

# Statistical models of visual neurons

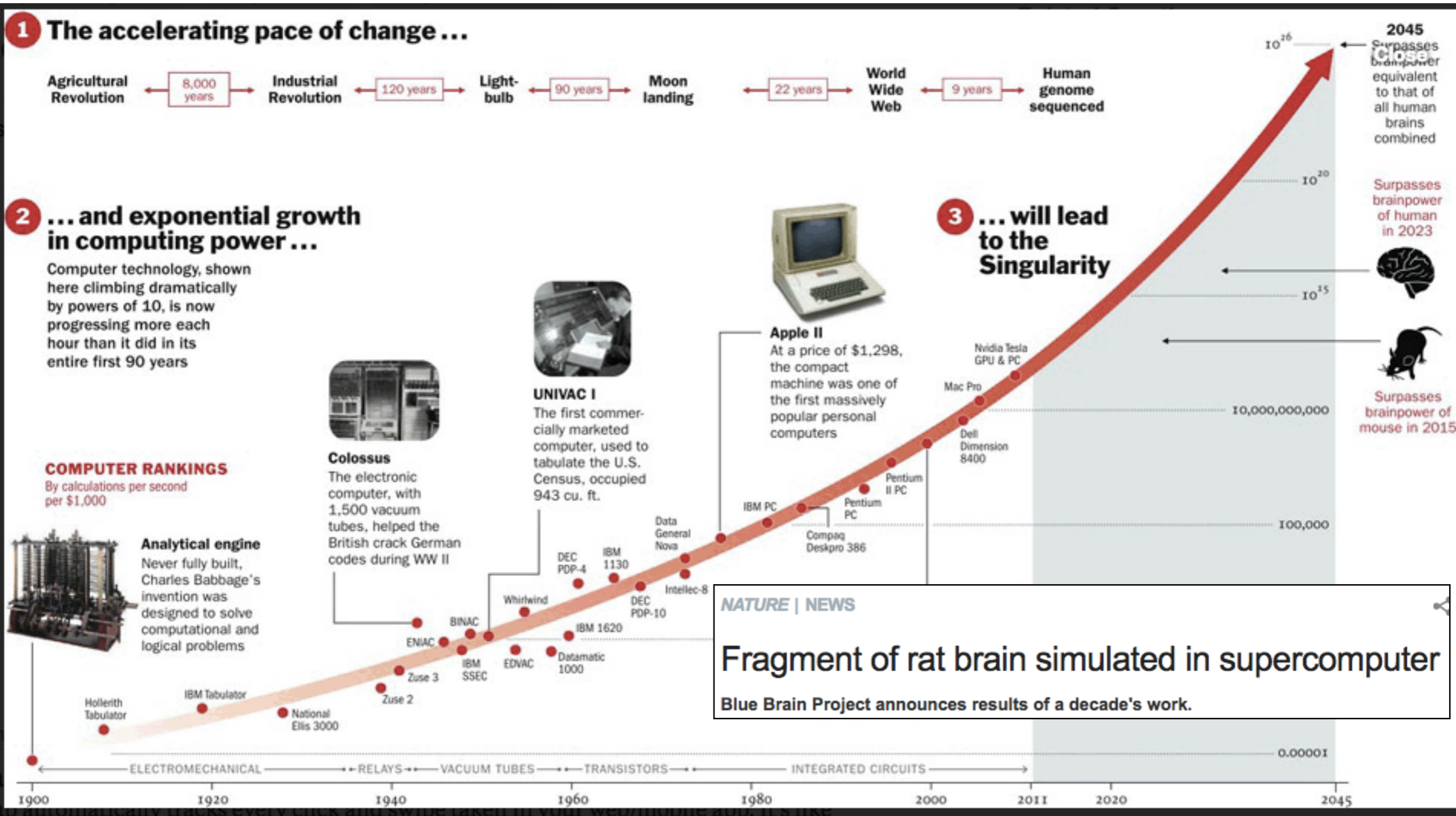
Final Presentation

Anna Sotnikova

Applied Mathematics and Statistics, and Scientific Computation  
program

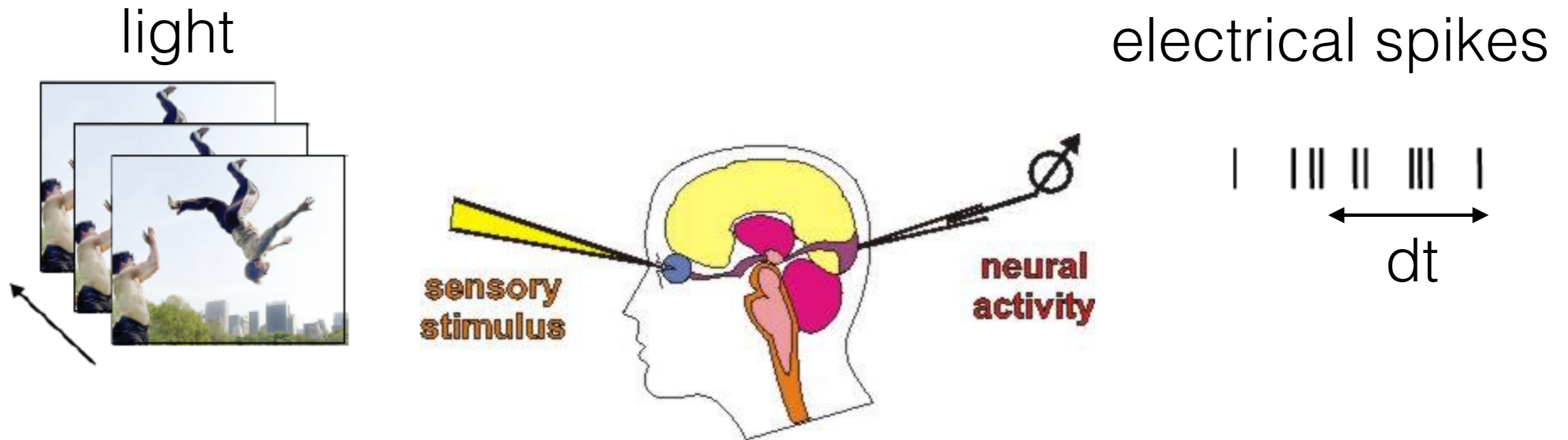
Advisor: Dr. Daniel A. Butts  
Department of Biology

# The power of transistor-based computing...

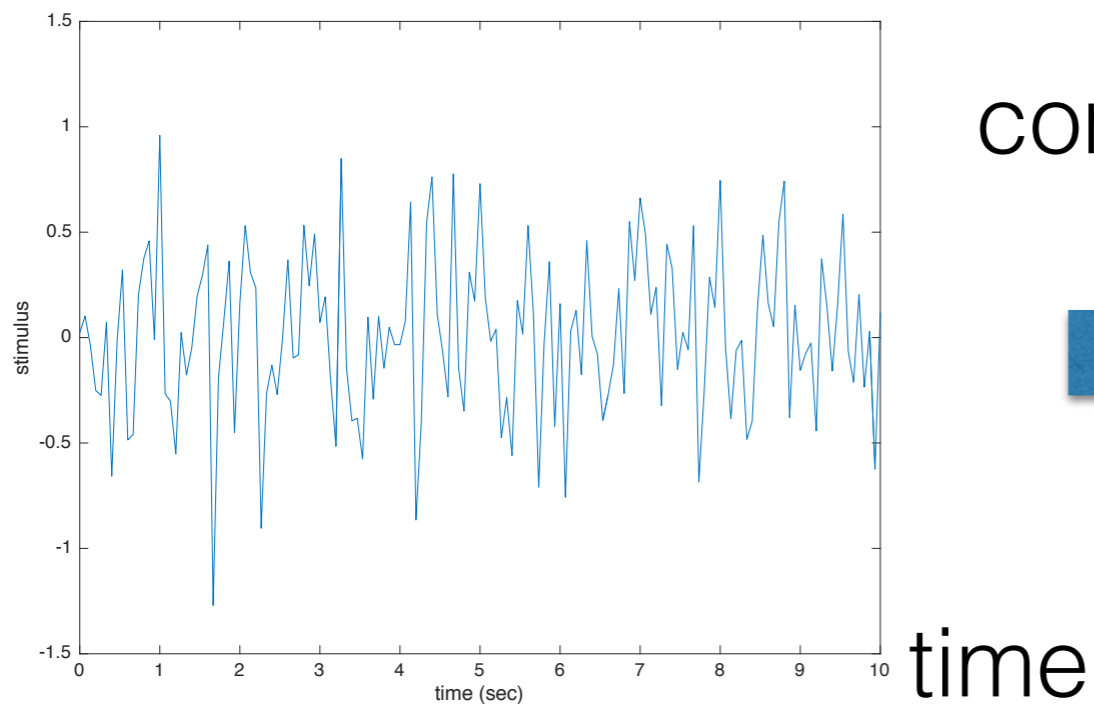


...barely competes with brainpower of a mouse

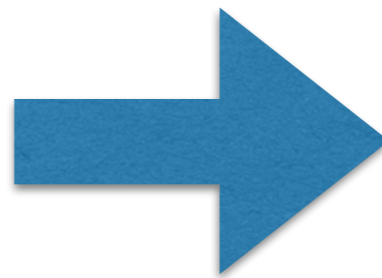
# Visual system of a neuron



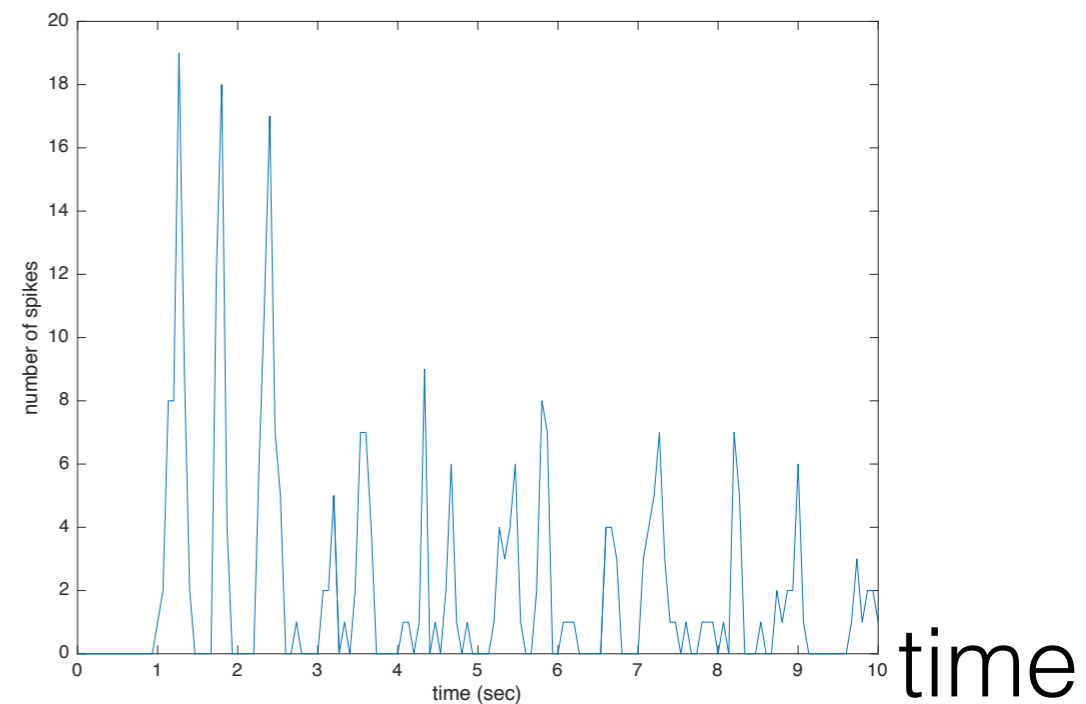
stimulus



computation

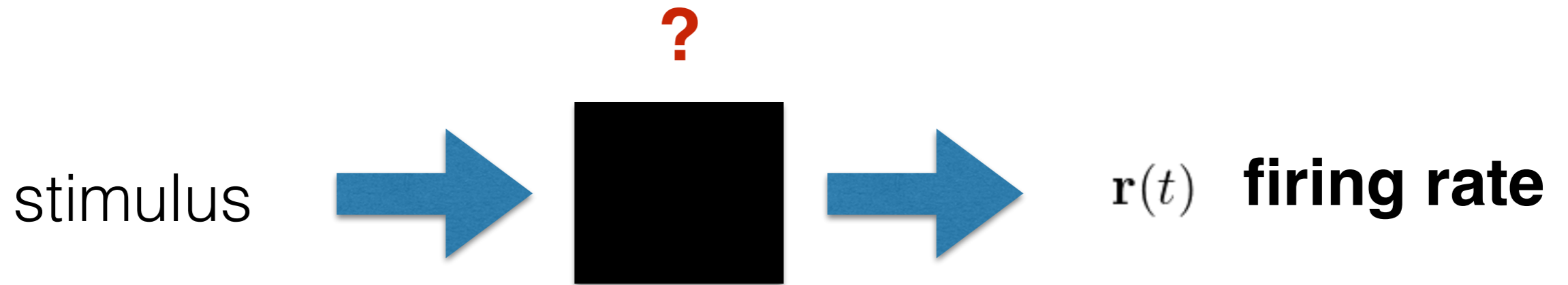


neural response




**General goal: find a functional link between stimulus and response**

# Statistical modeling of a neuron's response



Poisson process:  
average # of spikes  
is given by the firing rate  
 $\langle n(t) \rangle = r(t)dt$


$$Prob(n(t)) = \frac{r(t)^{n(t)}}{n(t)!} \exp(-r(t))$$

$n(t)$  - # of spikes between  $t, t+dt$



$n(t)$  | ||| || || | ||| || ||| |

**Identify a model for the firing rate**

# Project goals

- Implement 5 specific models:

1. Linear models

- \* Spike Triggered Average (STA)

- \* Generalized Linear Model (GLM)

2. Quadratic models

- \* Spike Triggered Covariance (STC)

- \* Generalized Quadratic Model (GQM)

3. Cascade model

- \* Nonlinear Input Model (NIM)

(Fall semester)

(Spring semester)

- Test models on 3 data sets:

1. Model-specific **synthetic data** to validate all algorithms

2. Synthetic Retina ganglion cells (**RGC**) data to test NIM model

3. Experimental Lateral geniculate body (**LGN**) to test GLM model

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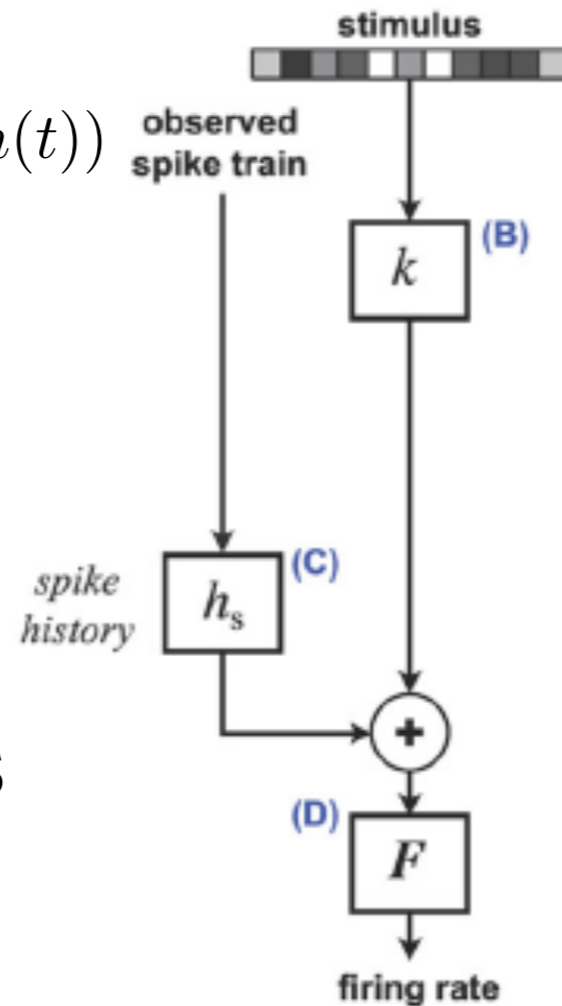
- Test models on 3 data sets:

