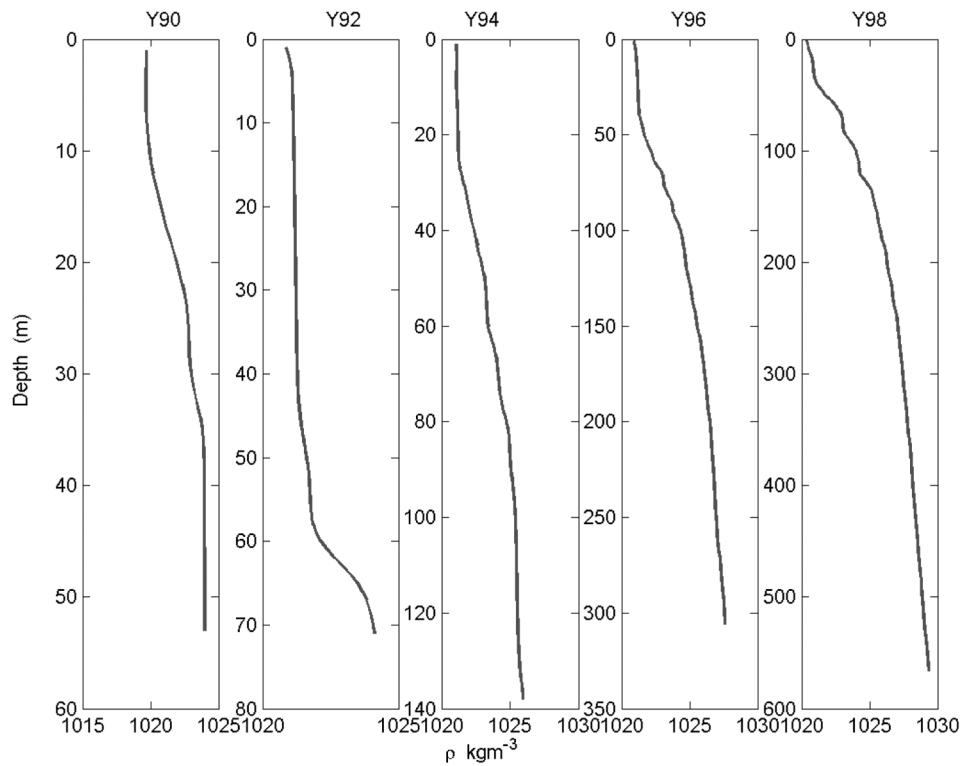
191 [11] Tidal currents are weak in the deep water and  
 192 become stronger over the shallow shelf [Jan et al., 2004,  
 193 Figure 3b]. Major axes of tidal ellipses are essentially

aligned along the strait. In the study area, the northward 194  
 flood tidal velocity is 3.5 kn and the southward ebb tidal 195  
 velocity is 2.5 kn [Hsu et al., 1997]. 196

### 2.4. Vertical Stratification 197

[12] Hydrographic data used in this study were collected 198  
 by a joint cruise program between oceanographers from 199  
 both sides of the TS. The CTD survey of the joint program 200  
 was conducted in the south TS and adjacent north SCS 201  
 water. The observations were carried out from August 28 to 202  
 September 10, 1994 by R/V *Ocean Researcher 3* from 203  
 Taipei and R/V *Yan Ping* from Xiamen [Li et al., 1998]. 204  
 There were two transects as marked in Figure 1, just across 205  
 the Taiwan Banks. The location, water depth, and time of 206  
 CTD casting at each station are listed in Table 1. The CTD 207  
 data from these stations were obtained on September 3 208  
 and 7, 1994, 36 and 40 days later than the date SAR image 209  
 940727 was taken. The data are used to represent approx- 210  
 imately the ocean stratification at the SAR imaging time. 211  
 The vertical profiles of density stratification derived from 212  
 the CTD data at each station and the Brunt-Wäisälä 213  
 frequencies, defined as  $N^2 = -g \frac{\partial \ln \rho}{\partial z}$ , in which  $g$  is the 214  
 gravitational acceleration, and  $\rho(x, z, t)$  is the density, 215  
 corresponding to each density profile are shown in 216  
 Figures 2a–2d, respectively. 217

[13] One can see that 8 of 11 stations show strong vertical 218  
 stratification, and rest three stations (C044S04–06) show 219  
 weak continuous stratification. These field measurements 220  
 and derived parameters will serve as a basis for physical 221  
 ocean model development and dynamic analysis later on. 222



**Figure 2c.** Vertical profiles of density derived from the CTD data measured by R/V *Yan Ping* on September 7, 1994.

## 2.5. Sea Surface Winds

[14] Winds over the TS are dominated by the East Asia monsoon, prevailing northeasterly winds in winter and southwesterly winds in summer. The northeast monsoon lasts for nine months a year, beginning in mid-September, reaching a peak phase from December to February, and weakening continuously thereafter till May the next year. The southwest monsoon lasts for only three months from June to August. The northeast monsoon is much stronger than the southwest monsoon. Analyses of satellite scatterometer winds from 1997 to 2001 indicate that the maximum monthly mean wind speeds in the central strait are  $8 \text{ ms}^{-1}$  in winter, and  $3 \text{ ms}^{-1}$  in summer, respectively [Kuo and Ho, 2004]. This implies that summer is a low wind and low sea state season, which is favorable for SAR imaging of ocean dynamical processes. In fact, most SAR images containing the ocean bottom topographic features collected for this study were taken in summer season.

[15] In our case, there are no simultaneous wind measurements available at the SAR imaging time. In order to estimate the sea state at the imaging time for image 940727, NCEP reanalysis wind field of the day is plotted in Figure 3. One can see that a reasonable estimate of the wind in the study area at the imaging time of 940727 was a southeasterly wind of  $3 \text{ ms}^{-1}$ , implying a favorable condition for SAR imaging.

## 2.6. ADCP Water Depth Profiles

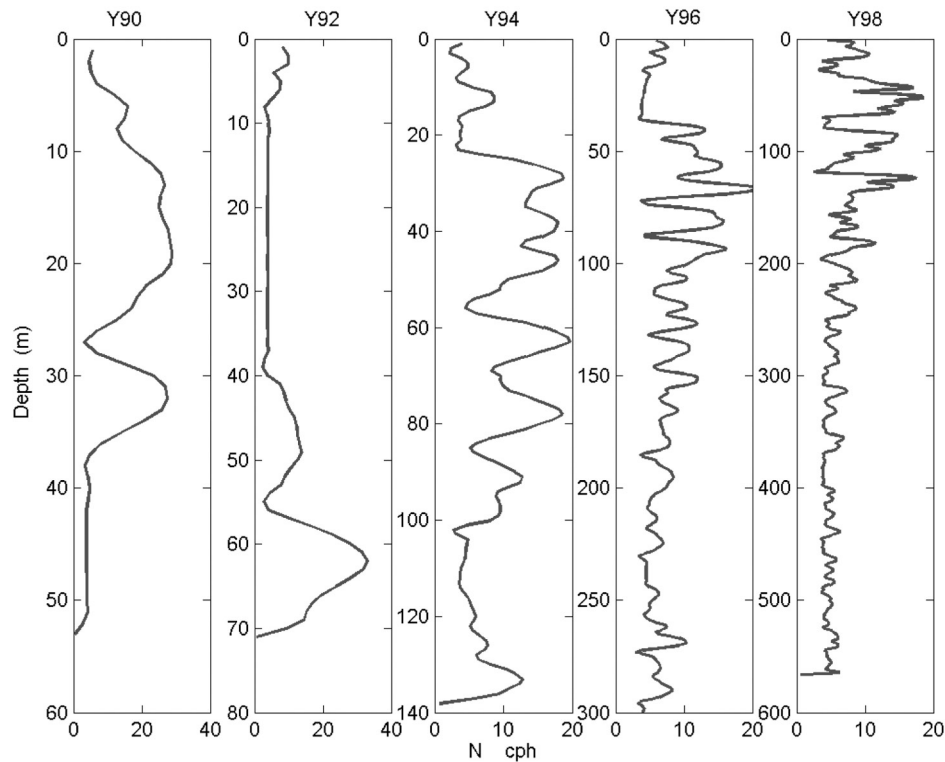
[16] High resolution bathymetry was measured by an ADCP on board R/V *Yan Ping 2* on April 21–22, 2004, along the ship track shown as the thin line from Xiamen to

Taiwan Banks in Figure 1 and a bright line shown on image 940727 as an inset of Figure 4. An ADCP sampling time interval of 4 s was used to measure the water depth, that is equivalent to a horizontal distance resolution of about 12 m for an average navigation speed of 6 kn. This horizontal resolution assures the topographic features of interest with a horizontal length scale of 1 km would not be filtered out. The measured depth section is shown in Figure 4. In comparison, the SAR image brightness section along the ship track shown on image 940727 is also given in the figure. One can see that both curves contain multiscale signals, which will be examined later.