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2. Synthetic Retina ganglion cells (**RGC**) data to test NIM model
3. Experimental Lateral geniculate body (**LGN**) to test GLM model

# Generalized Linear Model (GLM): a single linear filter ( $\mathbf{k}$ ) + history filter ( $\mathbf{h}$ )

$$\mathbf{s}(t, \tau) = (S(t - \tau), \dots, S(t))$$

$$\mathbf{n}_{\text{obs}}(t, \tau) = (n(t - \tau), \dots, n(t))$$



**k** linear filter:  
models receptive  
field of a neuron

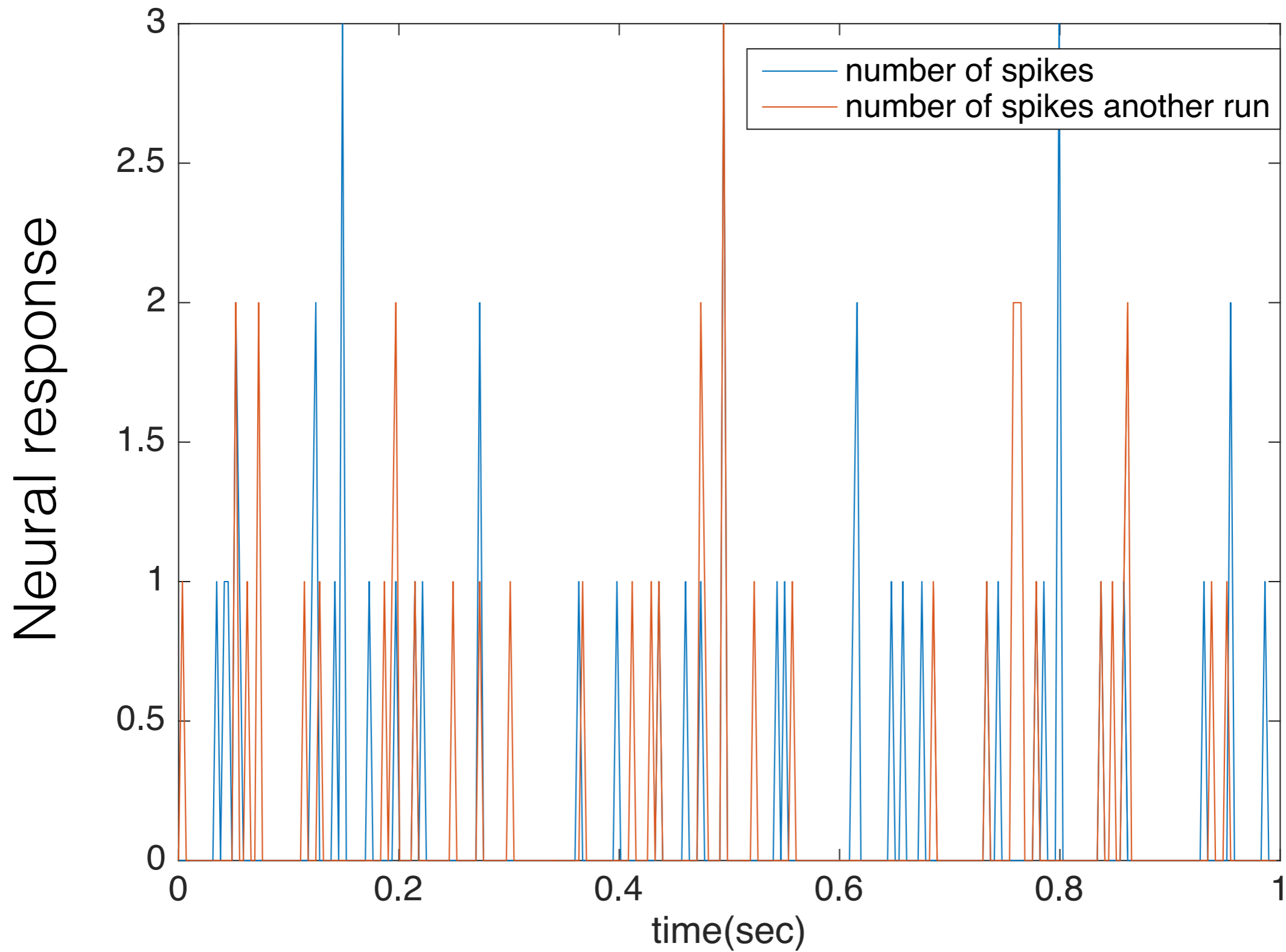
Spiking non-linearity: models  
neuron's non-linear processing

**h** history filter:  
models neuron's  
memory of spikes

firing rate  
model

$$r(t) = F(\mathbf{k} \cdot \mathbf{s}(t, \tau) + \mathbf{h} \cdot \mathbf{n}_{\text{obs}}(t, \tau) + b)$$

# How to fit parameters to a probabilistic data?





# Maximum Likelihood estimation

$$P(N|\Theta) = \prod_t \frac{(r(t))^{n(t)}}{n(t)!} \exp(-r(t)) \quad \leftarrow \text{Poisson distribution}$$

$$N = \{n(t)\} \quad \Theta = \{r(t)\}$$

$$LL(\Theta) = \log(P(N|\Theta)) = \sum_t n(t) \log(r(t)) - \sum_t r(t)$$

GLM model specifically:

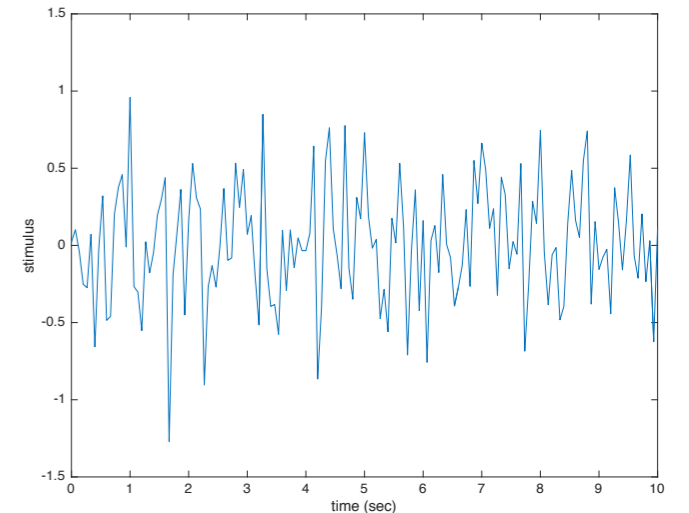
$$r(t) = F(\mathbf{k} \cdot \mathbf{s}(t, \tau) + \mathbf{h} \cdot \mathbf{n}_{\text{obs}}(t, \tau) + b) \quad \Theta = \{\mathbf{k}, \mathbf{h}, b\} \quad F() = \exp()$$

Maximize log-likelihood using gradient ascent method

$$LL(\Theta) = \sum_t n(t) (\mathbf{k} \cdot \mathbf{s}(t) + \mathbf{h} \cdot \mathbf{n}_{\text{obs}}(t) + b) - \sum_t \exp(\mathbf{k} \cdot \mathbf{s}(t) + \mathbf{h} \cdot \mathbf{n}_{\text{obs}}(t) + b)$$

# Synthetic data for GLM algorithm validation

Step 1: generate white noise stimulus  $s(t)$



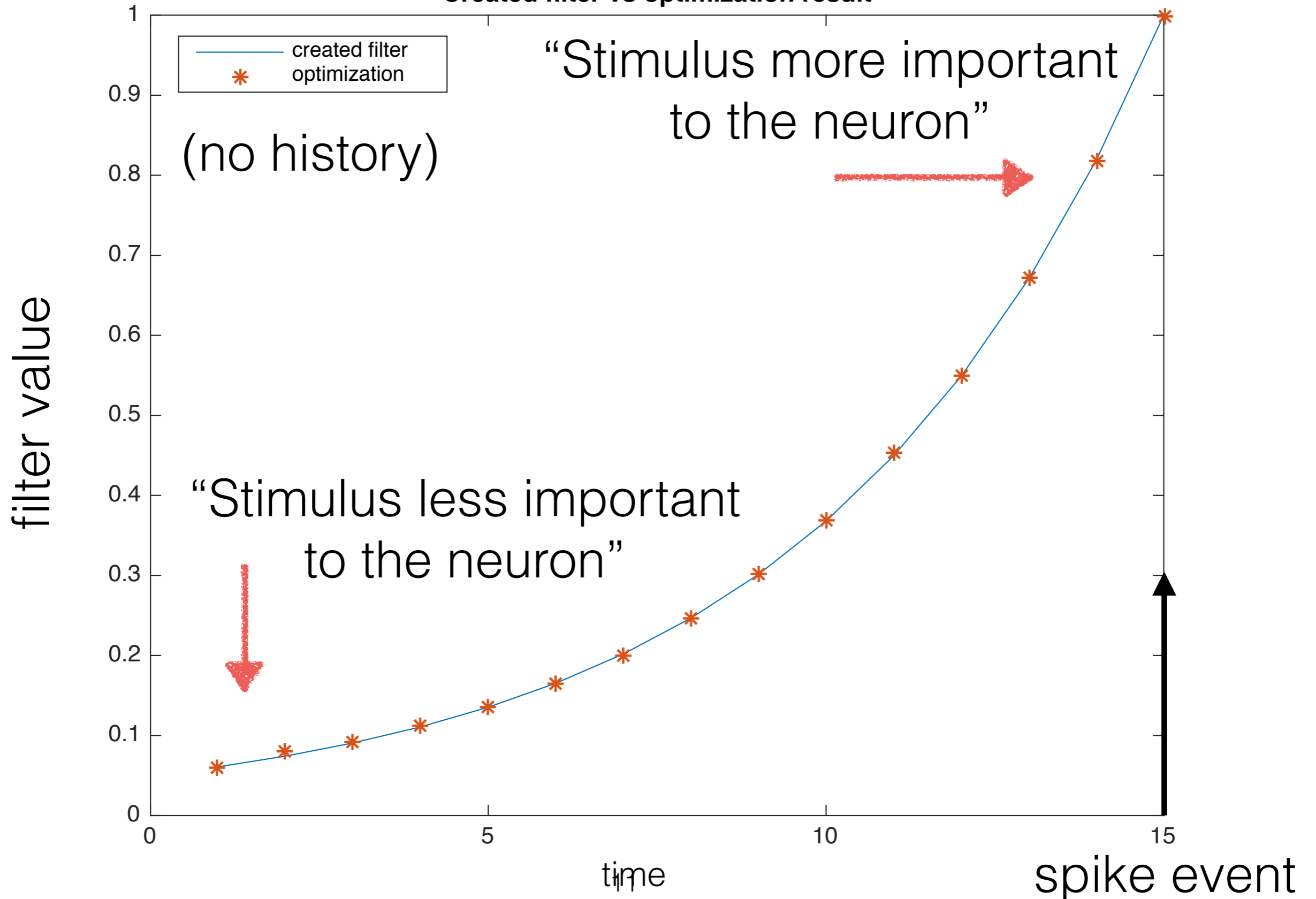
Step 2: calculate  $r(t)$  using test filters and test function  $F$ :

$$F(x) = \text{Exp}(x)$$

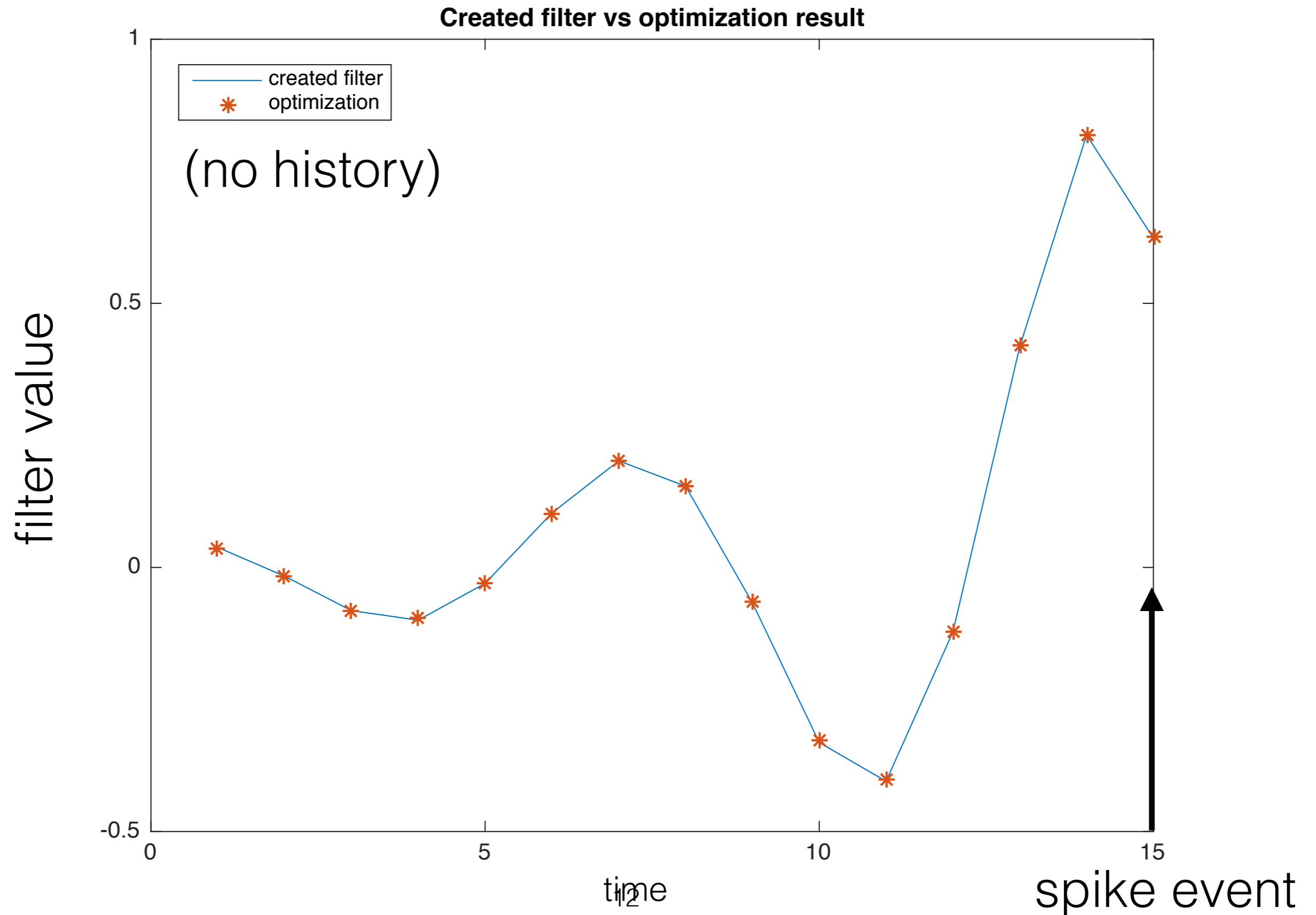
Step 3: generate Poisson spikes  $n(t)$  using calculated  $r(t)$

# Use synthetic GLM data without history to recover a *decaying* linear k-filter

Created filter vs optimization result

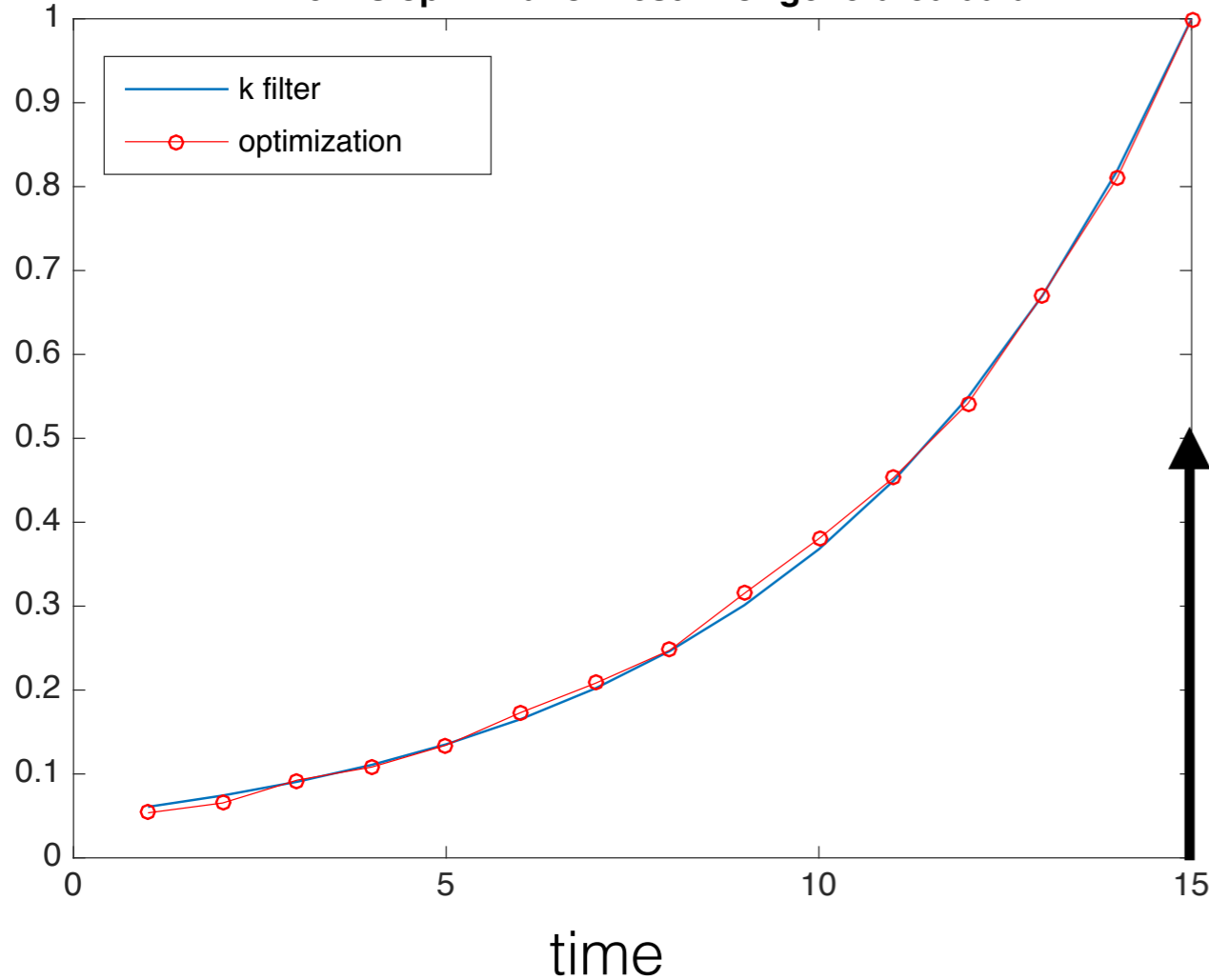


# Use synthetic GLM data without history to recover *oscillating* linear k-filter



# Use synthetic GLM data with history to recover both k (linear) & h (history) filters

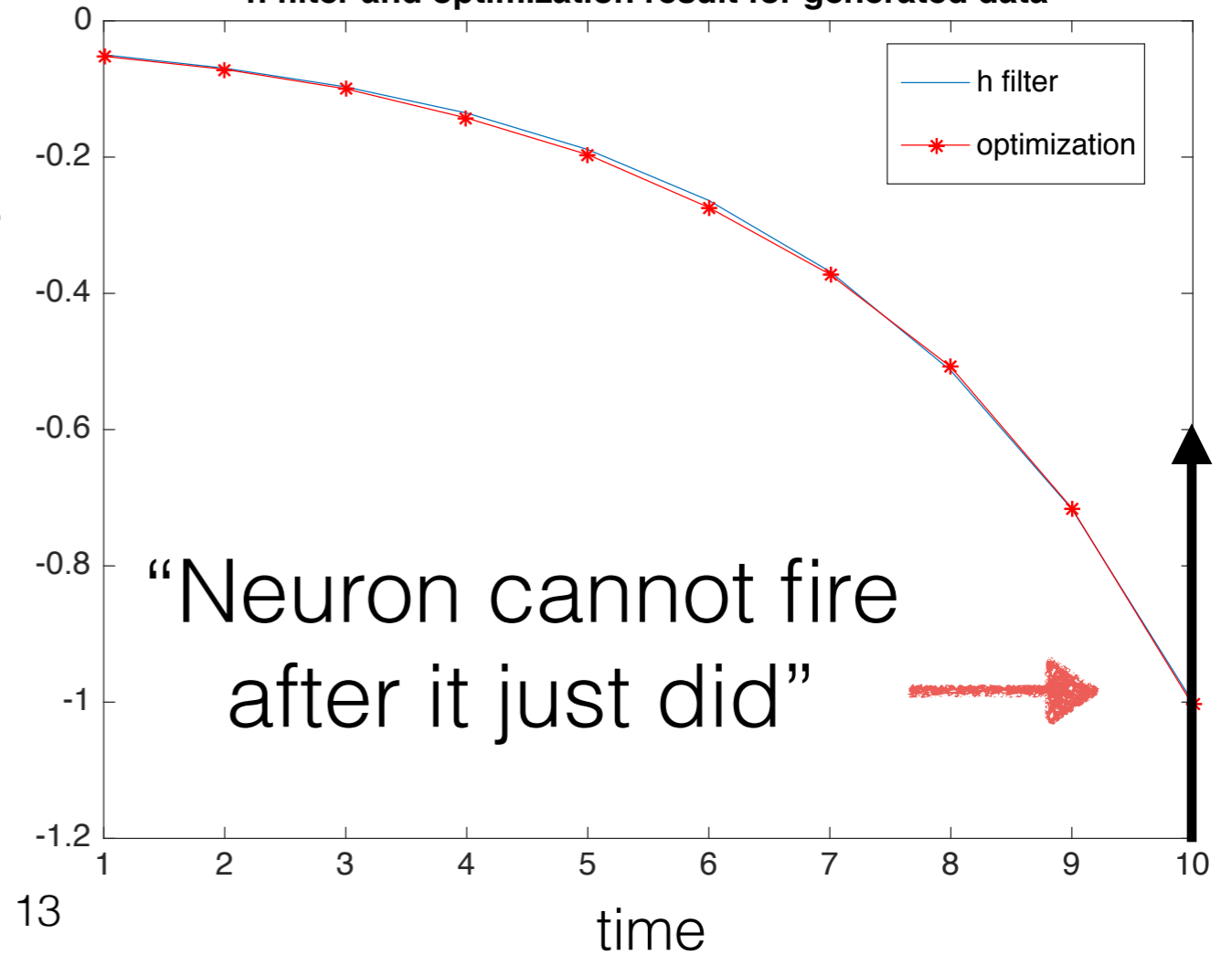
k filter vs optimization result for generated data



linear filter k

history filter ( $h < 0$ )

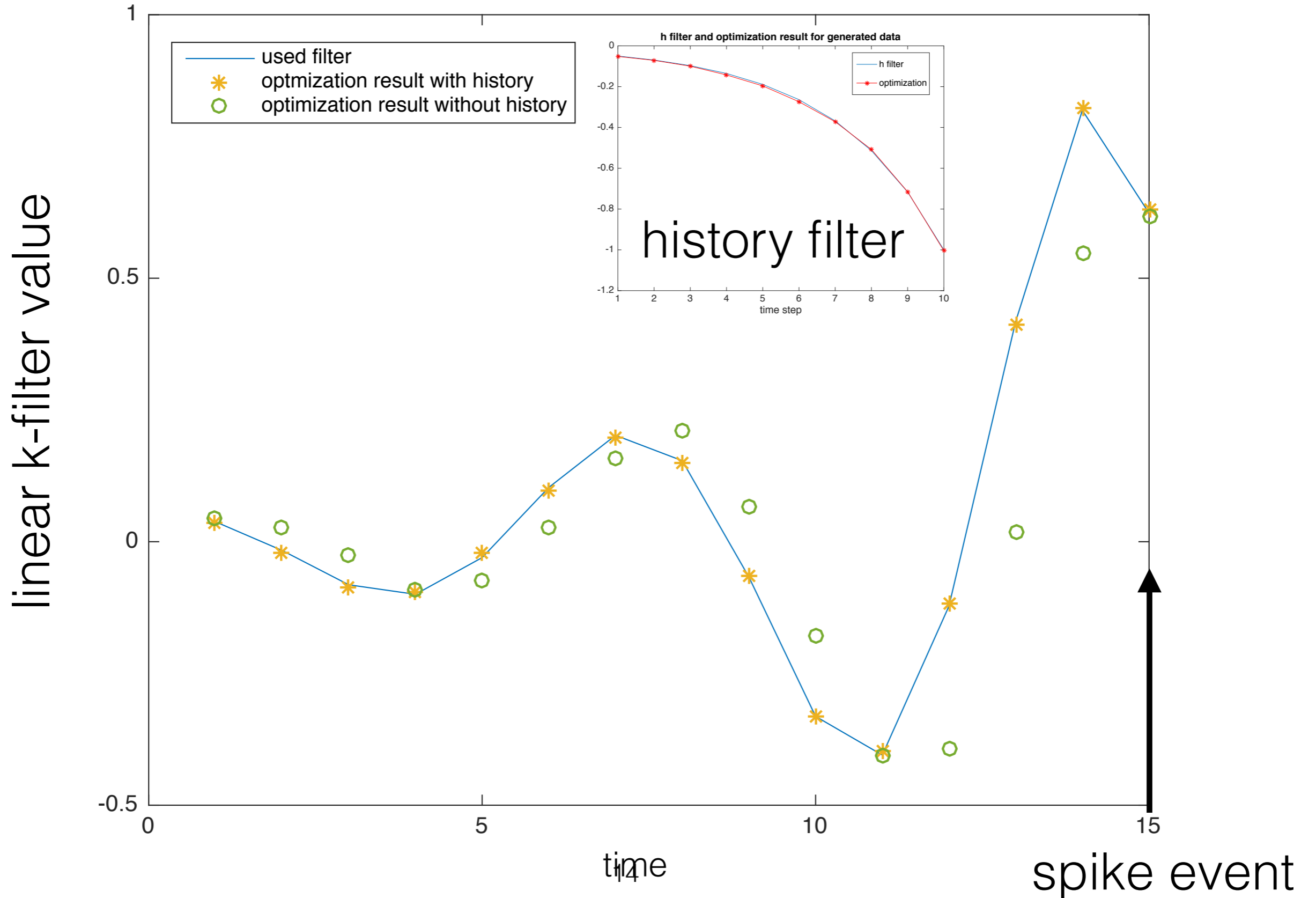
h filter and optimization result for generated data



“Neuron cannot fire after it just did”

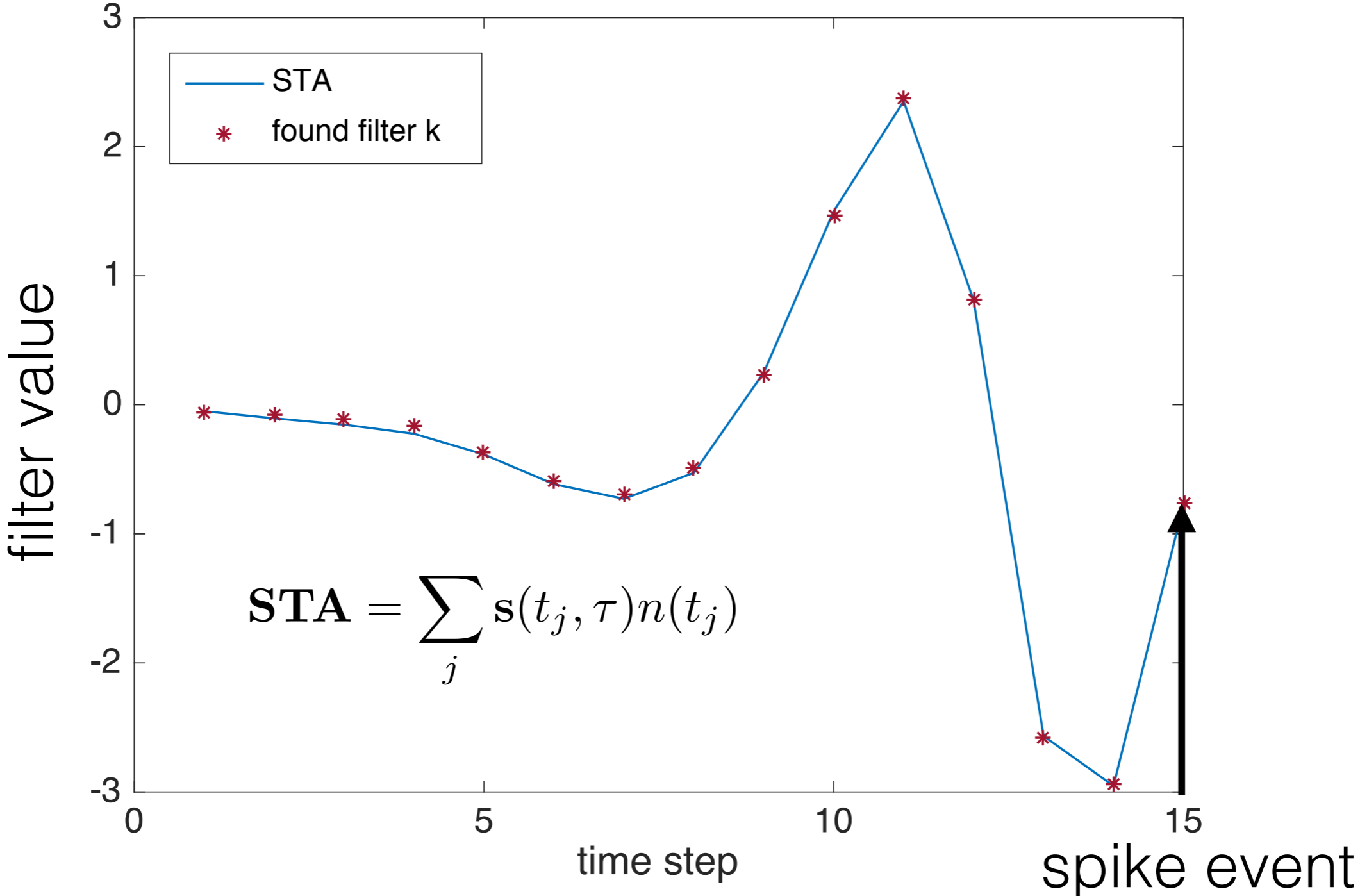
# History cannot be ignored!