## 3. SAR Images

### 3.1. Basic Information of SAR Images

[17] A total of six SAR images covering the study area are collected for the analysis. Four images (940727, 960619, 971219, and 990616) are downloaded from the Website <http://www.ifm.uni-hamburg.de/ers-sar>. The other two (950603 and 950622) are provided by the National Taiwan Ocean University data base (courtesy of C.-R. Ho and M.-K. Hsu). The basic information of the images is listed in Table 2. The ground coverage of each image has been projected on Figure 1. These images were taken by two C band SARs with the same specifications on board ERS-1 and ERS-2 satellites, respectively. The six images cover a time span of six years from 1994 to 1999. Ground coverage of each scene or image is 100 km by 100 km (except 990616, which is a mosaic of two adjacent scenes). The pixel size of raw data of the images is 12.5 m, while the



**Figure 2d.** The corresponding vertical distributions of the Brunt-Wäisälä frequencies of profiles shown in Figure 2c.

283 ground resolution of all images used for this study has been  
284 reduced to 100 m (1000 by 1000 pixels per scene).

285 [18] Compared to the coastlines and islands on maps and  
286 charts, as well as ocean bottom features shown on well-  
287 registered LANDSAT TM images, we find that the central  
288 positions of the SAR images given in the Website and  
289 database are not accurate enough. Therefore we have  
290 redetermined these positions according to coastlines and  
291 bottom topographic feature on both TM and SAR images.  
292 The results are given in Table 2.

293 [19] Among the six images, image 940727 shown in  
294 Figure 5 serves as a main target for this study, because of  
295 more imagery information than others. Hundreds of  
296 grouped, wave-like, bright-dark patterns on the image show  
297 the surface manifestations of bottom topographic features of  
298 the eastern Taiwan Banks. CTD data show that the depth for  
299 bottom topographic features to show up on the SAR image  
300 reaches around 100 m.

### 301 3.2. Tidal Phase and Velocity at SAR Imaging Time

302 [20] The tidal current is considered as a major factor for  
303 generating the bottom topographic wave signals on the SAR  
304 images. Thus tidal phase is relevant to the characteristics of  
305 the SAR images. As described in section 2.3, the amplitude  
306 of tidal currents at the study area is about  $1.5 \text{ ms}^{-1}$ , and the  
307 major axis of the prevailing  $M_2$  tide is basically parallel to  
308 the NNE-SSW orientation of the Strait. Namely, the topo-  
309 graphic waves observed are basically perpendicular to the  
310 tidal current axis. In order to determine the tidal phase at the  
311 SAR imaging time, continuous tide data are needed.  
312 Unfortunately, there are no direct observations available  
313 at the study area. Instead, the tide gauge data recorded at

two nearby stations, Xiamen ( $24^\circ 27.0'N$   $118^\circ 04.0'E$ ) on the 314  
west coast of the TS, and Kaohsiung ( $22^\circ 36.6'N$  315  
 $120^\circ 17.5'E$ ) on the east coast, are used to extrapolate the 316  
tidal phase at SAR imaging time. The results show (Figure 6) 317  
that in 5 of 6 cases (except 950622,) the SAR imaging times 318  
fall within a  $\pm 1.5$  h span during ebb tides from mid to low 319  
waters with respect to Xiamen, and during flood tides from 320  
low to mid waters with respect to Kaohsiung. Since the 321  
local tide lags Kaohsiung for about 1 hour and leads 322  
Xiamen for 3 hours, these SAR images were recorded 323  
during the first half of local flooding shortly after low tide. 324  
On the other hand, image 950622 was recorded during the 325  
first half of local ebbing after high tide. This implies that a 326  
certain level of tidal current velocity is needed to sustain the 327  
existence of hydrodynamic disturbances of topographic 328  
waves, although their time of generation cannot be deter- 329  
mined directly by SAR observations. 330

[21] The tidal velocity at the SAR imaging time is not 331  
available. Based on 3-day anchored measurements at the 332  
topographic wave area marked by a triangle in Figure 1 333  
[Chen *et al.*, 1999] and the results by previous investigators 334  
[Yin, 1984; Hsu *et al.*, 1997; Jan *et al.*, 2004], a reasonable 335  
estimate for the tidal velocity is about  $1.5 \text{ ms}^{-1}$ . 336

## 4. Hydrodynamic Analysis 338

### 4.1. Physical Model 339

[22] Based on the measurements of the vertical stratifica- 340  
tion and bottom topography, a two-dimensional, three-layer 341  
model is developed as shown in Figure 7 using a Cartesian 342  
coordinate system. The origin is located at the bottom. The 343  
 $z$  axis is positive upward. The horizontal axis  $x$  is perpen- 344

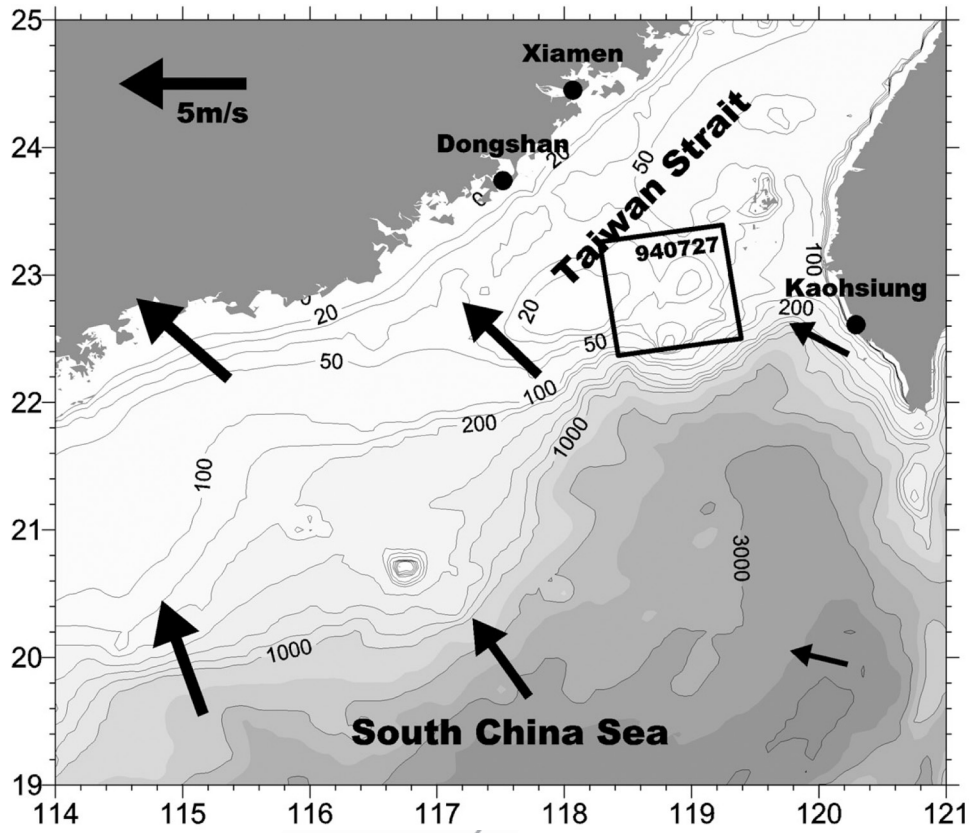


Figure 3. NCEP wind field at the imaging time of SAR image 940727 shown in Figure 5.