(synthetic GLM data with exponential history filter)



# RGC data (no history): GLM optimal filter matches STA

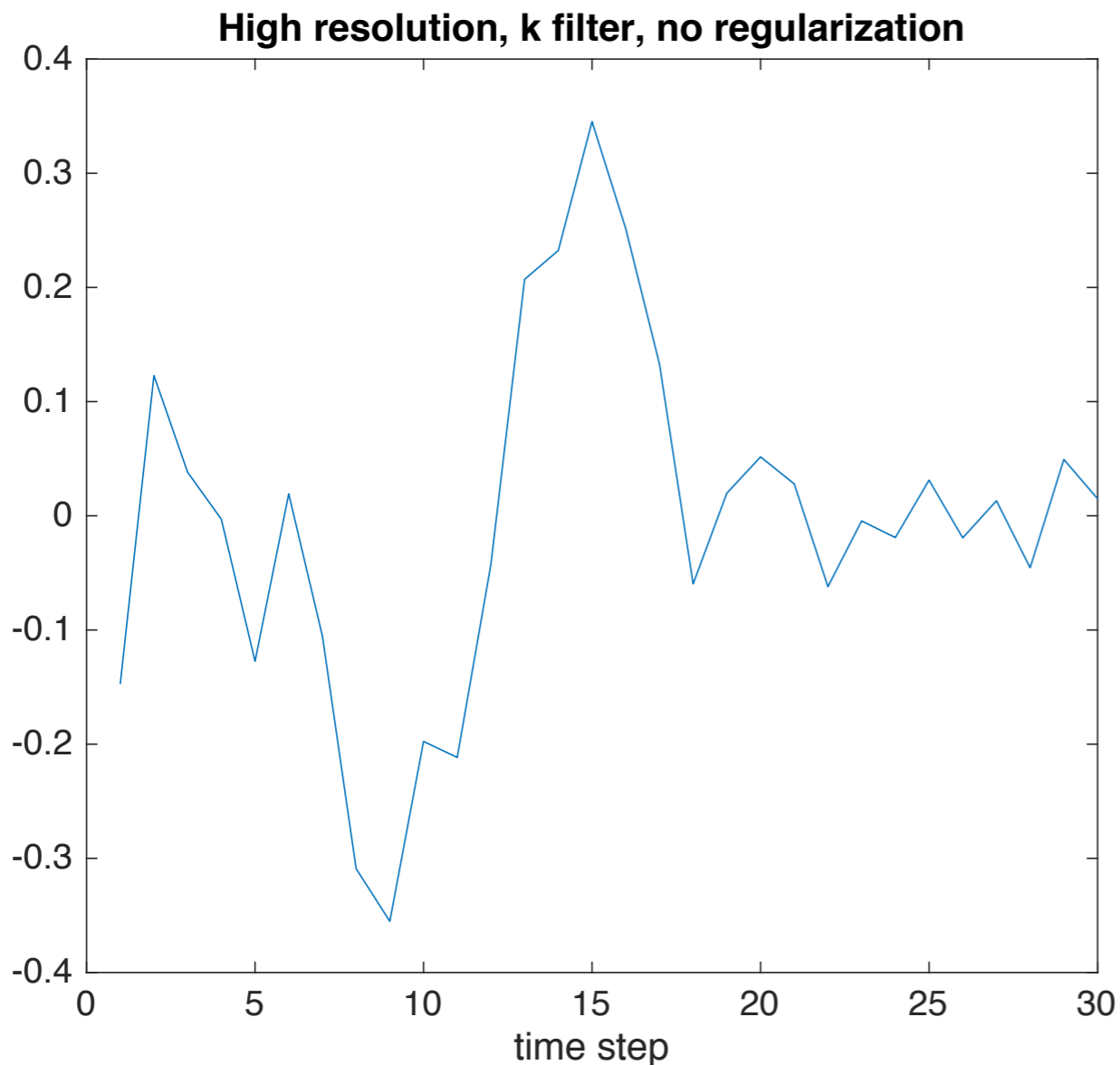
STA = spiked-triggered average stimulus



$$\text{STA} = \sum_j s(t_j, \tau) n(t_j)$$

True for any Gaussian fluctuating variable (see report for details)

# LGN data: regularization of the search algorithm



Use a priori information that k-filter must be a smooth filter.

Penalize LL for large gradients

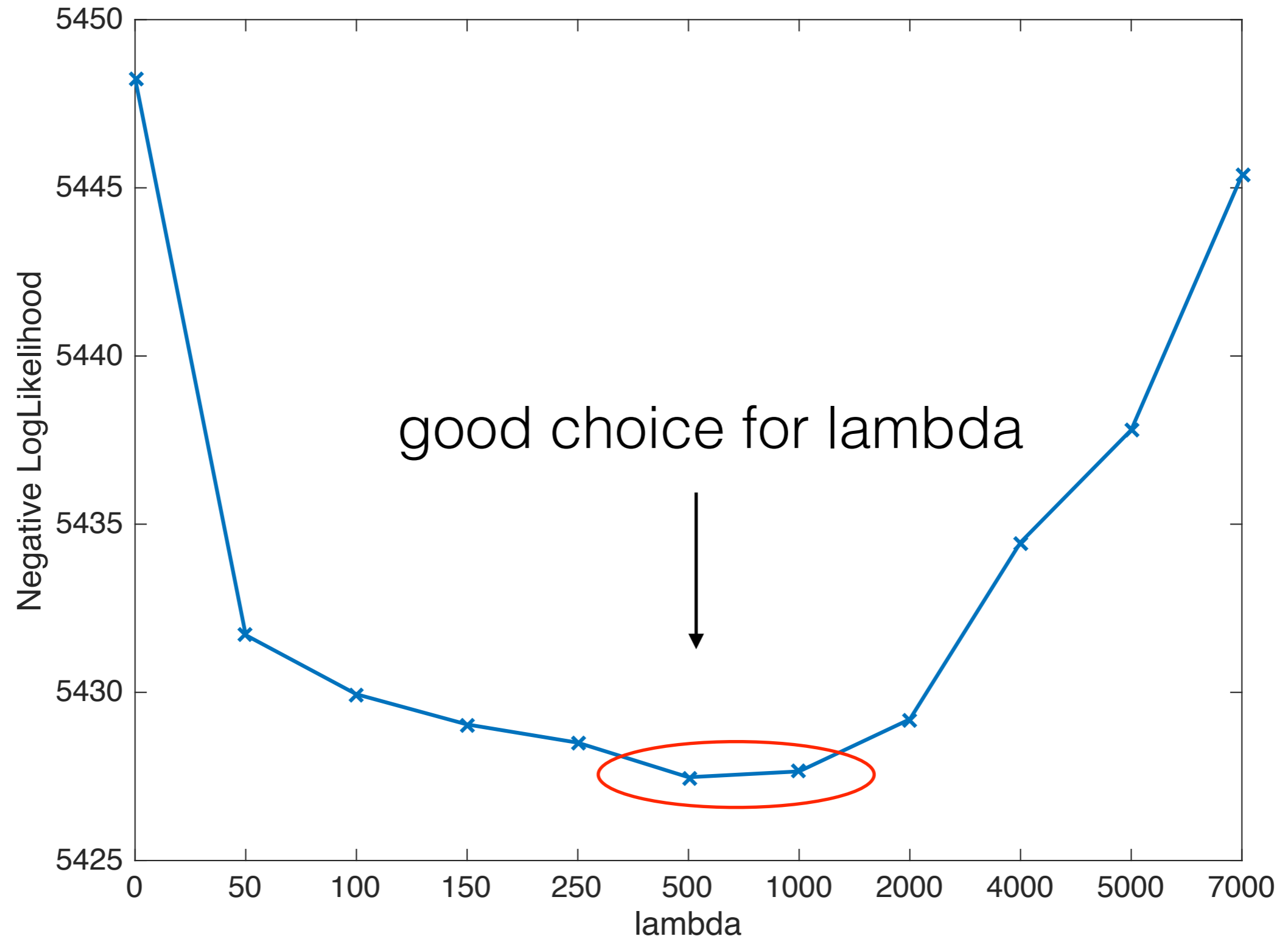


$$RLL(\Theta) = \sum_t n(t)(\mathbf{k} \cdot \mathbf{s}(t) + \mathbf{h} \cdot \mathbf{n}_{obs}(t) + b) - \sum_t \exp(\mathbf{k} \cdot \mathbf{s}(t) + \mathbf{h} \cdot \mathbf{n}_{obs}(t) + b) - \lambda \sum_i (k_i - k_{i-1})^2$$

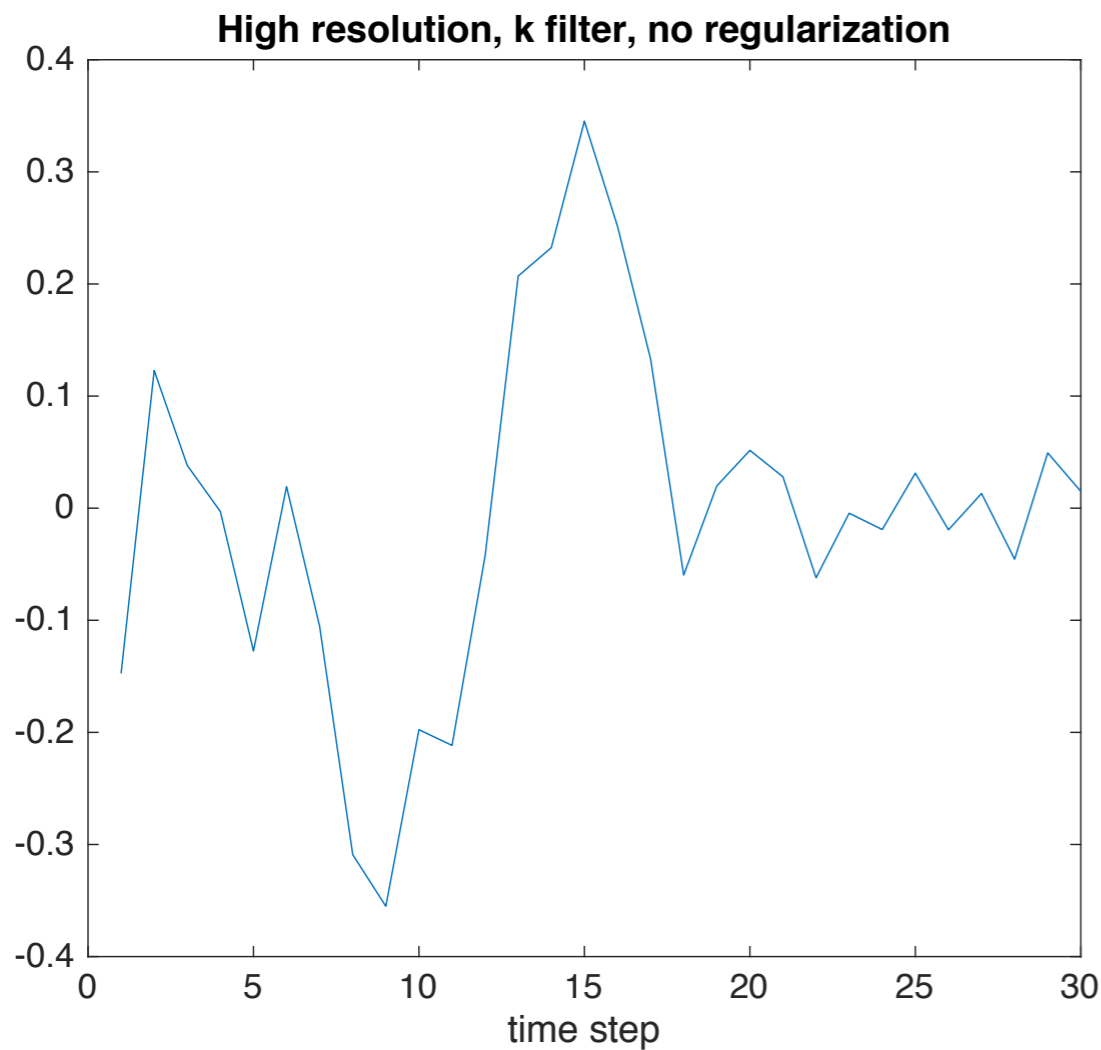
Find optimal lambda by cross validation



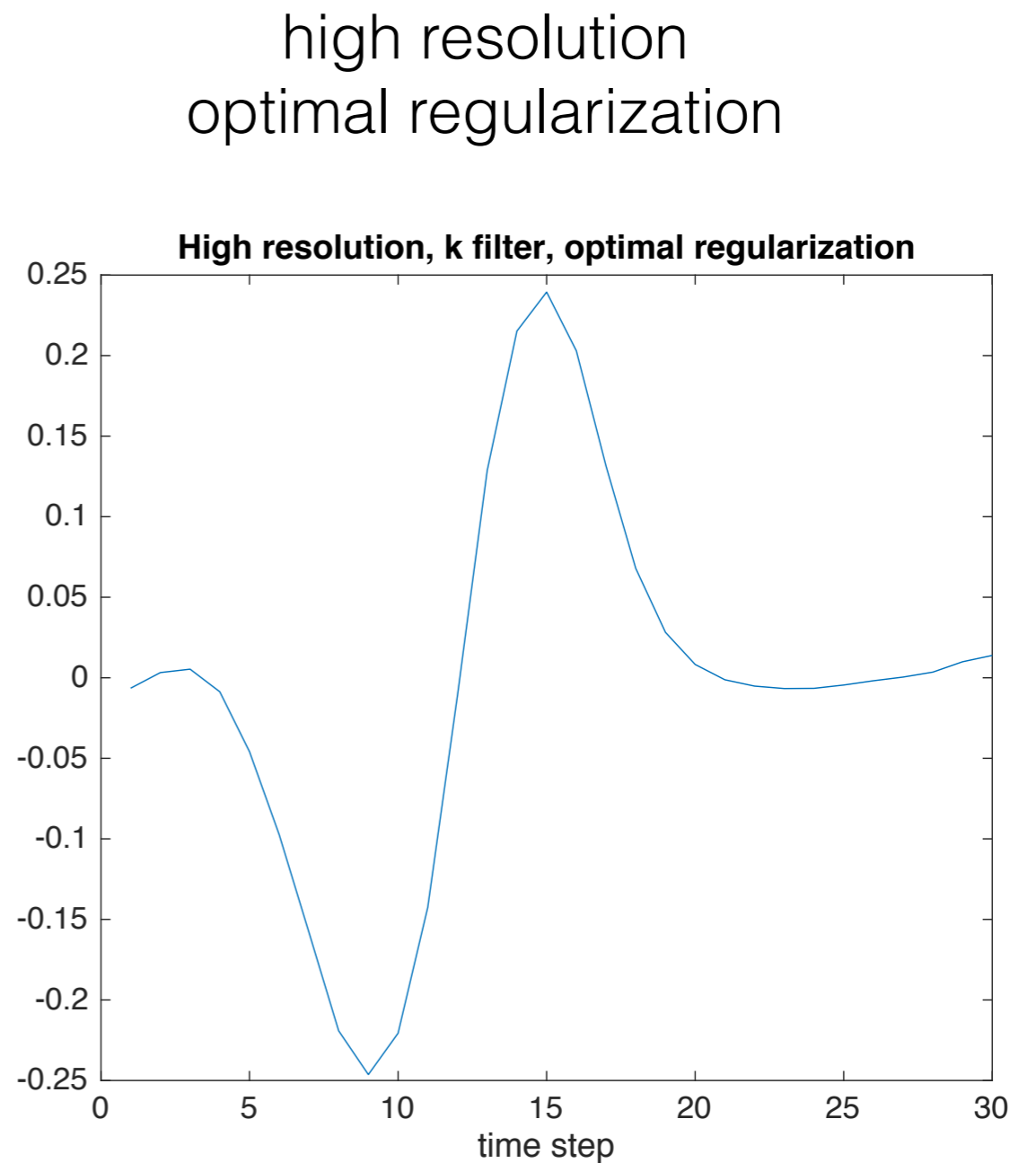
# LGN data: cross-validation for the choice of regularization parameter



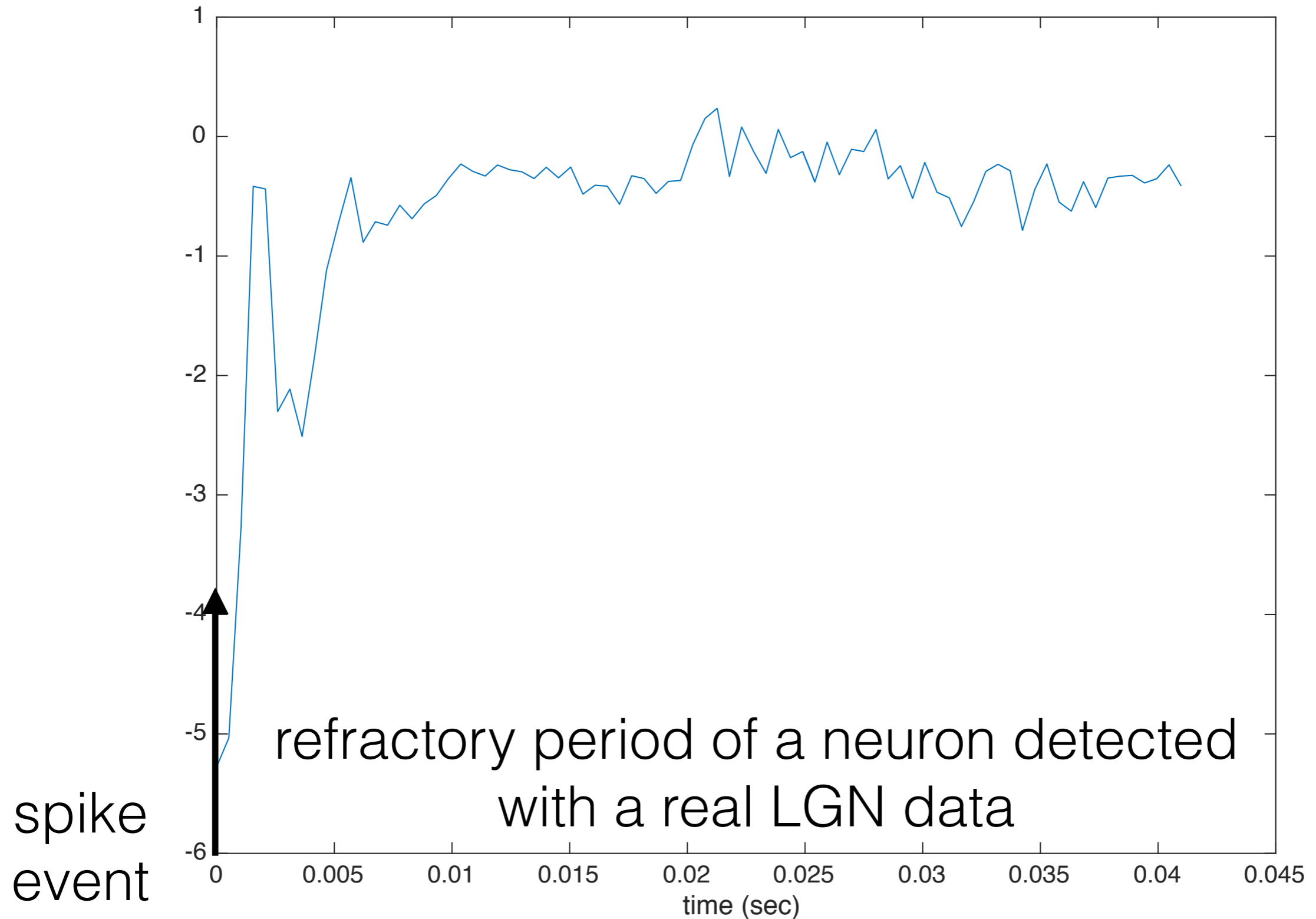
# LGN data: GLM implementation with regularization, k filter



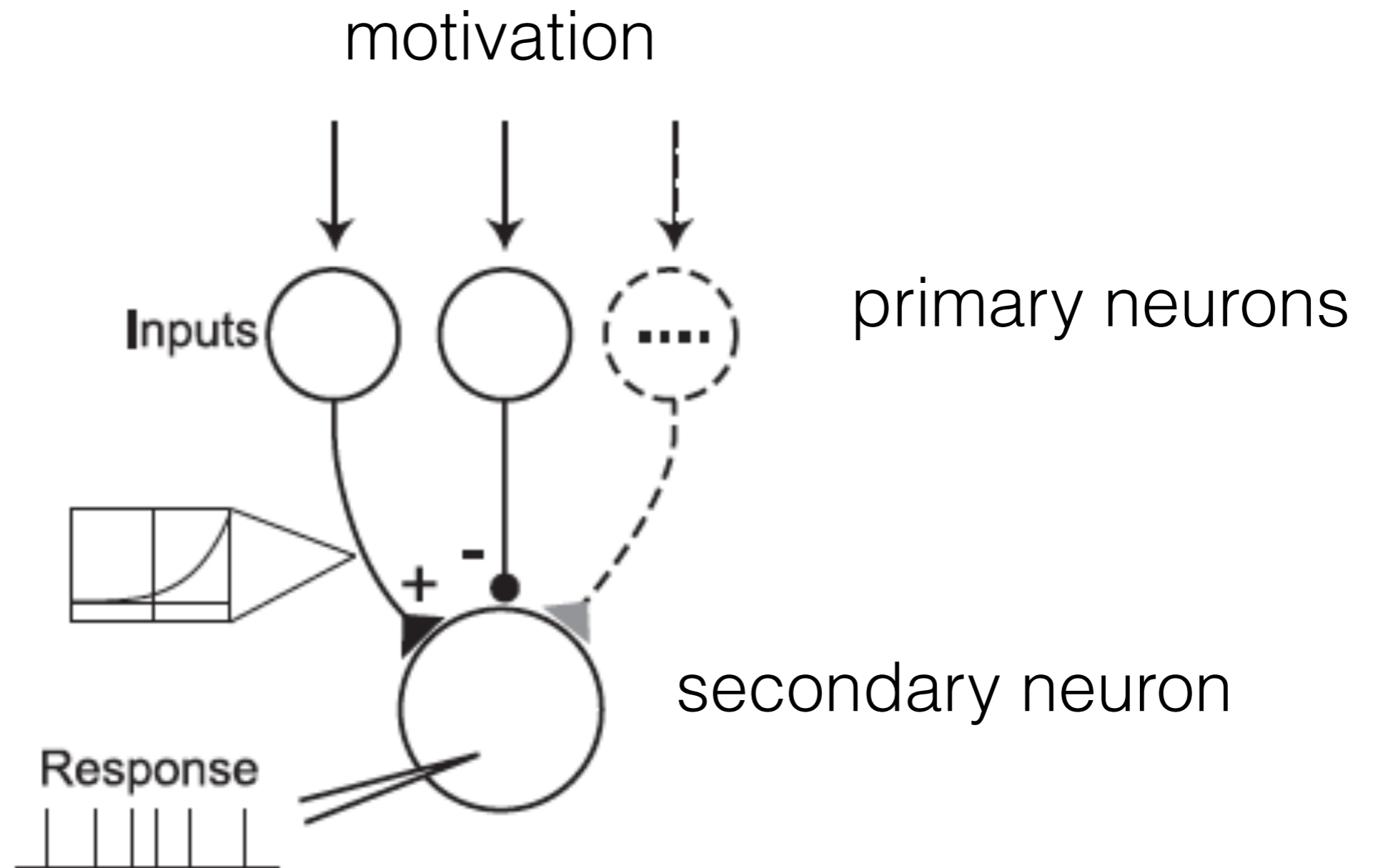
high resolution  
no regularization



# Main result on GLM: recovered history term from LGN data



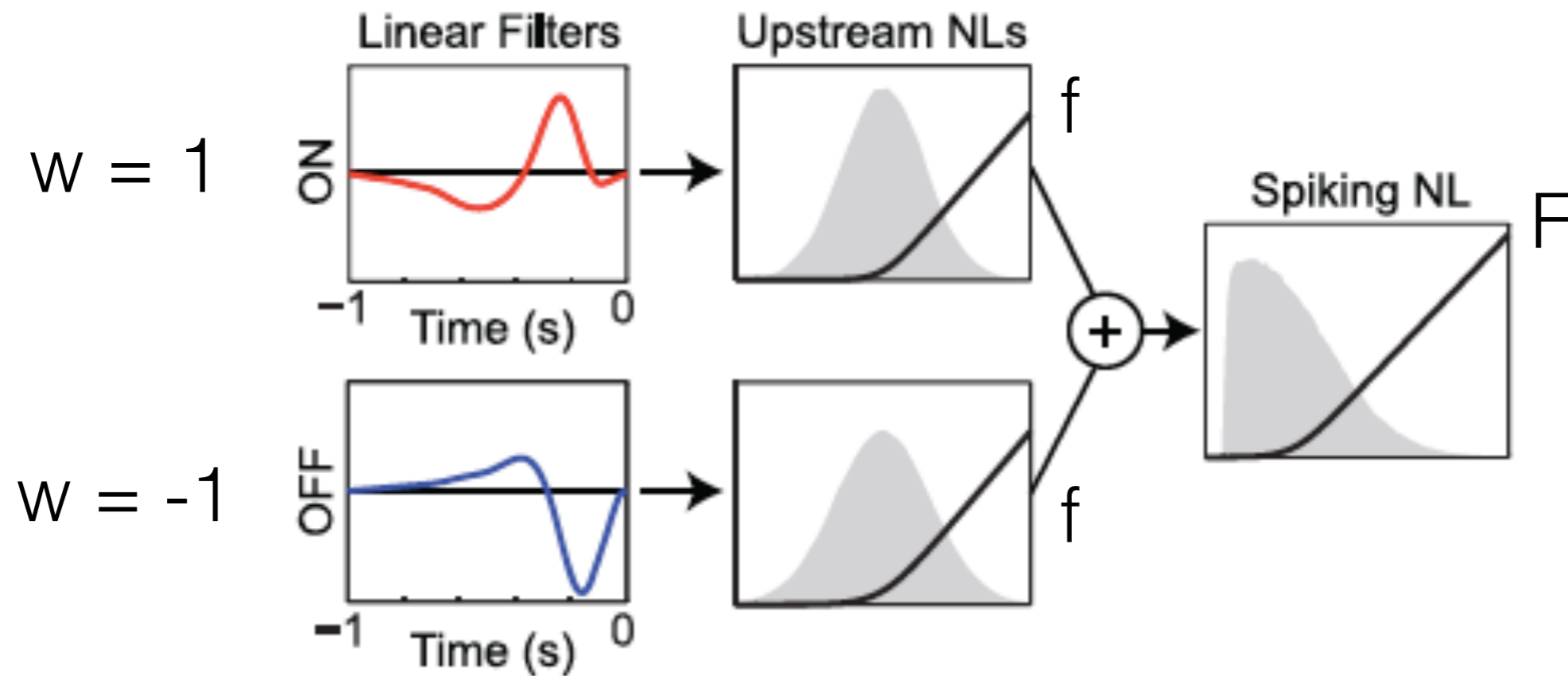
# Non-linear Input Model (NIM)



NIM is based on the hypothesis that the dominant nonlinearities imposed by the physiological mechanisms arise from rectification of neuron's inputs

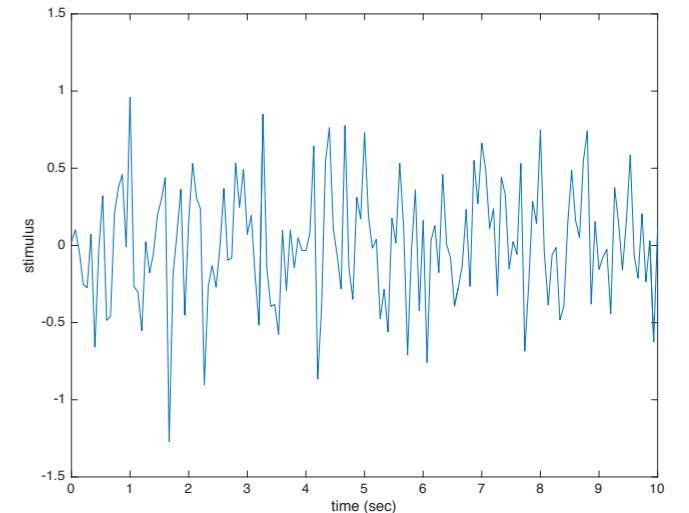
# Non-linear Input Model (NIM)

$$r(t) = F\left(\sum_i \omega_i f(\mathbf{k}_i \cdot \mathbf{s}(t, \tau))\right)$$



# Synthetic data for NIM algorithm validation

Step 1: generate white noise stimulus  $s(t)$



Step 2: calculate  $r(t)$  using two test filters  $k_1$  &  $k_2$  and various combinations for test functions  $F$  and  $f$ :