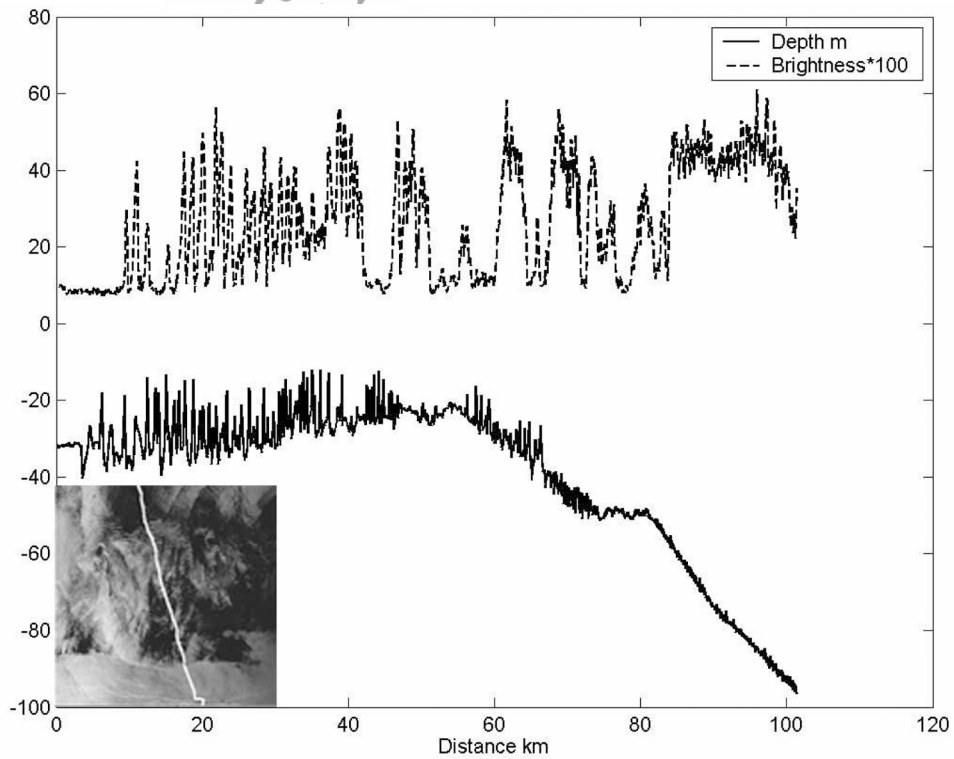


Figure 4. Water depth profile measured by a shipboard ADCP (lower) and corresponding image brightness (upper) along the ship track shown on SAR image 940727 (inset).

t2.1 **Table 2.** Basic Information of SAR Images Used for This Study

t2.2	Code	Satellite	Date and Time, UTC	Orbit	Frame	Central Position (Corrected)
t2.3	940727	ERS-1	Jul 27, 1994 14:31	15850	441	23°02'N 118°32'E
t2.4	950603	ERS-1	Jun 3, 1995 2:37	20303	3159	22°36'N 118°52'E
t2.5	950622	ERS-1	Jun 22, 1995 2:40	20575	3159	23°05'N 118°12'E
t2.6	960519	ERS-2	May 19, 1996 2:37	05640	3159	22°58'N 118°50'E
t2.7	971219	ERS-2	Dec 19, 1997 2:40	13928	3141	23°07'N 118°12'E
t2.8	990616	ERS-2	Jun 16, 1999 2:43	21715	3123–3141	23°08'N 117°30'E

345 dicular to the axis of bottom topographic corrugation and  
 346 positive downstream. In other words, the ocean current is a  
 347 crossing flow with respect to the bottom topographic  
 348 waves. Assume that the ocean with a depth of  $D$  can  
 349 be into three layers. The lower layer has a thickness  
 350 of  $H$ , the middle layer,  $2\Delta H$ , and the upper layer,  $H_3$   
 351  $[=D - (H + 2\Delta H)]$ . The Brunt-Wäisälä frequencies in the  
 352 three layers are constants  $N_1$ ,  $N_2$ , and  $N_3$ , respectively. As  
 353 the lower rigid boundary of the ocean, the bottom topo-  
 354 graphic waves constitute a small-amplitude corrugation,  
 355 sinusoidal in  $x$ , i.e.,  $\eta = (\eta_s/\pi)e^{ikx}$ , where  $\eta_s$  ( $\ll H$ ) is the  
 356 amplitude, and  $k$  ( $=2\pi/\lambda$ , in which  $\lambda$  is the wavelength.) is  
 357 the wave number. Only the real part is meant in applica-  
 358 tions. For convenience, we assume that there is no vertical  
 359 shear in the horizontal velocity in each layer.

#### 360 4.2. Wave Equations and Solutions

361 [23] We adopt the methods used in the analysis of the  
 362 atmospheric gravity wave to our case [*Gossard and Hooke*,  
 363 1975]. The fluid is considered to be incompressible. The

364 wave is assumed two-dimensional, and propagates in the  
 365  $xz$ -plane. The momentum equations are

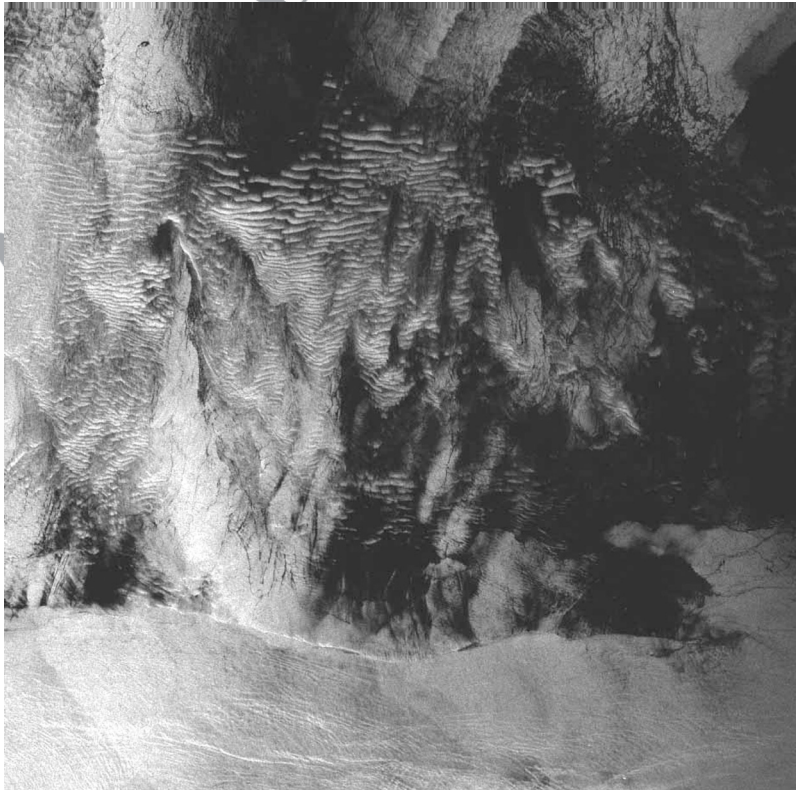
$$\frac{\partial u}{\partial t} = -\frac{1}{\rho_0} \frac{\partial p}{\partial x}, \quad (1)$$

$$\frac{\partial w}{\partial t} = -\frac{1}{\rho_0} \frac{\partial p}{\partial z} - g \frac{\rho}{\rho_0}, \quad (2)$$

$$\frac{\partial \rho}{\partial t} + w \frac{\partial \rho_0}{\partial z} = 0, \quad \frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0, \quad (3)$$