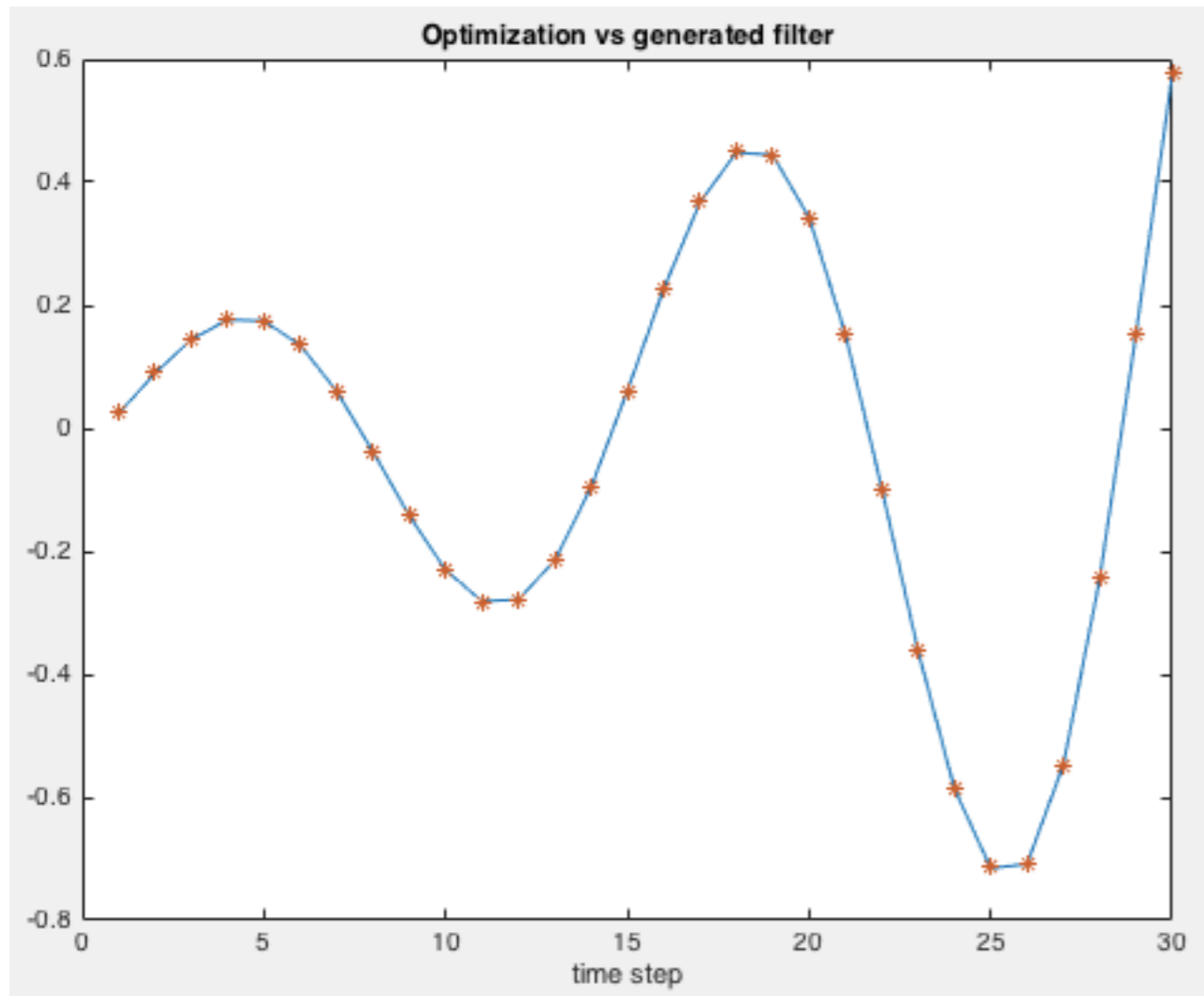
$$F(x) = \text{Exp}(x)$$

$$f(x) = \text{Log}(1 + \text{Exp}(x))$$

$$f(x) = 0, \quad x < 0; \quad x, \quad x \geq 0$$

Step 3: generate Poisson spikes  $n(t)$  using calculated  $r(t)$

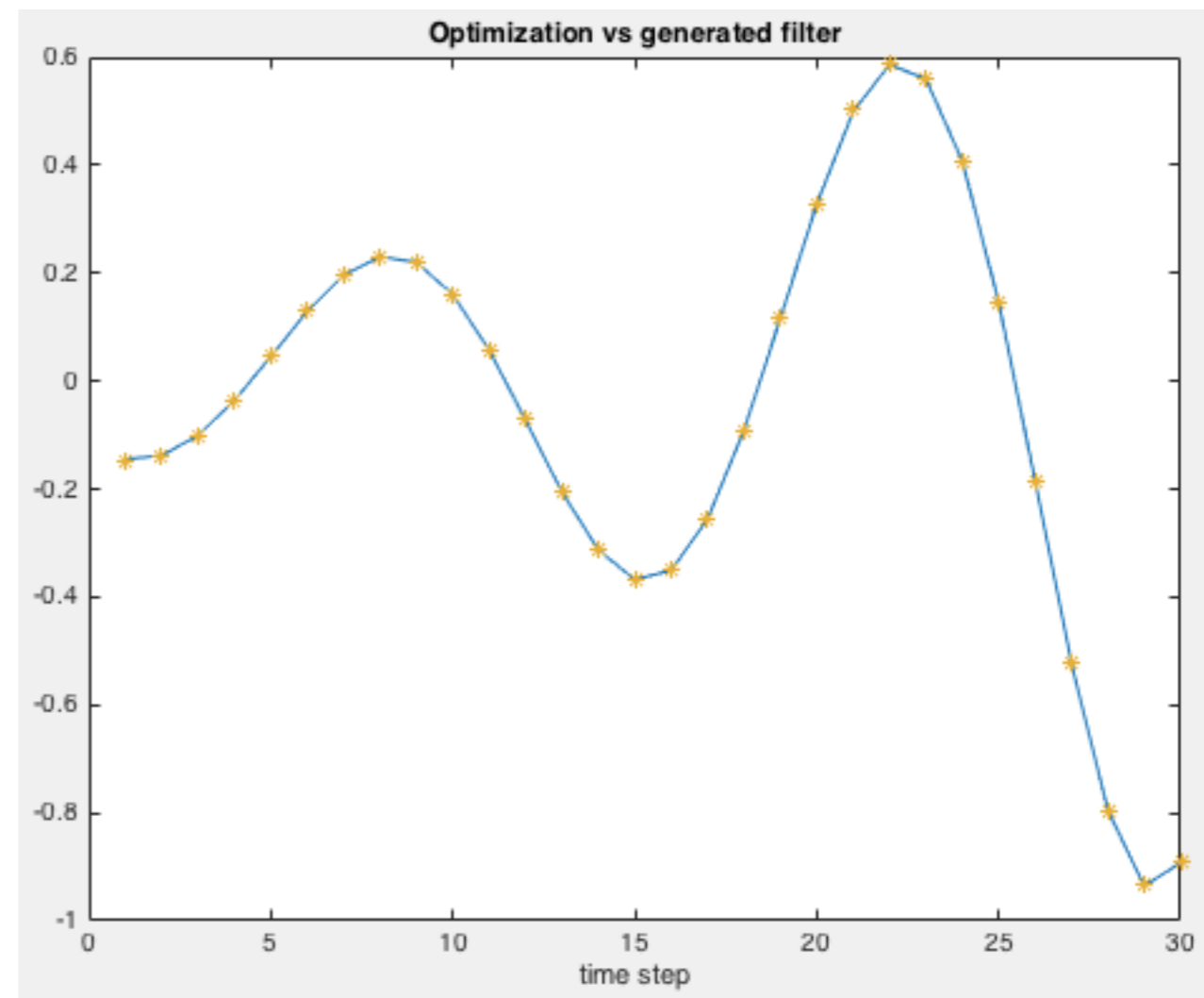
# Validation of NIM algorithm using synthetic NIM data



filter 1

$$F(x) = \text{Exp}(x)$$
$$f(x) = 0, x < 0; x, x \geq 0$$

filter 2

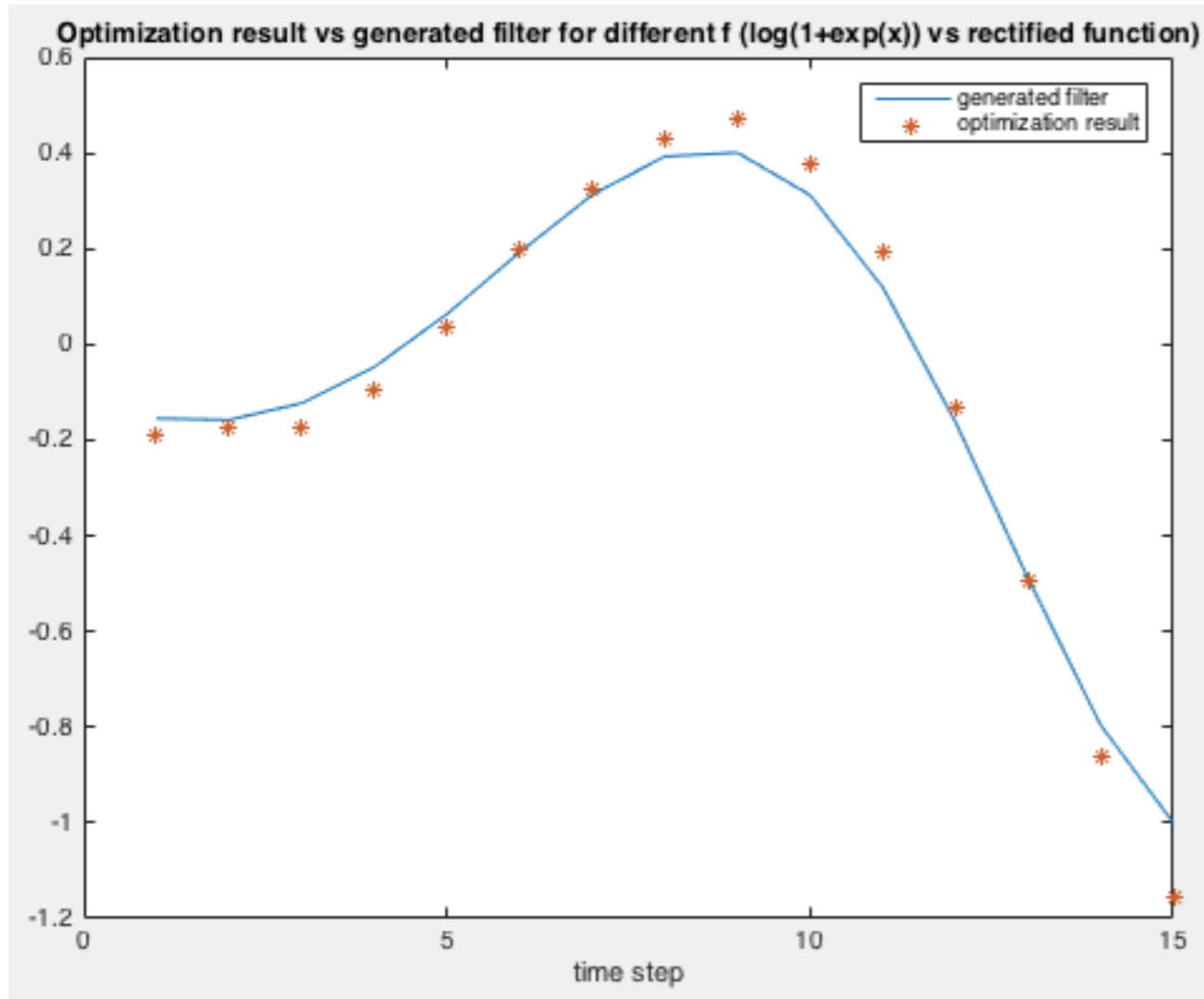


# Details of rectifying non-linearity are not too important

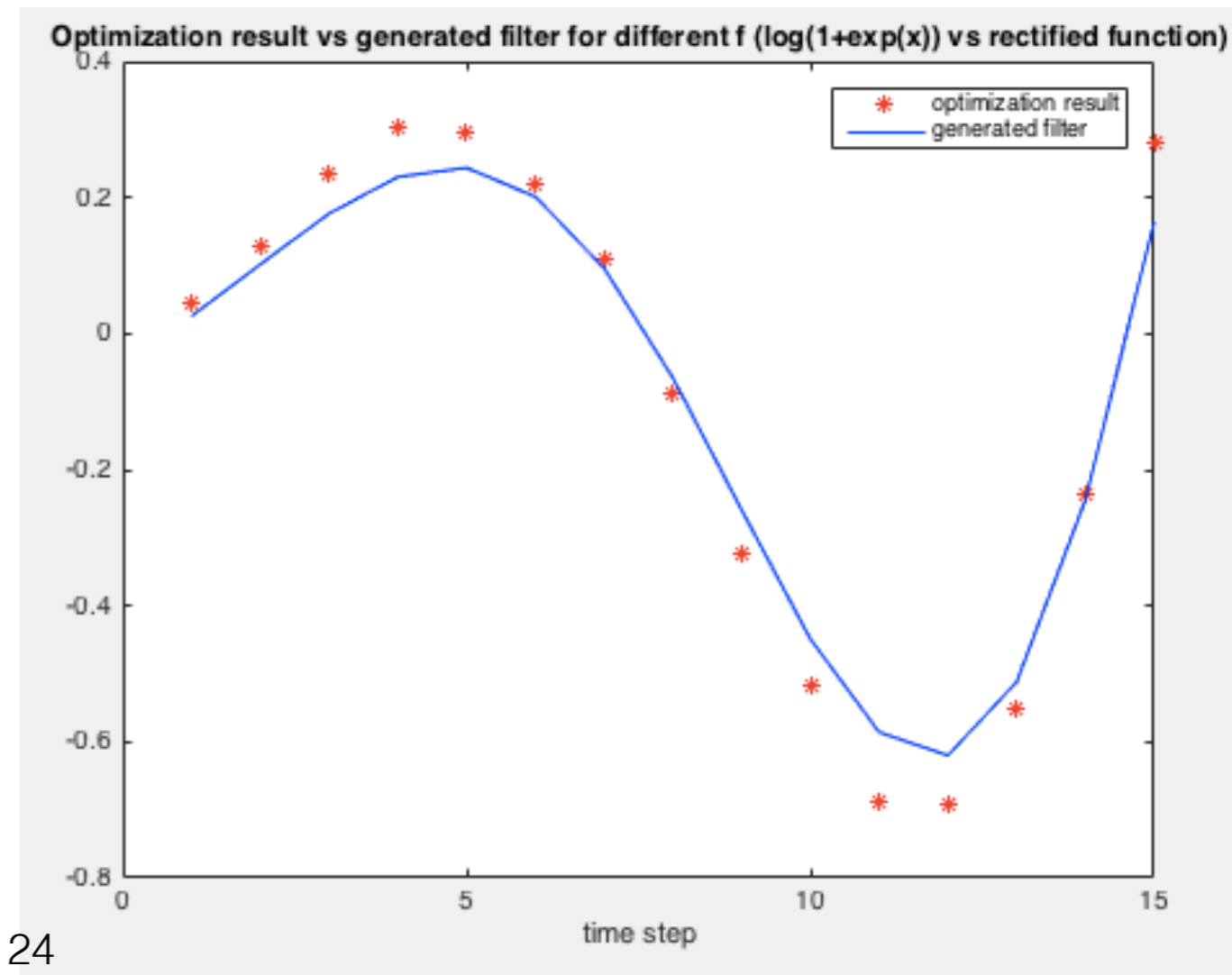
Generate:  $F(x) = \text{Exp}(x)$   
 $f(x) = \text{Log}(1 + \text{Exp}(x))$

Recover:  $F(x) = \text{Exp}(x)$   
 $f(x) = 0, x < 0; x, x \geq 0$

filter 2

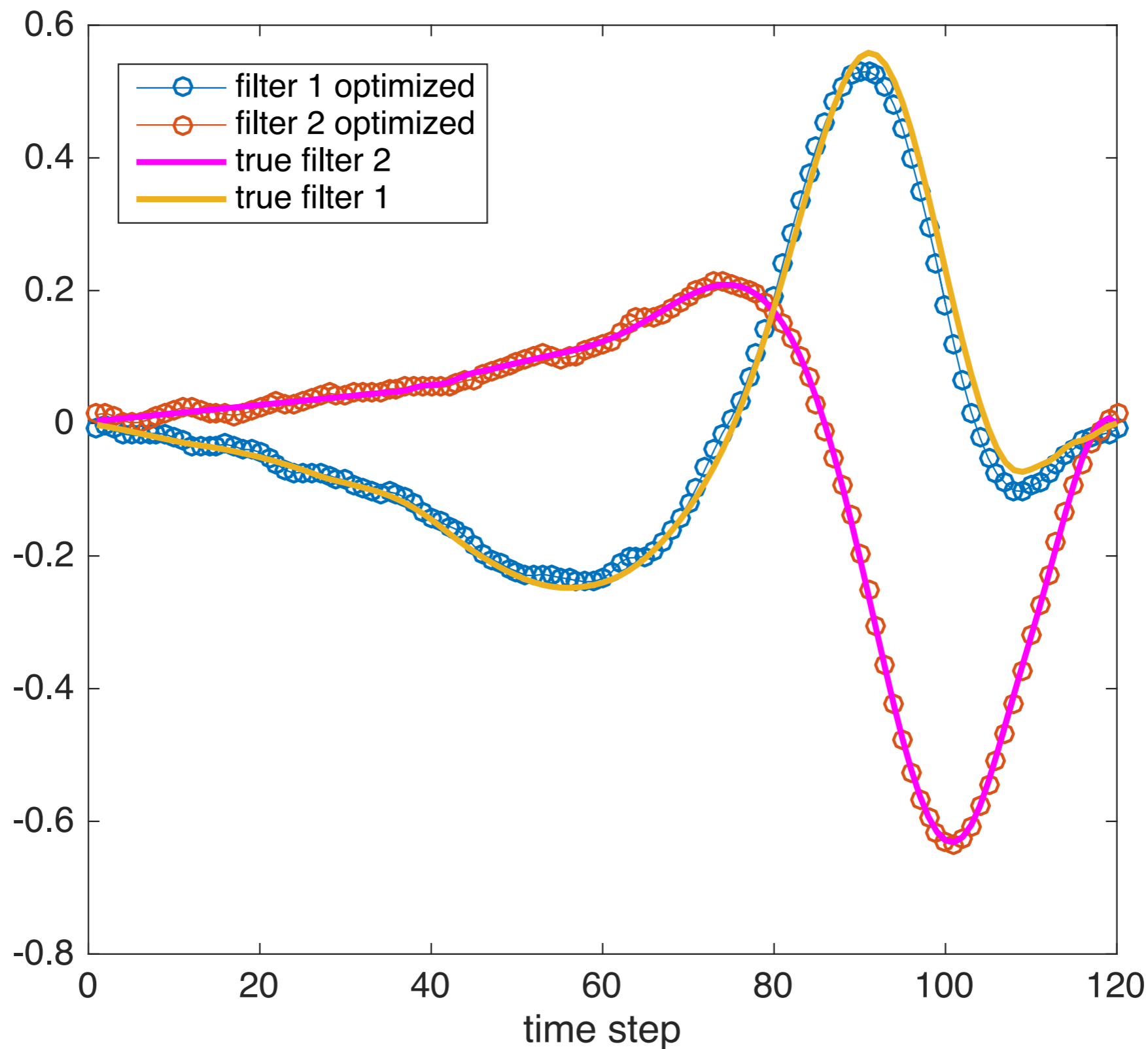


filter 1

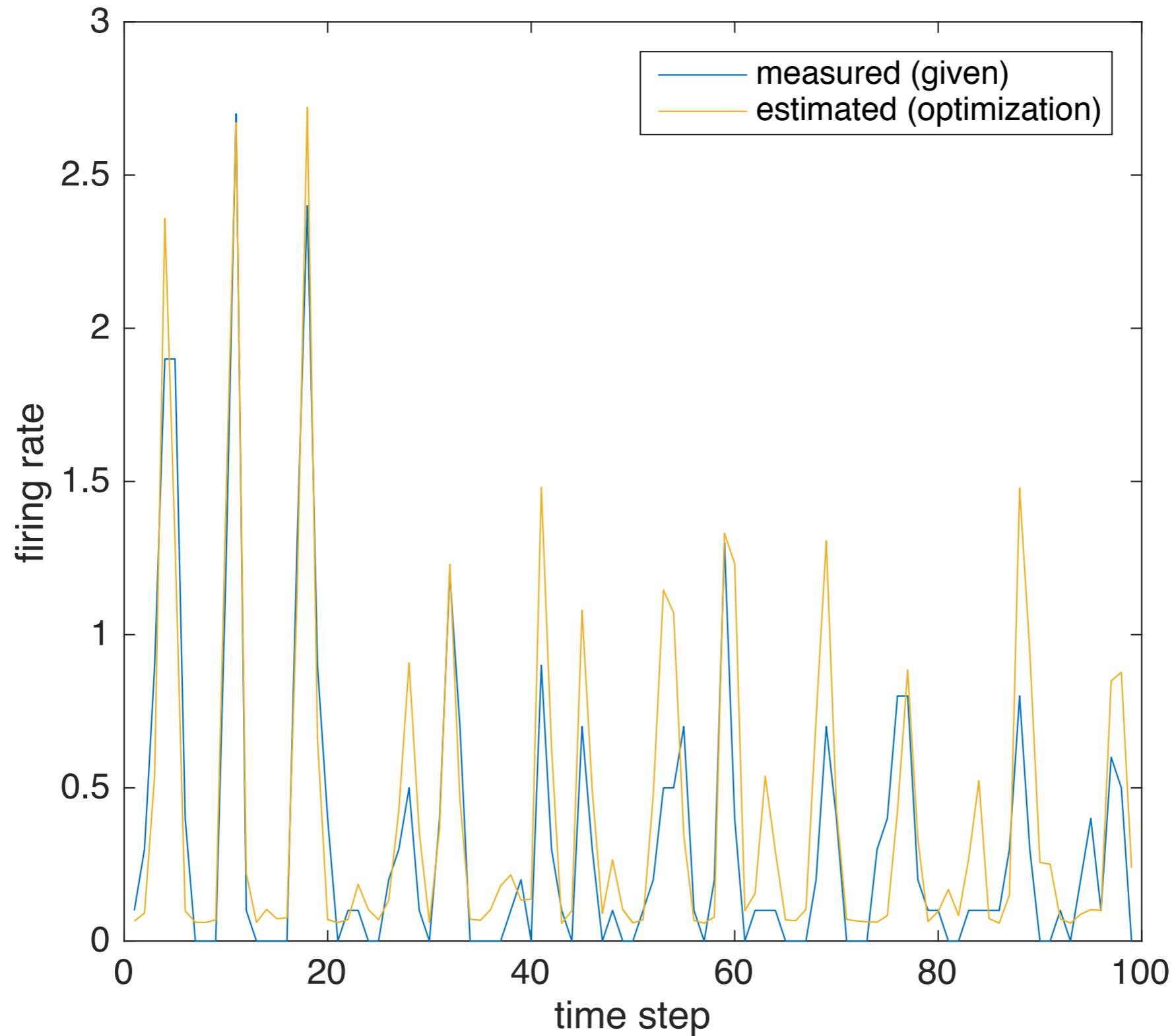




# Recovering model parameters from RGC synthetic data



# RGC spiking output vs NIM-recovered spiking output



# Generalized Quadratic Model (GQM)

$$r(t) = F(\mathbf{k}_L \cdot \mathbf{s}(t, \tau) + \sum_i \omega_i (\mathbf{k}_i \cdot \mathbf{s}(t, \tau))^2)$$

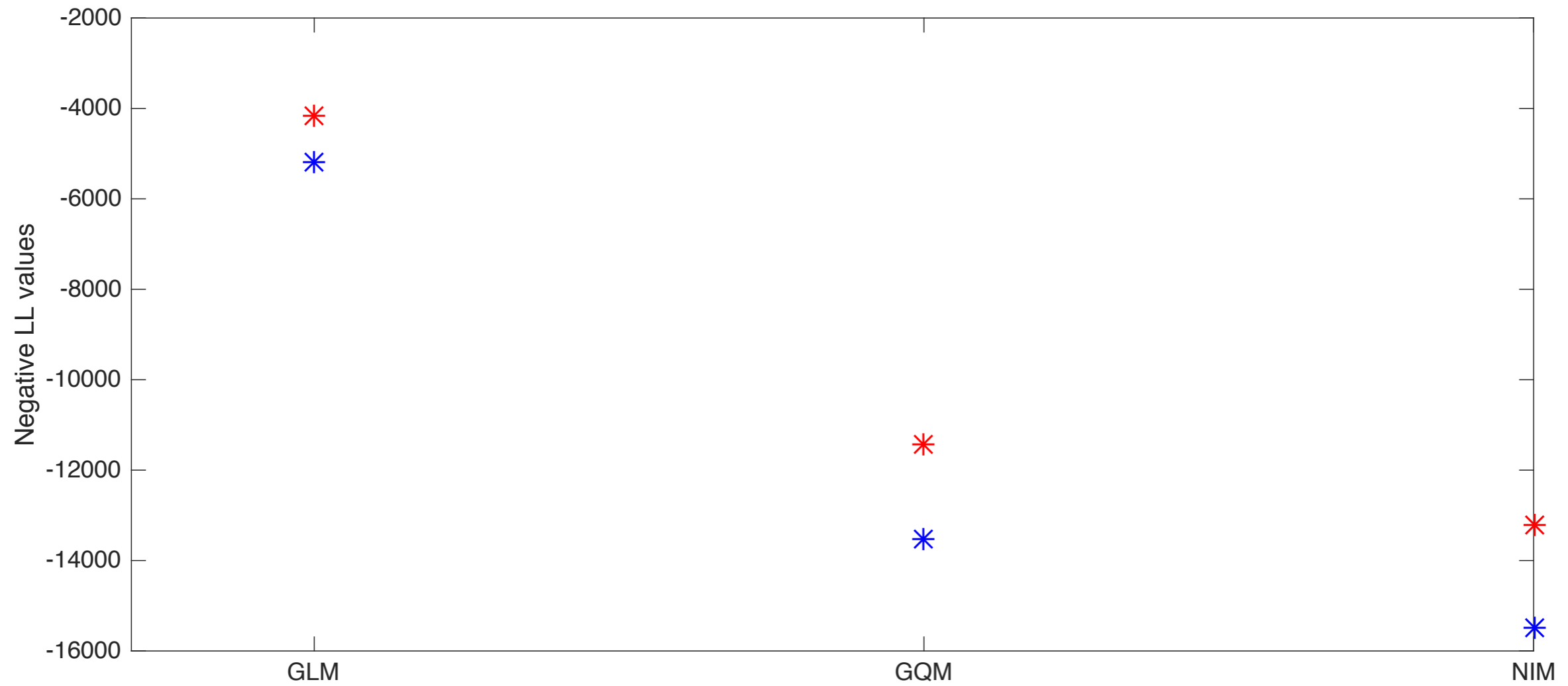
Spiking  
non-linearity

Linear filter

A set of (a few)  
quadratic filters  
 $w = +1/-1$

A competing model to NIM with minimal (quadratic)  
modification to simple linear filtering

# Comparison of GLM, NIM, GQM for a single RGC data set



# Summary

## **Realized GLM model on both real and synthetic data**

- full algorithm validation on synthetic data
- recovered linear filter that matched STA
- detected a short refractory period with a history term
- results matched with the paper: Butts DA, Weng C, Jin JZ, Alonso JM, Paninski L (2011)  
Temporal precision in the visual pathway through the interplay of excitation and stimulus-driven suppression.

## **Realized GQM on synthetic data (1 linear/2 quadratic)**

## **Realized NIM model on synthetic data (2 rectified terms)**

- GQM does not recover correct NIM filters
- NIM finds correct filters irrespective non-linearity details

# Updated project schedule

October - ~~mid November~~ November

- ✓ Implement STA and STC models
- ✓ Test models on synthetic data set and validate models on real data set

~~November - December~~ December - mid February

- ✓ Implement Generalized Linear Model (GLM)
- ✓ Test model on synthetic data set and validate model on LGN data set

~~January - March~~ mid February - mid April

- ✓ Implement Generalized Quadratic Model (GQM) and Nonlinear Input Model (NIM)
- ✓ Test models on synthetic data set and validate models on LGN data set

~~April - May~~ Mid April - May

- ✓ Collect results and prepare final report

# Implementation

## Hardware

- MacBook Air, 1.4 GHz Intel Core i5, 4 GB 1600 MHz DDR3

## Software

- Matlab\_R2015b

# Deliverables

- Code for STA and STC
- Code for GLM
- Code for GQM
- Code for NIM
- Validation codes for all models
- Reports and presentations