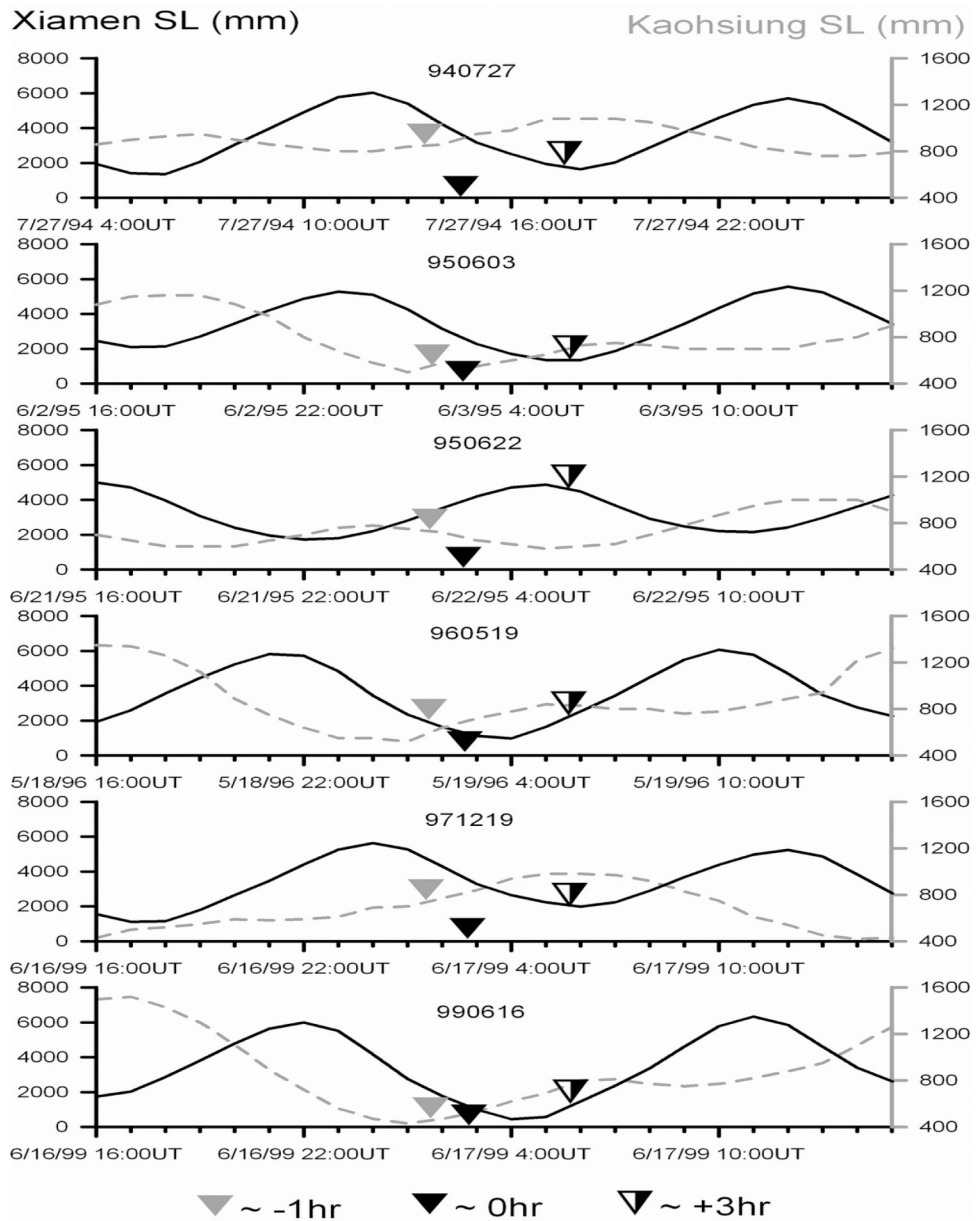
371 where  $u$  and  $w$  are the horizontal and vertical velocity  
 372 components, respectively,  $p$  is the pressure, and  $\rho$  ( $=\rho_0 + \varepsilon\rho_1 +$   
 373  $\varepsilon^2\rho_2 + \dots$ ) is the density. From (2) and (3) we have

$$\frac{\partial^2 w}{\partial t^2} + \frac{1}{\rho_0} \frac{\partial}{\partial t} \left( \frac{\partial p}{\partial z} \right) - \frac{g}{\rho_0} \frac{\partial \rho_0}{\partial z} w = 0. \quad (4)$$

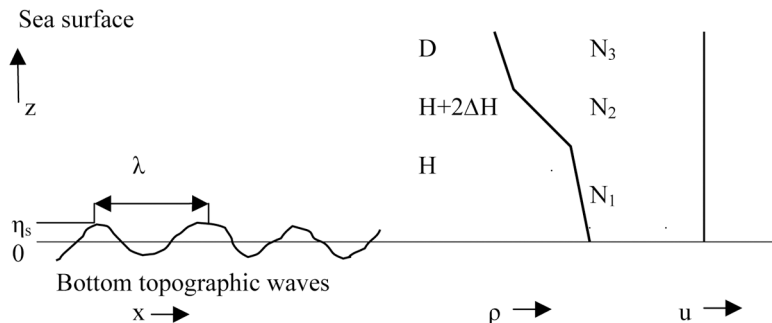


**Figure 5.** SAR image 940727 of the Taiwan Banks taken by ERS-1 satellite on July 27, 1994, 14:31 UTC. The image is centered at 23°02'N 118°32'E, located at the southern outlet of the Taiwan Strait.





**Figure 6.** SAR imaging times (dark reverse triangles) and tides at two nearby tide gauges, Xiamen ( $24^{\circ}27.0'N$   $118^{\circ}04.0'E$ , dark lines) on the west coast of the Taiwan Strait, and Kaohsiung ( $22^{\circ}36.6'N$   $120^{\circ}17.5'E$ , dashed lines) on the east coast. Split reverse triangles and light reverse triangles show the corresponding tidal phases with respect to Xiamen (3-hour lag) and Kaohsiung (1-hour lead), respectively.



**Figure 7.** Schematic illustration of a two-dimensional, three-layer model ocean.

375 From (1) and (3) we have

$$\frac{1}{\rho_0} \frac{\partial^2 p}{\partial x^2} = \frac{\partial}{\partial t} \left( \frac{\partial w}{\partial z} \right). \quad (5)$$

377 Propose (4) and (5) have solutions of the form

$$(w, p) = (w_z, p_z) \exp i(kx - \sigma t), \quad (6)$$

379 where  $\sigma$  ( $=2\pi/T$ , and  $T$  is the period of the horizontal  
380 component) is the angular frequency. Further eliminating  $p$  in  
381 (4) yields the wave equation in  $w_z$  as

$$\frac{\partial^2 w_z}{\partial z^2} + \frac{1}{\rho_0} \frac{\partial \rho_0}{\partial z} \frac{\partial w_z}{\partial z} + \frac{k^2}{\sigma^2} (N^2 - \sigma^2) w_z = 0. \quad (7)$$

383 Here  $N$  is defined as in section 2.4. Supposing  $\rho_0 = \rho_s e^{-\alpha z}$ , in  
384 which  $\rho_s$  is the density at a reference depth, and  $\alpha$  is a  
385 constant, and using the variable transformation of the form

$$W_z = \left( \frac{\rho_0}{\rho_s} \right)^{1/2} w_z, \quad (8)$$

387 Equation (7) becomes

$$\frac{\partial^2 W_z}{\partial z^2} + \left[ \frac{k^2}{\sigma^2} (N^2 - \sigma^2) + \left( \frac{1}{2\rho_0} \frac{\partial \rho_0}{\partial z} \right)^2 \right] W_z = 0. \quad (9)$$

389 If the coefficient of the second term is a constant

$$n^2 = \frac{k^2}{\sigma^2} (N^2 - \sigma^2) + \left( \frac{1}{2\rho_0} \frac{\partial \rho_0}{\partial z} \right)^2, \quad (10)$$

391 Equation (9) has plane wave solutions in the form of

$$W_z = A \exp i(kx + nz - \sigma t). \quad (11)$$

393 Here  $n$  is the vertical wave number corresponding to the  
394 horizontal wave number  $k$ . Equation (10) is the dispersion  
395 relation or characteristic equation.