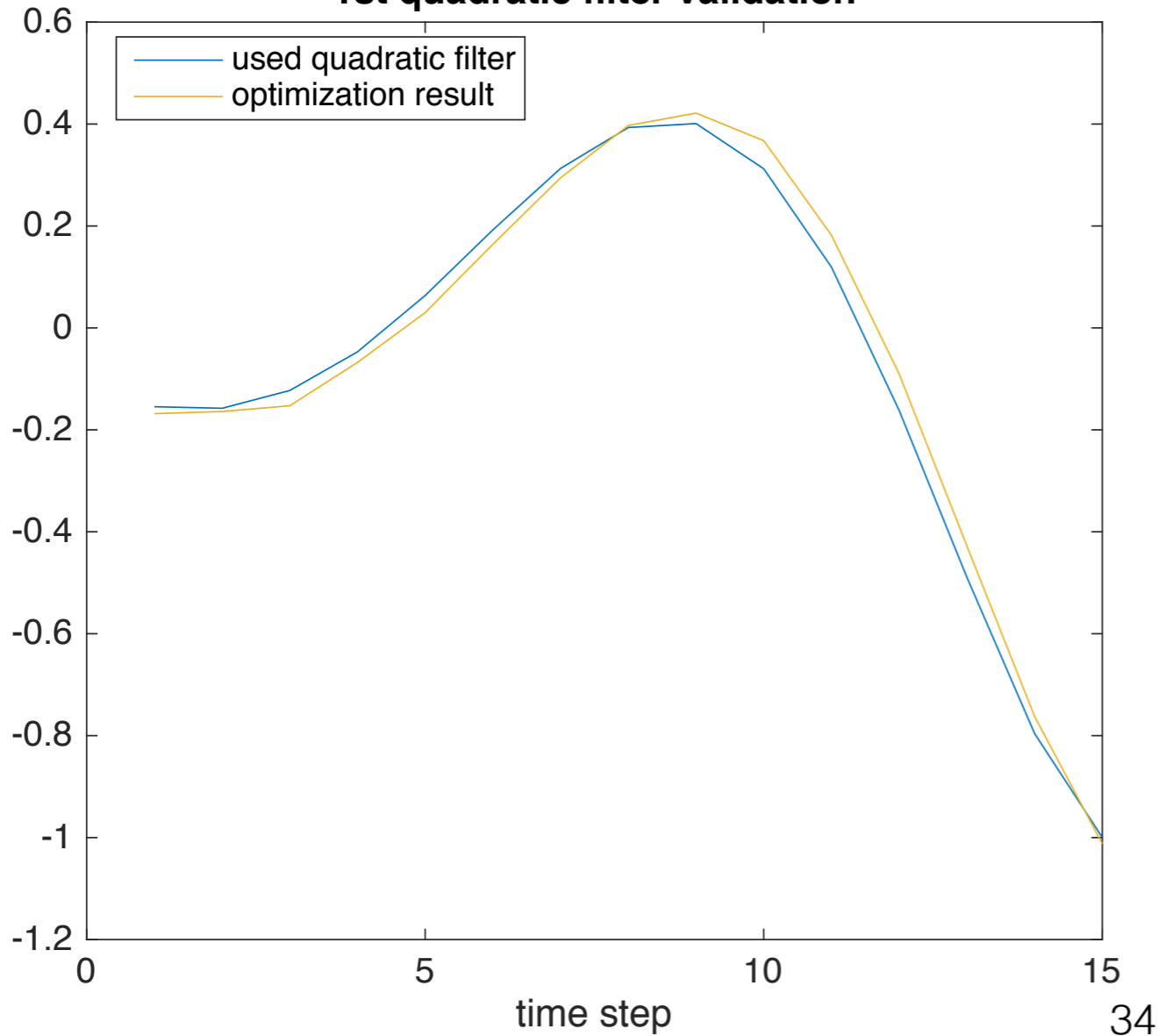
# References

1. McFarland JM, Cui Y, Butts DA (2013) Inferring nonlinear neuronal computation based on physiologically plausible inputs. PLoS Computational Biology 9(7): e1003142.
2. Butts DA, Weng C, Jin JZ, Alonso JM, Paninski L (2011) Temporal precision in the visual pathway through the interplay of excitation and stimulus-driven suppression. J. Neurosci. 31: 11313-27.
3. Simoncelli EP, Pillow J, Paninski L, Schwartz O (2004) Characterization of neural responses with stochastic stimuli. In: The cognitive neurosciences (Gazzaniga M, ed), pp 327–338. Cambridge, MA: MIT.
4. Paninski, L., Pillow, J., and Lewi, J. (2006). Statistical models for neural encoding, decoding, and optimal stimulus design.
5. Shlens, J. (2008). Notes on Generalized Linear Models of Neurons.

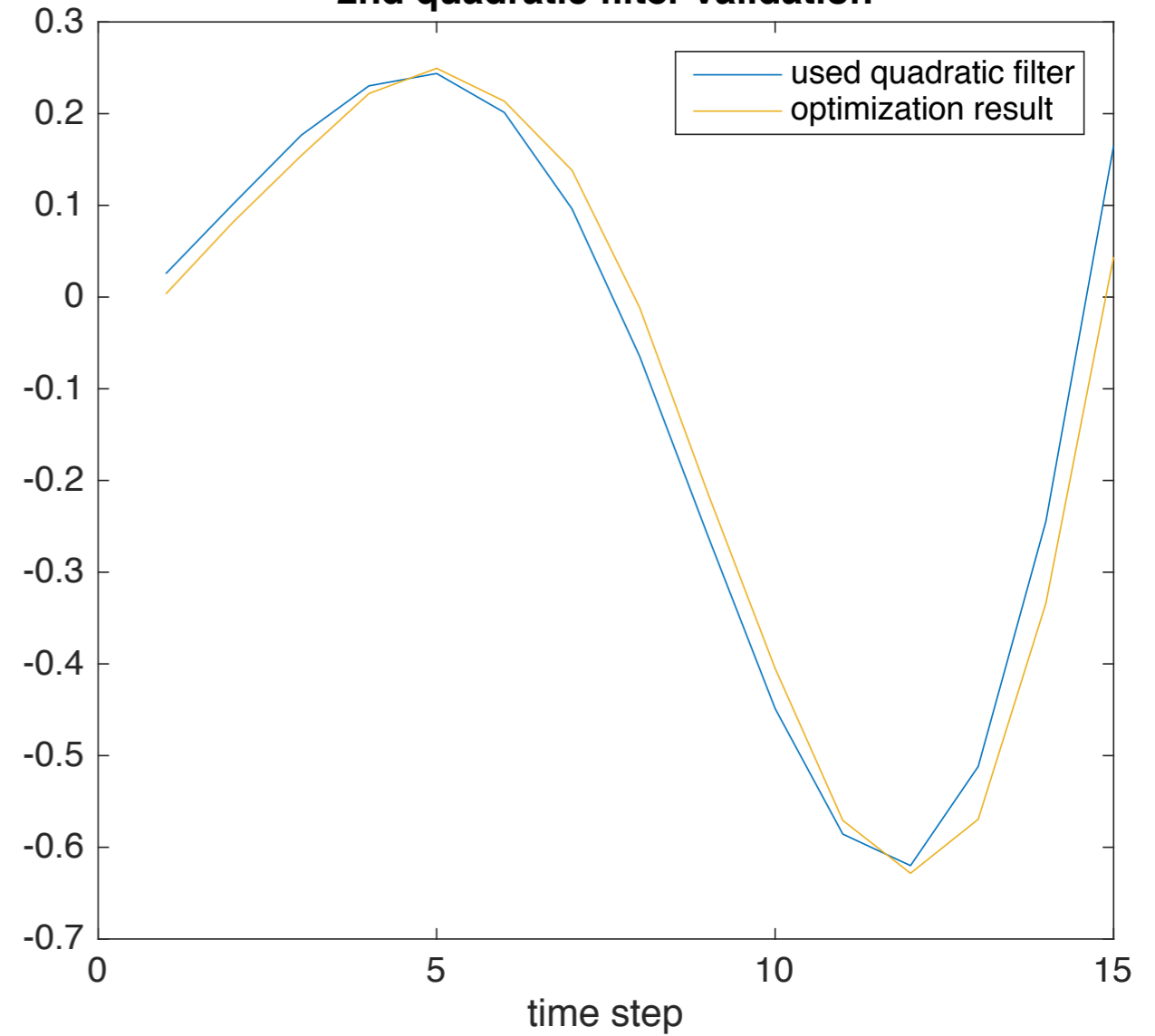
# GQM algorithm validation is the same as GLM

synthesize data with 2 (+) quadratic filters  
search for 2 (+) quadratic filters

### 1st quadratic filter validation

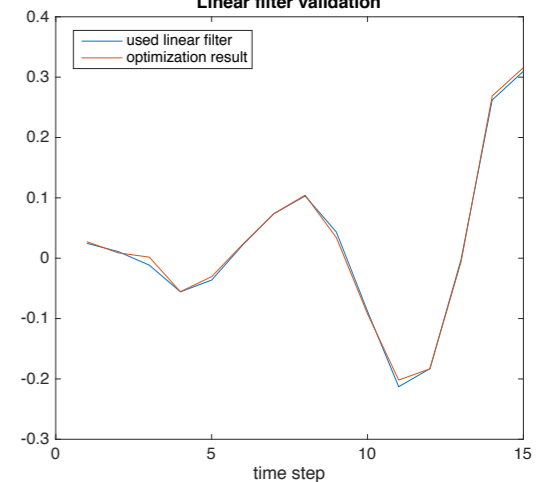


### 2nd quadratic filter validation



linear filter

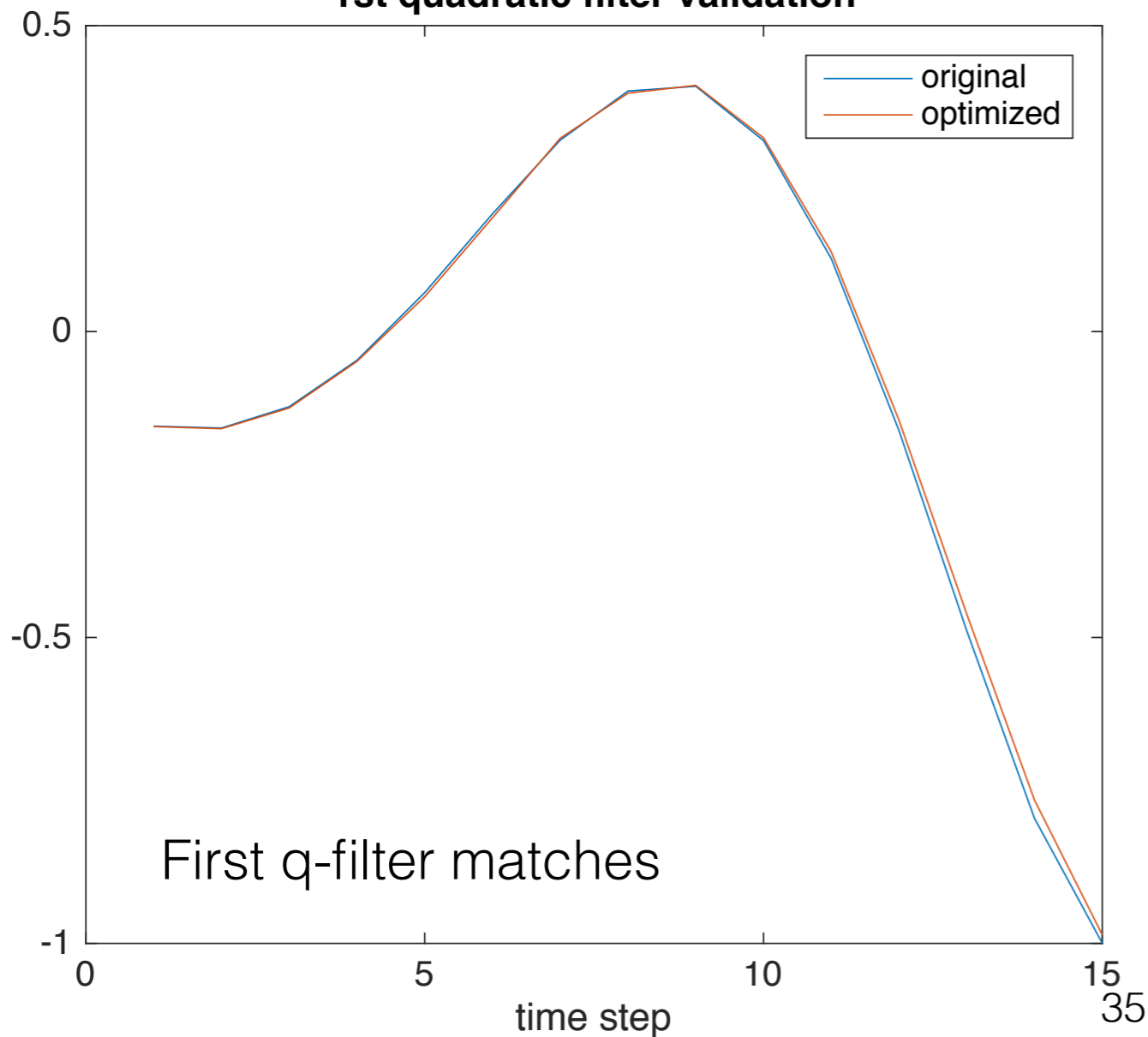
### Linear filter validation



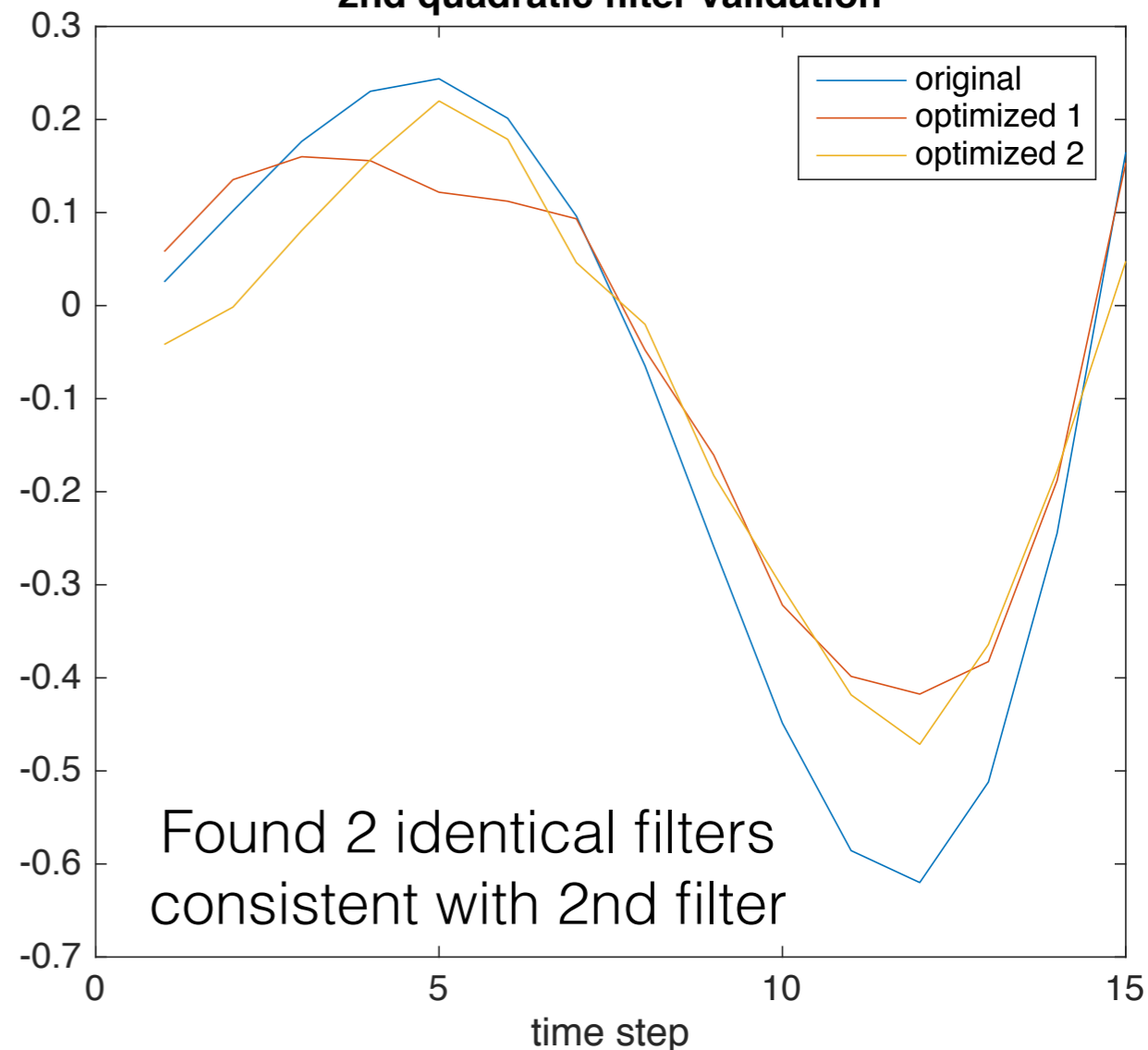
# GQM algorithm validation is the same as GLM

synthesize data with 2 (+) quadratic filters  
search for **3** (+) quadratic filters

### 1st quadratic filter validation



### 2nd quadratic filter validation



linear filter  
matches

### Linear filter validation