396 [24] For a three-layer model ocean as shown in the middle  
397 of Figure 7, solutions satisfying the kinematic boundary  
398 conditions at the interface between two layers, i.e.,

$$\frac{\partial W_i}{\partial z} = \frac{\partial W_{i+1}}{\partial z}, \quad i = 1, 2 \quad (12)$$

400 are as follows [Gossard and Munk, 1954]

$$W_1 = W_H \frac{\sinh \gamma_1 z + M \cosh \gamma_1 z}{\sinh \gamma_1 H + M \cosh \gamma_1 H} \exp i(kx - \sigma t), \quad (13)$$

$$W_2 = W_H \frac{\sinh n_2(z - H) + M_0 \cosh n_2(z - H)}{M_0} \exp i(kx - \sigma t), \quad (14)$$

$$W_3 = W_H \frac{\sin n_2(2\Delta H) + M_0 \cos n_2(2\Delta H)}{M_0} \cdot \exp[-\gamma_3 \{z - (H + 2\Delta H)\} + i(kx - \sigma t)], \quad (15)$$

where  $W_H$  is the wave amplitude at the depth  $H$ , and  $\gamma_1$ , 406  
 $\gamma_3 = -i(n_1, n_3)$ . Applying the dynamic boundary condition 407  
(12) at  $z = H + 2\Delta H$  yields 408

$$M_0 = \frac{n_2 \cos 2n_2 \Delta H + \gamma_3 \sin 2n_2 \Delta H}{-\gamma_3 \cos 2n_2 \Delta H + n_2 \sin 2n_2 \Delta H}. \quad (16)$$

Similarly at  $z = H$ , we obtain 410

$$M = \frac{(n_2/M_0) \sinh \gamma_1 H - \gamma_1 \cosh \gamma_1 H}{\gamma_1 \sinh \gamma_1 H - (n_2/M_0) \cosh \gamma_1 H}. \quad (17)$$

### 4.3. Stationary Wave Solutions 413

[25] As shown in Figure 7, the lower boundary of the 414  
model ocean is a small-amplitude sinusoidal corrugation. If 415  
this boundary is moved with velocity  $C_0$  in the negative 416  
 $x$ -direction beneath an ocean half-space, from the conti- 417  
nuity equation (3) we derive the boundary perturbation of 418  
vertical velocity as 419

$$W(0) = (W_s/\pi) \exp[ik(x + C_0 t)]. \quad (18)$$

This is equivalent to an ocean current with a speed  $C_0$  421  
flowing in the positive  $x$  direction across a stationary 422  
corrugation and establishing a system of stationary waves 423  
[Gossard and Hooke, 1975]. Substituting (18) into (13) and 424  
letting  $H = 0$  yield a stationary solution in the form of 425

$$W_1(x, z, t) = (W_s/\pi M) (\sinh \gamma_1 z + M \cosh \gamma_1 z) e^{ikx}, \quad (19)$$

if a condition 427

$$\sigma = kC_0 \quad (20)$$

is satisfied. We call this condition the lower layer resonance 429  
condition (LLRC). Similarly, from (14) and (15) we have 430

$$W_2(x, z) = (W_s/\pi M_0) [\sin n_2(z - H) + M_0 \cos n_2(z - H)] e^{ikx}, \quad (21)$$

and 432

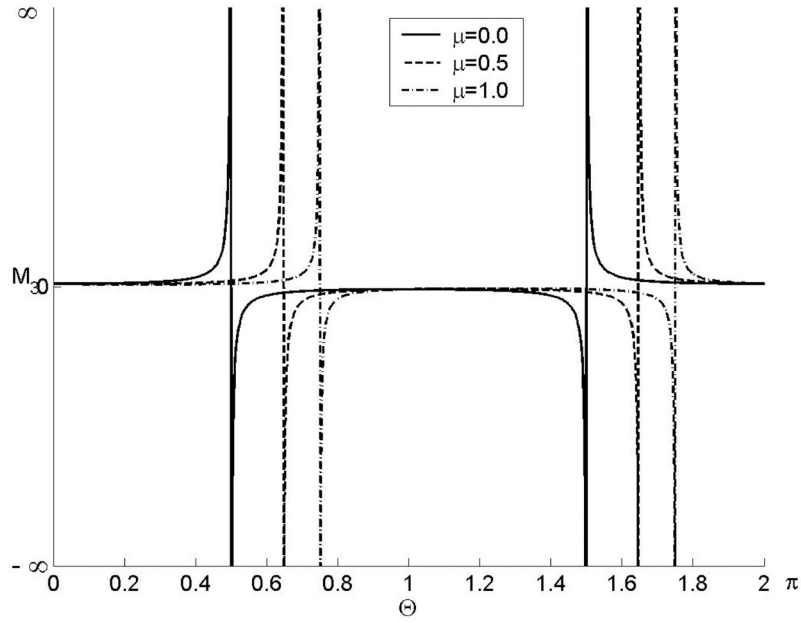
$$W_3(x, z) = (W_s/\pi M_0) [\sin n_2(2\Delta H) + M_0 \cos n_2(2\Delta H)] \cdot \exp[-\gamma_3 \{z - (H + 2\Delta H)\} + ikx]. \quad (22)$$

[26] Besides the dynamic boundary condition, our case 435  
requires a special boundary condition, i.e.,  $W_3$  trends 436  
toward a small quantity at  $z = D$  (sea surface). This requires 437  
 $\gamma_3$  in (15) to be real. In other words,  $n_3$  must be imaginary. 438  
Writing  $n_3 = in_p$ , then  $\gamma_3 = n_p$ , in which 439

$$n_3^2 = \frac{k^2}{\sigma^2} (N_3^2 - \sigma^2) + \left( \frac{1}{2\rho_0} \frac{\partial \rho_0}{\partial z} \right)^2. \quad (23)$$

The condition for  $\gamma_3$  being real requires 441

$$N_3 < \sigma, \left| \frac{k^2}{\sigma^2} (N_3^2 - \sigma^2) \right| > \left( \frac{1}{2\rho_0} \frac{\partial \rho_0}{\partial z} \right)^2. \quad (24)$$



**Figure 8.** Schematic expressions of the coupling factor  $M_3$  (see the text for parameter definitions).

443 On the other hand,  $n_2$  is real, implying  $N_2 > \sigma$ . Therefore the  
 444 topographic wave propagating vertically in the three-layer  
 445 model ocean must satisfy a frequency relation

$$N_3 < \sigma < N_2. \quad (25)$$

448 [27] We define a coupling factor

$$M_3 = \frac{\sin n_2(2\Delta H) + M_0 \cos n_2(2\Delta H)}{M_0} \quad (26)$$

450 Thus

$$W_3(x, z) = (W_s/\pi)M_3 \exp[-\gamma_3\{z - (H + 2\Delta H)\} + ikx].$$

452 One can see that  $M_3$  can be used as an index for the  
 453 efficiency of wave momentum transfer from the middle  
 454 layer to the upper layer. In the case for  $\gamma_3 = n_p$ , we have

$$M_3 = \frac{n_2}{n_2 \cos n_2(2\Delta H) + n_p \sin n_2(2\Delta H)}. \quad (27)$$

456 From equation (27), one can see that the coupling factor  $M_3$   
 457 depends on the stratification parameters of the middle and  
 458 upper layers and the wave parameters of topographic waves.  
 459 For convenience, (27) may further be simplified as

$$M_3 = \frac{1}{\cos \Theta + \mu \sin \Theta}, \quad (28)$$

461 where  $\Theta = n_2(2\Delta H)$ , implying a phase thickness of the  
 462 middle layer, and  $\mu = n_p/n_2$ , implying a relative decay rate  
 463 in the upper layer, respectively. For further understanding  
 464 the physical meaning, we rewrite  $\Theta$  as

$$\Theta = \left[ \frac{k^2}{\sigma^2} (N_2^2 - \sigma^2) + \left( \frac{1}{2\rho_0} \frac{\partial \rho_0}{\partial z} \right)^2 \right]^{1/2} (2\Delta H).$$

Assuming the second term in the square bracket is  
 negligibly small in comparison to the first term, we have

$$\Theta = \left[ \left( \frac{N_2}{\sigma} \right)^2 - 1 \right]^{1/2} \left[ 2\pi \left( \frac{2\Delta H}{\lambda} \right) \right]. \quad (29)$$

One can see that  $\Theta$  is dependent on the frequency ratio and  
 the length scale ratio between those of the middle layer and  
 the topographic wave.

[28] The schematic expressions of (28) are shown in  
 Figure 8, in which  $\mu$  serves as an adjustable parameter.  
 One can see that the topographic wave may extremely  
 amplified as propagating into the upper layer for a very  
 narrow ranges of  $\Theta$ .

[29] Now we discuss two special cases.

[30] 1. For  $\mu \ll 1$ , we have

$$M_3 \approx \sec \Theta. \quad (30)$$

This implies

$$M_3 \rightarrow \infty, \quad \text{for } \Theta = \left( m + \frac{1}{2} \right) \pi, \quad m = 0, 1, 2, \dots \quad (31)$$

[31] 2. For  $\mu \approx 1$ , we have

$$M_3 \approx \frac{\sqrt{2}}{2} \sec \left( \Theta - \frac{\pi}{4} \right). \quad (32)$$

This implies

$$M_3 \rightarrow \infty, \quad \text{for } \Theta = \left( m + \frac{3}{4} \right) \pi, \quad m = 0, 1, 2, \dots \quad (33)$$

487 We call (31) and (33) the upper layer resonance conditions  
 488 (ULRC).  
 489 [32] From the continuity equation (3) and equation (22),  
 490 we have the horizontal velocity component in the upper  
 491 layer

$$u_3(x, z) = (n_p/k)(W_s/\pi)M_3 \exp[-n_p\{z - (H + 2\Delta H)\} + ikx]. \quad (34)$$

493 At the sea surface

$$u_3(x, D) = (n_p/k)(W_s/\pi)M_3 \exp\left[-n_p H_3 + i\left(kx - \frac{\pi}{2}\right)\right]. \quad (35)$$

495 where  $H_3 = D - (H + 2\Delta H)$  is the upper layer thickness.  
 496 Compared to (19), one can see that the wave keeps the  
 497 horizontal patterns of the topographic waves, but has a  
 498 phase shift of  $\pi/2$ .

#### 499 4.4. SAR Image of Topographic Waves

500 [33] In order to extract information about the bottom  
 501 topographic waves from SAR images, it is necessary to  
 502 derive a theoretical expression of the topographic wave in a  
 503 SAR image. In other words, we must determine the quan-  
 504 titative relations between sea surface radar return signals  
 505 and hydrodynamic parameters of the topographic waves. If  
 506 a radar receiving system is considered linear, the intensity of  
 507 radar return signals should linearly depend on a backscatter  
 508 cross section per unit area defined as [Plant, 1990]

$$\sigma_o(\theta)_{ij} = 16\pi k_0^4 |g_{ij}(\theta)|^2 (0, 2k_0 \sin \theta), \quad (36)$$

510 where  $\theta$  is the incidence angle,  $k_0$  is the wave number of the  
 511 radar waves, and  $\Psi$  is the two-dimensional (Cartesian) wave  
 512 number spectral density of the ocean surface wavefield  
 513 which satisfies the Bragg resonant scatter condition, the  
 514 incident radiation is in the  $x$ - $z$  plane ( $z$  being the vertical  
 515 direction and  $x, y$  the horizontal coordinates), the indices  $ij$   
 516 denote the polarizations of the incident and backscattered  
 517 radiation, respectively, and  $g_{ij}(\theta)$  is the first-order scattering  
 518 coefficient for given  $i$ . For horizontal polarization

$$g_{HH}(\theta) = \frac{(\varepsilon_r - 1) \cos^2 \theta}{[\cos \theta + (\varepsilon_r - \sin^2 \theta)^{1/2}]^2}, \quad (37)$$

520 and for vertical polarization

$$g_{VV}(\theta) = \frac{(\varepsilon_r - 1)[\varepsilon_r(1 + \sin^2 \theta) - \sin^2 \theta] \cos^2 \theta}{[\varepsilon_r \cos \theta + (\varepsilon_r - \sin^2 \theta)^{1/2}]^2}, \quad (38)$$

522 where  $\varepsilon_r$  is the relative dielectric constant of seawater  
 523 [Saxton and Lane, 1952; Klein and Swift, 1977].

524 [34] From (36), one can see that for a satellite radar with a  
 525 fixed wavelength and a fixed incidence angle, the intensity  
 526 of radar return signals depends only on the wave number  
 527 spectral density of the ocean surface wavefield  $\Psi$ . Consid-  
 528 ering the modulation of short ocean surface waves by wave-

current interaction, Yuan [1997] derived the wave number 529  
 spectral density of the high frequency ocean surface wave- 530  
 field in the form of 531

$$= \begin{cases} m_3^{-1} \left[ m \left( \frac{u_*}{c} \right)^2 - 4\nu_s K^2 \omega^{-1} - S_{\alpha\beta} \frac{\partial U_\beta}{\partial x_\alpha} \omega^{-1} \right] K^{-4} & \text{for gravity-capillary wave band,} \\ m_4^{-1/2} \left[ m \left( \frac{u_*}{c} \right)^2 - 4\nu_s K^2 \omega^{-1} - S_{\alpha\beta} \frac{\partial U_\beta}{\partial x_\alpha} \omega^{-1} \right]^{1/2} K^{-4} & \text{for capillary wave band,} \end{cases} \quad (39a)$$

where  $m$ ,  $m_3$ , and  $m_4$  are coefficients,  $u_*$  is the friction 533  
 velocity,  $c$  is the wave phase speed,  $\nu_s$  is the viscosity of 534  
 seawater,  $\omega$  is the angular frequency of the ocean surface 535  
 waves,  $K$  is the wave number of ocean surface waves, and 536

$$S_{\alpha\beta} \frac{\partial U_\beta}{\partial x_\alpha} = \left[ \frac{\partial u}{\partial x} \cos^2 \phi + \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \cos \phi \sin \phi + \frac{\partial v}{\partial y} \sin^2 \phi \right] / 2, \quad (40)$$

where  $u$  and  $v$  are velocity components, and  $\phi$  is the wave 538  
 direction. One can see that the spectral density function 539  
 consists of three terms, which represent the wind-forcing, 540  
 the dissipation induced by the viscosity, and modulation 541  
 induced by a variable current, respectively [Zheng *et al.*, 542  
 2001]. In our case, the Taiwan Banks are far away from the 543  
 coasts and estuaries; therefore it is acceptable to assume that 544  
 the seawater viscosity and wind field are homogeneous 545  
 within one scene of SAR image (100 km by 100 km). Thus 546  
 their contributions to a radar image can be considered as a 547  
 uniform background, which would not generate any bright 548  
 or dark patterns. In this case, the current modulation term 549  
 plays a key role in generating an ocean feature radar image. 550

[35] The SAR images analyzed in this study were taken 551  
 by a C band radar, whose the microwave wavelength 552  
 corresponds to the sea surface gravity-capillary wave band. 553  
 Thus substituting (39) into (36) yields 554

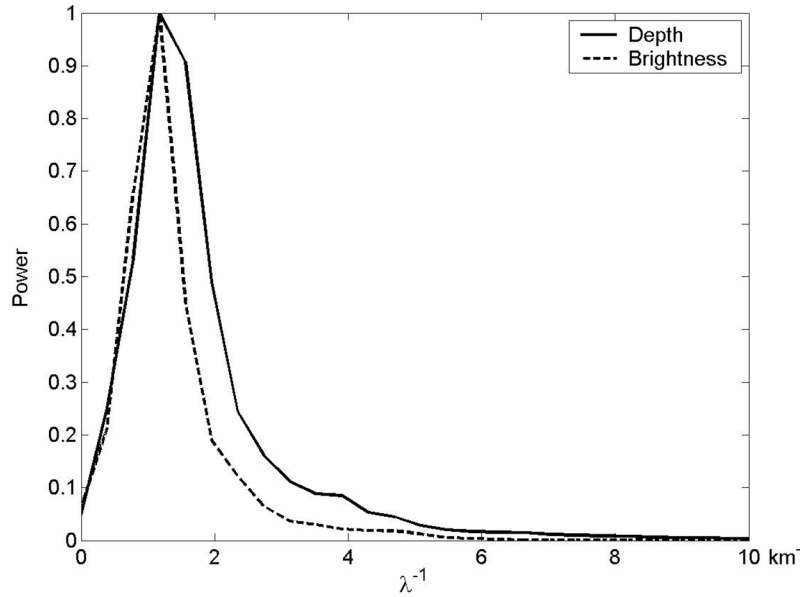
$$\sigma_o(\theta)_{ij} = 16\pi k_0^4 |g_{ij}(\theta)|^2 m_3^{-1} \left[ m \left( \frac{u_*}{c} \right)^2 - 4\nu_s K^2 \omega^{-1} \right] \cdot K^{-4} - 16\pi k_0^4 |g_{ij}(\theta)|^2 m_3^{-1} \left[ S_{\alpha\beta} \frac{\partial U_\beta}{\partial x_\alpha} \omega^{-1} \right] K^{-4}.$$

As analyzed above, the first term can be considered as a 556  
 constant. Thus the imagery patterns of the ocean are 557  
 generated by the second term, i.e., 558

$$\sigma_o|_{os} = -16\pi k_0^4 |g_{ij}(\theta)|^2 m_3^{-1} \left[ S_{\alpha\beta} \frac{\partial U_\beta}{\partial x_\alpha} \omega^{-1} \right] K^{-4}. \quad (41)$$

In the case of ocean bottom topographic waves, the 560  
 functional form of ocean current velocity at the sea surface 561  
 has been derived in (35). Substituting (35) into (40) and 562  
 taking the real part yield 563

$$S_{\alpha\beta} \frac{\partial U_\beta}{\partial x_\alpha} = \frac{n_p W_s}{2\pi} M_3 e^{-n_p H_3} \cos^2 \phi \cos kx. \quad (42)$$



**Figure 9.** A comparison of wave number spectra ( $\lambda^{-1}$ ) of high-pass-filtered ocean bottom corrugation (solid line) to that of corresponding radar return brightness (dashed line).

565 Substituting (42) into (41) yields an analytical expression of and  
 566 SAR image of bottom topographic waves

$$\sigma_o|_{os} = - \left[ 16\pi k_0^4 |g_{ij}(\theta)|^2 \right] \cdot \left[ \frac{\cos^2 \phi}{2m_3 \omega K^4} \right] \cdot \left[ \frac{n_p W_s}{\pi} M_3 e^{-n_p H_3} \right] \cos kx. \quad (43)$$

567 One can see that the bottom topographic waves on the SAR  
 568 image also appear as the form of a cosinoidal function with  
 569 the same wave number of the original topographic waves.  
 570 The amplitude or intensity of radar signal depends on three  
 571 factors, which are defined by radar wave number and  
 572 incidence angle, sea surface gravity-capillary wavefield, and  
 573 ocean vertical stratification as given in the three square  
 574 brackets in (43), respectively. Moreover, it is important to  
 575 note that the minus sign of amplitude does not necessarily  
 576 mean that the waves are antiphase with the original  
 577 disturbance, because there is another determinant factor,  
 578 the coupling factor  $M_3$ , whose the sign may change from  
 579 case to case, depending on the vertical stratification of the  
 580 study area. If the coupling factor  $M_3$  is negative (positive),  
 581 the SAR imagery will be inphase (antiphase) with the  
 582 topographic waves. All other factors have no influence on  
 583 the sign, because they always take positive values.  
 584 Furthermore, the radar signals would significantly be  
 585 strengthened, if one of upper layer resonance conditions  
 586 (31) and (33) is met.  
 587

#### 588 4.5. Solutions for Two and One Layer Oceans

589 [36] In the above derivation and analysis, a three layer  
 590 ocean model was considered, but there were not any  
 591 beforehand restraints for the thickness of any layers. There-  
 592 fore the solutions for a two layer ocean can be obtained by  
 593 setting  $H = 0$  in (21) and (22)

$$W_1(x, z) = (W_s / \pi M_0) [\sin n_1 z + M_0 \cos n_1 z] e^{ikx}, \quad (44)$$

$$W_2(x, z) = (W_s / \pi M_0) [\sin n_1 (2\Delta H) + M_0 \cos n_1 (2\Delta H)] \cdot \exp[-\gamma_2 \{z - 2\Delta H\} + ikx]. \quad (45)$$

Similarly to the three-layer model ocean, we have a  
 597 frequency relation  $N_2 < \sigma < N_1$ . Accordingly, the SAR  
 598 image of bottom topographic waves becomes  
 599

$$\sigma_o|_{os} = - \left[ 16\pi k_0^4 |g_{ij}(\theta)|^2 \right] \cdot \left[ \frac{\cos^2 \phi}{2m_3 \omega K^4} \right] \cdot \left[ \frac{n_p W_s}{\pi} M_2 e^{-n_p H_2} \right] \cos kx, \quad (46)$$

where  $n_2 = in_p$ , and subscript 2 represents the upper layer of  
 the ocean instead of 3.

[37] The solutions for a one layer ocean can be obtained  
 by setting  $H = \Delta H = 0$  in (22)

$$W(x, z) = (W_s / \pi) \exp i(nz + kx). \quad (47)$$

The frequency relation is  $N < \sigma$ . The SAR image of bottom  
 topographic waves becomes

$$\sigma_o|_{os} = - \left[ 16\pi k_0^4 |g_{ij}(\theta)|^2 \right] \cdot \left[ \frac{\cos^2 \phi}{2m_3 \omega K^4} \right] \cdot \left[ \frac{n_p W_s}{\pi} e^{-n_p D} \right] \cos kx. \quad (48)$$

## 5. Comparison With Observations

[38] The above hydrodynamic analyses reveal the follow-  
 ing dynamical features of ocean bottom topographic waves  
 for a three layer ocean. (1) In the lower layer, the ocean  
 bottom topographic waves are generated by bottom topo-  
 graphic corrugation. The waves exist in the form of station-

t3.1 **Table 3.** Stratification Parameters at the CTD Stations

t3.2	Station	Depth, m	CTD Depth, m	$H_3$ , m	$2\Delta H$ , m	$H_1$ , m	$\sigma$ , cph	$N_3$ , cph	$N_2$ , cph	$N_1$ , cph
t3.3	C044S01	81	73	20	40	21	6.5	6	15	3
t3.4	C044S02	63	54	5	40	9	6.5	6	20	3
t3.5	C044S03	37	27	7	13	17	6.5	6	35	3
t3.6	C044S04	25	15	25	0	0	6.5	5	-	-
t3.7	C044S05	26	20	26	0	0	6.5	4	-	-
t3.8	C044S06	31	28	31	0	0	6.5	3	-	-
t3.9	Y90	56	53	5	30	21	6.5	4	22	3
t3.10	Y92	92	71	40	30	22	6.5	4	22	N/A
t3.11	Y94	140	138	24	60	56	6.5	4	15	5
t3.12	Y96	450	300	40	80	330	-	5	15	5
t3.13	Y98	1709	570	30	160	1579	-	5	12	5

617 ary waves, which satisfy a lower boundary resonance  
618 condition  $\sigma = kC_0$ , in which  $k$  is a wave number of bottom  
619 topographic corrugation, and  $C_0$  is a background current  
620 speed. (2) The bottom topographic waves may vertically  
621 propagate to the upper layer with an unchanged wave  
622 number  $k$ , if a frequency relation  $N_3 < \sigma < N_2$  is satisfied.  
623 (3) The waves are extremely amplified if a upper layer  
624 resonance condition is satisfied. (4) The topographic waves  
625 on SAR images have the same wavelength of bottom  
626 topographic corrugation, and the imagery brightness peaks  
627 are either inphase (phase shift 0) or antiphase (phase shift  $\pi$ )  
628 with respect to the topographic waves, depending on signs  
629 of the coupling factor. From the solutions of three layer  
630 ocean, it is easy to derive the solutions for two or one layer  
631 ocean. In this section, we will verify these major dynamical  
632 features using field observations and satellite remote sens-  
633 ing data.

### 634 5.1. Stationary Waves and Wavelength

635 [39] Theoretical model predicts that the topographic  
636 waves are stationary waves, if the lower layer resonance  
637 condition (20),  $\sigma = kC_0$ , is satisfied. This prediction can be  
638 verified by multiple SAR images of topographic waves in  
639 Taiwan Banks within three years from 1994 to 1996  
640 (940727, 950603, 950622, and 960619). Comparisons between  
641 these images reveal only a little change in the loca-  
642 tions, patterns, and distribution extent of the topographic  
643 waves. *Li et al.* [2001] compared the SAR imagery of the  
644 topographic waves with LANDSAT TM visible band im-  
645 agery taken at a different time and found a very good  
646 correspondence between the two. These facts indicate that  
647 the observed topographic waves are not only stationary  
648 waves, but also in a quite stable condition.

649 [40] The analytical expression of SAR image of bottom  
650 topographic waves (43) predicts that the SAR imagery of  
651 bottom topographic waves should appear as the form of  
652 sinusoidal function with the same wave number of original  
653 bottom topographic waves. The depth profile measured by  
654 the on-board ADCP and collocated radar imagery bright-  
655 ness curve shown in Figure 4 show that fluctuations in the  
656 bottom topography and radar return are composed of  
657 multifrequency/wave number components. Here we do not  
658 intend to determine the exact correspondence between the  
659 pulses in the two curves because of the limited resolution of  
660 the SAR image (100 m). But, the data may still be used to  
661 verify the theoretical solution (43). Instead of one by one  
662 comparison, a spectral or statistical analysis method is used  
663 for the verification. The Fourier spectra derived from high-

pass filtered data are shown in Figure 9. One can see that the  
664 two spectra have almost the same shape with an identical  
665 peak location at  $1.2 \text{ km}^{-1}$ , which is corresponding to 0.83 km,  
666 a dominant or peak-power wavelength of topographic  
667 waves along the ADCP track. Thus the theoretical predic-  
668 tion of an identical wavelength on the SAR images is  
669 verified.  
670

### 671 5.2. Hydrodynamic Conditions for the Topographic Waves

672 [41] In section 4.3, we derived the frequency relation for  
673 the generation and vertical propagation of topographic  
674 waves in the stratified model ocean (25). In our case, the  
675 dominant or peak-power wavelength of topographic waves  
676 is 0.83 km, and the tidal speed is  $1.5 \text{ ms}^{-1}$ . Thus, from the  
677 lower layer resonance condition (20), we derive the angular  
678 frequency of topographic waves  $\sigma = 6.5$  cph (cycle per  
679 hour). Meanwhile, from section 2.4 and Figure 2, we derive  
680 the average Brunt-Wäisälä frequencies of each layer at the  
681 CTD stations as listed in Table 3. One can see that the  
682 frequency relation  $N_3 < \sigma < N_2$  is satisfied for three layer  
683 oceans at Stations C044S01- 03 and Y90 – 98, and  $N < \sigma$   
684 for one layer ocean at Stations C044S04 - 06.  
685

### 686 5.3. Spatial Phase of SAR Imagery and Radar Signal Enhancement

687 [42] From the solution of bottom topographic wave SAR  
688 imagery (43) for three layer ocean, one can see that the  
689 spatial phase of SAR imagery with respect to the bottom  
690 topographic waves depends on the sign of the coupling  
691 factor  $M_3$  in the form of (28). The SAR imagery should be  
692 inphase (antiphase) with the topographic waves, if  $M_3$  takes  
693 a minus (plus) sign. On the other hand, the sign of  $M_3$   
694 depends on  $\Theta$  and  $\mu$ . Using definitions (10), (23), (28), and  
695 field data listed in Table 3, we calculate these parameters at  
696 the CTD stations. The results are listed in Table 4. One can  
697 see two important points. (1) In all the cases,  $M_3$  takes the  
698 minus sign, implying that the SAR imagery is inphase with  
699 the bottom topographic waves. (2) At Station Y98, the radar  
700 return signals are enhanced by near satisfaction of the upper  
701 layer resonance conditions. Unfortunately, that station is  
702 beyond SAR image coverage.  
703

704 [43] On the other hand, examining imagery patterns on  
705 the SAR image, one can see that the wave-like patterns  
706 consist of two subsystems with different scales and  
707 orientations. One subsystem has a wavelength scale on  
708 the order of 5 km, lengths ranging from 20 to 40 km, and a  
709 north-south (or top-bottom on the image) orientation. In  
710 comparison to the field observations, we interpret them as  
711 imagery of submarine sand ridges. The other subsystem  
712 riding on the large scale system has a wavelength scale

t4.1 **Table 4.** Vertical Wave Numbers and Coupling Parameters Between Upper Two Layers at CTD Stations

Station	$n_2, k$	$n_p, k$	$\mu$	$\Theta, \pi$	$M_3$	t4.2
C044S01	2.1	0.38	0.18	0.20	1.1	t4.3
C044S02	2.9	0.38	0.13	0.28	1.4	t4.4
C044S03	5.3	0.38	0.072	0.17	1.1	t4.5
Y90	3.2	0.79	0.25	0.23	1.1	t4.6
Y92	3.2	0.79	0.25	0.23	1.1	t4.7
Y94	2.1	0.79	0.38	0.30	1.1	t4.8
Y96	2.1	0.64	0.30	0.40	1.7	t4.9
Y98	1.6	0.64	0.40	0.62	256	t4.10

713 on the order of 1 km, and takes a west-east (or left-right  
714 on the image) orientation. They occupy two thirds of the  
715 bright area. From the spectra shown in Figure 9, we obtain  
716 the peak-power wavelength as 0.83 km, which is compa-  
717 rable with previous results [Hsu *et al.*, 1997; Li *et al.*,  
718 2001; Alpers *et al.*, 2004]. The imagery features, including  
719 distribution patterns, scales, orientations, in particular  
720 narrow separations and wide widths of grouped sand  
721 waves, indicate that the bright imagery bands correspond  
722 to the troughs of bottom topographic waves. This agrees  
723 with theoretical prediction.

724 [44] Moreover, the CTD data shown in Figures 2a and 2b  
725 indicate that the vertical stratification of the shallow water  
726 region, represented by Stations C044S04 - 06 and mainly  
727 distributed in the north study area, can be described well by  
728 a one layer ocean model with weakly continuous stratifica-  
729 tion. The solution of one layer ocean indicates that the  
730 imagery brightness peaks are also antiphase (phase shift  $\pi$ )  
731 with respect to the topographic waves. This phase shift of  $\pi$   
732 is close to that observed by Donato *et al.* [1997] on the  
733 continental shelf east of Cape Hatteras.

## 735 6. Conclusions

736 [45] The goal of this investigation is to explore satellite  
737 SAR imaging mechanisms of ocean bottom topographic  
738 features for a stratified ocean. The results are used for a  
739 quantitative interpretation of SAR images of bottom topo-  
740 graphic waves. The Taiwan Banks area located at the south  
741 outlet of Taiwan Strait is selected as a study area, where the  
742 bottom features at depths up to 100 m show up on the SAR  
743 images with very high imagery contrast, and where simulta-  
744 neous or quasi-simultaneous cruise data are available. On  
745 the basis of hydrographic conditions and the bottom features  
746 in the study area, a two-dimensional, three-layer ocean  
747 model with sinusoidal bottom topographic corrugation is  
748 developed. From the solutions and inferences derived from  
749 the momentum equations of the ocean model and verified  
750 by observations, the following major conclusions are drawn.

751 [46] 1. Under the conditions of the existence of a crossing  
752 current and the smallness of the amplitude of bottom  
753 topographic corrugation compared to the water depth, the  
754 topography serves as a source of disturbance to induce  
755 stationary water waves in the lower layer. The waves satisfy  
756 the lower boundary resonance condition  $\sigma = kC_0$ , here  $\sigma$  is  
757 the angular frequency of the stationary water waves,  $k$  is the  
758 wave number of the sinusoidal bottom topographic corru-  
759 gation, and  $C_0$  is the crossing current speed.

760 [47] 2. The bottom topographic waves are internal waves.  
761 The waves may propagate vertically to the upper layer with  
762 the same wave number, under the condition of that the  
763 frequency relations  $N_j < \sigma < N_i$  are satisfied for three and  
764 two layer oceans, here  $N_i$  and  $N_j$  ( $i = 1, 2$ , and  $j = 2, 3$ ) are  
765 the Brunt-Wäisälä frequencies of middle (lower) layer and  
766 upper layer, and  $N < \sigma$  for a one layer ocean, respectively.  
767 Physically, this relation requires that the water density has a  
768 sharp increase in the middle (lower) layer for the three (two)  
769 layer ocean. CTD measurements at all stations within the  
770 study area indicate that the relation holds stood in the SAR  
771 imaging season.

772 [48] 3. The amplitude of topographic waves in the upper  
773 layer depends on the coupling factor  $M_3 = n_2[n_2 \cos$

$n_2(2\Delta H) + n_p \sin n_2(2\Delta H)]^{-1}$  (see text for parameter 774  
775 definitions) for the three layer ocean. This implies that there 776  
777 is a resonance mechanism, i.e.,  $M_3$  may reach a quite large 778  
779 number when the term in the square bracket takes a number 777  
778 much smaller than  $n_2$ . In this case, the radar return signals 779  
780 are extremely amplified. 779

780 [49] 4. The physical expression of SAR image of topo- 780  
781 graphic waves is derived on the basis of current-modulated 781  
782 small wave spectra developed by Yuan [1997]. The 782  
783 expression indicates that the topographic waves on SAR 783  
784 image should have the same wave patterns with the same 784  
785 wavelength of bottom topographic corrugation. The imagery 785  
786 brightness peaks are either inphase or antiphase with 786  
787 respect to the topographic corrugation, depending on the 787  
788 sign of coupling factor  $M_3$ . In the case of SAR image 788  
789 940727,  $M_3$  is always a positive number, so that the bright 789  
790 imagery bands correspond to the troughs of bottom topo- 790  
791 graphic waves. 791

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